



**REGIONAL CENTER OF ORANGE COUNTY
BOARD OF DIRECTORS' MEETING
AGENDA**

**Date: Thursday, March 6, 2025
Time: 6:00 p.m.**

Location: RCOC Board Room, 1525 Tustin Avenue, Santa Ana, California 92705

I.	Closed Session (Board Members Only)	
A.	W&I Code §4663 and §4664	Greg Simonian, Esq.
	1. Pending Litigation, WIC 4663(a)(5) and WIC 4664(a)	
II.	Recess	
III.	General Session	
A.	Pledge of Allegiance/Reading of RCOC's Mission and Vision Statement	Sandy Martin
B.	Community Forum for Agenda Items Only***	Sandy Martin
C.	Budget and Finance Committee	Jacqueline Nguyen
D.	Consent Calendar*	Sandy Martin
	1. Approval of Board of Directors' Minutes for January 9, 2025** 2. Budget and Finance Committee** a. Approval of Monthly Sufficiency of Allocation Report, December 2024** b. Approval of Monthly Sufficiency of Allocation Report, January 2025** c. Approval of Budget Amendment	
E.	Executive Director's Report	Larry Landauer
	1. Recognition of Persons' Served Employment Longevity 2. Operations Report – December 2024** 3. Operations Report – January 2025** 4. Performance Contract Report – December 2024** 5. Performance Contract Report – January 2025** 6. Person-Centered Thinking (PCT) Update 7. Employment Update 8. Housing Update 9. Health and Wellness Update	Jennifer Montanez Arturo Cazares Jack Stanton Bonnie Ivers
F.	Executive Committee	Sandy Martin
G.	Board Recruitment and Training Committee**	Sandy Martin
H.	Policies and Outcomes Committee**	Meena Chockalingam
	1. Executive Leadership Succession Policy** 2. Document Retention and Destruction Policy** 3. Policy on Background Check Requirements for Providers** 4. Approval of the Conflict of Interest Policy**	
I.	Vendor Advisory Committee**	Rick Perez
J.	Peer Advisory Committee**	Yvonne Kluttz
K.	Legislative and Community Awareness Committee**	Bruce Hall
L.	ARCA Report	Sandy Martin
M.	Community Forum***	Sandy Martin
N.	Chairperson's Report	Sandy Martin

IV.	Adjournment	Sandy Martin
------------	--------------------	---------------------

**All items on the Consent Calendar will be approved by one motion, and there will be no discussion on individual items unless a Board member or a member of the public requests that a specific item be pulled from the Consent Calendar for separate discussion and possible action.*

***Attachments for Board members in Board packet.*

****This is an opportunity for public comments. Each person is limited to a maximum of three minutes.*

**Regional Center of Orange County
Board of Directors' Meeting Minutes
January 9, 2025**

Board Members Present: Sandy Martin, Chairperson
(Members in-person, unless otherwise noted) Marcell Bassett
Meena Chockalingam
Bruce Hall
Frances Hernandez (*joined virtually at 6:04 p.m.*)
Chinh Nguyen
Jacqueline Nguyen (*joined virtually*)
Fernando Peña
Rick Perez

Board Members Absent: Yvonne Kluttz
Hilda Mendez

Corporate Counsel Present: Greg Simonian, Esq.

Ms. Sandy Martin called the meeting to order at 6:02 p.m.

I. General Session

A. Pledge of Allegiance/Reading of RCOC's Mission and Vision Statement

Mr. Fernando Peña led attendees in a recitation of the Pledge of Allegiance.
Mr. Marcell Bassett read RCOC's Mission and Vision Statement.

B. Community Forum for Agenda Items Only

There were no speakers for community forum.

C. Budget and Finance Committee

Ms. Jacqueline Nguyen reported that the Committee approved and recommended for approval all of its items on the consent calendar.

D. Consent Calendar

1. Approval of Board of Directors' Minutes for November 7, 2024
2. Approval of Amended and Restated Contract of Employment with Executive Director
3. Budget and Finance Committee
 - a. Approval of Monthly Sufficiency of Allocation Report, October 2024
 - b. Approval of Monthly Sufficiency of Allocation Report, November 2024
 - c. Approval of Budget Amendment D-4, Fiscal Year 2022-23

M/S/C to approve the consent calendar, as presented

E. Executive Director's Report

1. Recognition of Persons' Served Employment Longevity

The Board of Directors honored five persons served who have worked for the same employer for 21 or more years with a Certificate of Recognition.

2. Approval of Request for Waiver of Potential Conflict of Interest and Conflict Resolution Plan for Denise Vazquez, Service Coordinator

M/S/C to approve the Request for Waiver of Potential Conflict of Interest and Conflict Resolution Plan for Denise Vazquez, Service Coordinator, as presented with one abstention by VAC Board Representative, Rick Perez

3. Approval of Property Renovation Agreements with Non-Profit Housing Corporation, Brilliant Corners, for Development of Two (2) Enhanced Behavioral Supports Homes (EBSH) (CRDP Project Nos. 2324-10/2425-2 and 2324-11/2425-3)

Mr. Jack Stanton, RCOC's Associate Director of Housing, reported that on June 6, 2024, the Board approved property acquisition agreements with Brilliant Corners for the purchase of two properties to serve as Enhanced Behavioral Supports Homes (EBSHs). These facilities will serve individuals with behavioral needs who currently reside, or are at risk of being placed in a restrictive setting, such as a psychiatric hospital, crisis facility, or a locked facility.

The Department of Developmental Services (DDS) has allocated \$800,000 (\$400,000 per project) in Community Resource Development Plan (CRDP) start-up funding for renovation of properties once acquired. The "buy it once" model is intended for development of the facilities and separates ownership of the home from service delivery, permitting service provider changes without moving the residents. A non-profit corporation receives CRDP start-up funds to acquire and renovate a property, the non-profit corporation then leases the property to a service provider. RCOC will continue to work with Brilliant Corners to identify properties for these projects. No properties have been acquired at this time.

M/S/C to approve the Property Renovation Agreements with Non-Profit Housing Corporation, Brilliant Corners, for Development of Two (2) Enhanced Behavioral Supports Homes (EBSH) (CRDP Project Nos. 2324-10/2425-2 and 2324-11/2425-3), as presented with one abstention by VAC Board Representative, Rick Perez

Mr. Larry Landauer gave his Executive Director's Report, which included the following highlights:

- *California Budget.* Mr. Landauer reported that the Governor is scheduled to unveil the budget for fiscal year 2025-26. The Governor's \$322.2 billion proposal includes \$288.9 billion in general fund spending. The proposed budget is fully balanced with no deficit and projects \$16.5 billion in additional revenue above the 2024 Budget Act.

RCOC Board of Directors' Meeting Minutes
January 9, 2025

- *Department of Developmental Services (DDS).* Mr. Landauer reported that Mr. Peter Cervinka was appointed Director of the California Department of Developmental Services on December 13, 2024. Prior to his appointment, Mr. Cervinka served four months as Acting Director of DDS and has over 25 years of leadership, program management and policy development experience in various roles across state agencies.
- *DDS' Rate Reform.* Ms. Marta Vasquez, RCOC's Chief Financial Officer, reported that the Rate Reform in California's developmental services system is changing the way the state pays for services. As of January 1, 2025, reimbursements for services will be claimed using the provider's current service code with an updated rate provided by DDS. By March 31, 2025, the Rate Reform Service Acknowledgment Form shall be completed by RCOC and the service provider to acknowledge the service description, requirements that will need to be met, sub-code combination(s), and rate(s) established by the rate model. DDS has permitted a transitional period for service providers to comply with all the new requirements by December 31, 2025.
- *Master Plan for Developmental Services.* Mr. Landauer reported that the California Health and Human Services Agency (CHHSA) workgroup for the Master Plan for Developmental Services met on January 8, 2025 in Los Angeles. For more information, visit: <https://www.chhs.ca.gov/home/master-plan-for-developmental-services/>.
- *Service Provider Directory.* Mr. Landauer stated that Department of Developmental Services (DDS) continues to gather data for the Provider Directory. The online portal will provide accurate information about service providers, statewide, for the first time. Participation in the Provider Directory is the only Quality Incentive Program (QIP) measure to be used in fiscal years 2024-25 and 2025-26 for establishing whether a provider will receive the ten percent quality incentive portion of the rate model for its service(s).
- *Quality Incentive Program (QIP).* Mr. Landauer reported that the QIP is designed to improve outcomes for individuals served, service provider performance, and the quality of services. Participating service providers that meet or exceed quality measures developed by DDS and based on input from stakeholders, are eligible for incentive payments. The QIP Stakeholder Workgroup helped determine six areas of focus for (1) Prevention and Wellness, (2) Employment, (3) Early Intervention, (4) Workforce Capacity, (5) Service Access, and (6) Informed Choice and Satisfaction. Each focus area has one or more quality measure(s) tied to specific desired outcomes.
- *Purchase of Service (POS) Expenditures for Fiscal Year 2024-25.* Mr. Landauer reported that there is a projected surplus statewide.
 - *Person Centered Thinking (PCT).* Ms. Jennifer Montanez reported that RCOC's PCT Coordinator and trainers have been preparing for the launch of the family workshops focusing on the One Page Description. These workshops will introduce key concepts from the Learning Community for Person Centered Practices (TLCPCP) and give participants opportunities to work on person-centered skills.

RCOC Board of Directors' Meeting Minutes
January 9, 2025

Ms. Montanez stated that RCOC will host four PCT training workshops for parents in English and Spanish to be held over the next few months. Once confirmed, the training dates will be posted to the event calendar on RCOC's website.

Ms. Montanez also reported that on January 21, 2025, RCOC will meet with all Orange County PCT trainers and trainer candidates to discuss joint PCT training sessions to be offered to our service providers community.

- *Employment.* Mr. Arturo Cazares, RCOC's Director of Community Services, reported that RCOC has scheduled a workshop on Adult Day Services Options for January 30, 2025 at 6:00 p.m. via Zoom videoconference. The workshop will be for families who have a family member receiving services who is at or near the transition age of 14 years or more. Families with younger children are welcome to attend as well to learn about what options are available as the child gets older.

Mr. Cazares also reported that everyone is excited about the new Project SEARCH program at Kaiser Permanente in Irvine, scheduled to begin January 13, 2025. The first program cohort is expected to launch with six to eight individuals served.

Mr. Cazares reviewed the Purchase of Service (POS) data by regional center for the Paid Internship Program Service Provider Placement Incentive Payments and the Competitive Integrated Employment Incentive Payments.

- *Housing.* Mr. Stanton reported on housing data collected during IPP meetings. The data is used to determine if persons served are satisfied with their current living situations or not. If needed, RCOC will explore other living options to improve levels of satisfaction. Mr. Stanton stated that over time, the data will determine which types and locations of housing are most preferred by persons served.
- *Health and Wellness.* Dr. Bonnie Ivers, RCOC's Clinical Director, reported on the health and wellness satisfaction data collected during IPP meetings. This data will help RCOC determine what resources it can provide to help persons served improve their health and achieve their health and wellness goals.
- *RCOC News.* Mr. Landauer reported that he and his staff continue to work with the Hispanic families group to address their concerns. The group last met on December 4, 2024 and scheduled its next meeting for March 26, 2025.
- *Self-Determination Program (SDP).* Mr. Landauer reported that the SDP Local Volunteer Advisory Committee met on November 18, 2024 and the next meeting is scheduled for January 27, 2025.
- *2025 Tet Parade.* Mr. Landauer reported that RCOC will participate in this year's City of Westminster Tet Parade to celebrate the Lunar New Year in Little Saigon on February 1, 2025. This is the second year that RCOC has participated in the Tet Parade.

F. Executive Committee

Ms. Sandy Martin reported that the Committee met on November 18, 2024 and October 21, 2024. The next meeting is scheduled for January 21, 2025.

G. Board Recruitment and Training Committee

Ms. Martin reported that the Committee met on November 12, 2024 and reviewed Board composition, upcoming retreat and training topics.

Ms. Martin also presented the following agenda items from the Board Recruitment and Training Committee for approval:

1. Approval of Board Membership for Lorena Medina for a One-Year Term Commencing February 1, 2025 and Ending January 31, 2026

M/S/C to approve Board Membership for Lorena Medina for a One-Year Term Commencing February 1, 2025 and Ending January 31, 2026, as recommended

2. Approval of Board Membership for Liza Krassner for a One-Year Term Commencing March 1, 2025 and Ending February 28, 2026

M/S/C to approve Board Membership for Liza Krassner for a One-Year Term Commencing March 1, 2025 and Ending February 28, 2026, as recommended

3. Approval of Board Membership for Amy Jessee for a One-Year Term Commencing March 1, 2025 and Ending February 28, 2026

M/S/C to approve Board Membership for Amy Jessee for a One-Year Term Commencing March 1, 2025 and Ending February 28, 2026, as recommended

The next Committee meeting is scheduled for January 13, 2025.

H. Policies and Outcomes Committee

Ms. Meena Chockalingam reported that the Committee met on October 21, 2024, and the next Committee meeting is scheduled for February 18, 2025.

I. Vendor Advisory Committee

Mr. Rick Perez reported that the Committee met on November 12, 2024, and the next Committee meeting is scheduled for January 14, 2025.

J. Peer Advisory Committee

In Ms. Yvonne Kluttz's absence, Mr. Landauer reported that the next Committee meeting is scheduled for January 15, 2025.

K. Legislative and Community Awareness Report

Mr. Bruce Hall reported that the next Committee meeting is scheduled for January 14, 2025.

L. ARCA Report

Ms. Martin reported that the Association of Regional Center Agencies (ARCA) Board of Directors' meeting is scheduled for January 17, 2025 in Sacramento.

M. Community Forum

There were no speakers for community forum.

N. Chairperson's Report

Ms. Martin again acknowledged and congratulated the five persons served recognized tonight who have worked for the same employer for 21 or more years.

Ms. Martin reported that the next RCOC Board of Directors' training is scheduled for January 9, 2025. The topic of the training is *RCOC's Intake and Eligibility Process – How One Becomes Eligible for Regional Center Services*.

O. 2023 Performance Contract Objectives Outcomes Review

Mr. Stanton and Mr. Cazares reported on the Performance Contract Objectives Outcomes Review for 2023.

II. Adjournment

Ms. Martin adjourned the meeting at 7:28 p.m.

Yvonne Kluttz, Secretary

Recorder: Sandra Lomelí

**Regional Center of Orange County
Budget & Finance Committee
Meeting Minutes
January 9, 2025**

Committee Members Present: Jacqueline Nguyen, Chair (*joined virtually*)
Marcell Bassett (*arrived at 4:12 p.m.*)
Bruce Hall
Sandy Martin
Fernando Peña

Board Counsel Present: Greg E. Simonian

RCOC Staff Present: Larry Landauer, Executive Director
Arturo Cazares, Director of Community Services
Nancy Franco, Accounting Manager – OPS
Dr. Bonnie Ivers, Clinical Director
Jennifer Montanez, Director of Case Management
Christina Petteruto, General Counsel
Linda Pham, Accountant
Julie Rodriguez, Accounting Supervisor
Marta Vasquez, Chief Financial Officer
Stacy Wong, HR Director

The meeting was called to order at 4:01 p.m.

1. Approval of Monthly Sufficiency of Allocation Report (SOAR), October and November 2024

Ms. Vasquez reported that RCOC received the planning allocation for fiscal year 2024-25. However, submission of the Sufficiency of Allocation Report (SOAR) previously due to the Department of Developmental Services (DDS) on December 10, 2024, has been postponed until further notice. This is due to the increase in workload as a result of the retroactive minimum wage increases effective July 1, 2024 and the full implementation of the DDS Rate Models effective January 1, 2025.

Ms. Vasquez also reported that effective January 1, 2025, most provider rates will increase due to the implementation of the final phase of the Rate Models (an additional 40% of the difference between the rate calculated by Burns and Associates and the rate as of March 31, 2022 along with 10% in quality incentives). The final phase of the Rate Models will also include an adjustment for minimum wage increases. Vendors not included in the Rate Models will receive a rate increase due to the increase in minimum wage.

M/S/C to approve the monthly SOARs

2. Approval of Budget Amendment D-4, Fiscal Year 2022-23

Ms. Vasquez reported that DDS reduced its allocation for Operations by \$12,697 and allocated an additional \$1.4 million in Community Placement Plan (CPP) funds.

M/S/C to approve the Budget Amendment

The meeting adjourned at 4:49 p.m.

REGIONAL CENTER OF ORANGE COUNTY

BOARD OF DIRECTORS

AGENDA ITEM DETAIL SHEET

DATE: March 6, 2025
TO: Board of Directors
FROM: Jacqueline Nguyen
Chair, Budget & Finance Committee

ACTION	X
ACTION/CONSENT	
DISCUSSION	
INFO ONLY	

SUBJECT: Approval of Monthly Sufficiency of Allocation Report, December 2024

BACKGROUND:

Staff presents the monthly sufficiency of allocation report to the Budget and Finance Committee for review and approval. This committee then presents the statement to the Board.

REASON FOR CURRENT ITEM:

The Board has a responsibility to monitor the Center's financial status.

FISCAL IMPACT:

None.

RECOMMENDATION:

That the Board approve the monthly sufficiency of allocation report as presented.

REGIONAL CENTER OF ORANGE COUNTY

MEMORANDUM

Date: March 6, 2025
To: Board of Directors
From: Budget and Finance Committee
Subject: Highlights – December 2024 Sufficiency of Allocation Report (SOAR)

Purchase of Services (POS)

As reported previously, RCOC received the planning allocation that staff will use to determine the sufficiency or deficiency of the allocation. However, due to the workload as a result of the retroactive minimum wage increases effective July 1, 2024 and the full implementation of the Rate Model increases, submission of the Sufficiency of Allocation Report (SOAR) previously due on December 10, 2024, was postponed until further notice.

The projections will include the fiscal impact of prior years' and current year's rate increases.

Prior rate increases include;

- the continuation of prior year minimum wage increases,
- the continuation of the Rate Model increase effective April 1, 2022 and January 1, 2023, (a total of 50% of the difference between the rate calculated by Burns & Associates and the rate as of March 31, 2022),
- the continuation of the Rate Model adjustment for Independent Living Services,
- the continuation of the application of the Rate Model rate to Personal Assistance Worker Administration services,
- the continuation of the rate increases for occupational, physical and speech therapy, and
- the continuation of sick leave increases.

Current rate increases include;

- the retroactive minimum wage and IRS mileage rate increases effective July 1, 2024,
- the application of the Rate Model agency rate to Respite Worker Administration services effective July 1, 2024,
- the new minimum wage increase effective January 1, 2025,
- the full implementation of the final phase of the Rate Models effective January 1, 2025, (an additional 40% of the difference between the rate calculated by Burns and Associates and the rate as of March 31, 2022, and 10% in quality incentives for vendors that registered for the Provider Directory).

Year to date, RCOC's caseload increased by 720 for an annualized caseload growth of 4.7%; the regional center system increased 15,139 persons for an annualized caseload growth of 5.9%.

Operations

RCOC will be within budget for both Operating Expense and Personal Services.

Monthly Sufficiency of Allocation Report
As of December 31, 2024

	A	B	C	D	E	F	G	H
PURCHASE OF SERVICE	A-1 ALLOCATION	ACTUAL SPENT YEAR TO DATE	PROJECTED EXPENDITURES AT "RUN RATE"	SOAR	VARIANCE		CHANGE FROM PRIOR MO. REPORTED	SPENT PRIOR YEAR
				PROJECTED EXPENDITURES n/a	(column A-D)/A %	(column A-D) AMOUNT YEAR TO DATE		
(1) Licensed Residential Care	\$ 225,995,062	\$ 105,126,239	\$ 211,221,027	\$0	n/a	n/a	n/a	\$205,752,574
(2) Day Care	2,111,635	489,869	1,665,828	0	n/a	n/a	n/a	1,499,788
(3) Day Training	85,647,121	43,846,313	88,360,202	0	n/a	n/a	n/a	76,427,877
(4) Habilitation	10,293,005	4,038,824	8,613,549	0	n/a	n/a	n/a	8,503,416
(5) Transportation	21,652,981	7,154,550	17,079,578	0	n/a	n/a	n/a	15,221,895
(6) Respite	65,416,802	27,858,286	73,525,202	0	n/a	n/a	n/a	56,451,139
(7) Personal Assistance	71,751,468	28,558,069	66,062,538	0	n/a	n/a	n/a	60,365,325
(8) Supported Living	67,390,135	33,689,687	67,379,374	0	n/a	n/a	n/a	61,988,756
(9) Non-medical	38,248,305	16,877,808	34,461,066	0	n/a	n/a	n/a	32,194,735
(10) Medical	13,698,869	7,152,513	14,305,026	0	n/a	n/a	n/a	10,970,046
(11) Other	88,320,598	36,801,905	73,603,810	0	n/a	n/a	n/a	62,475,045
(12) Early Start (Age 0-3)	46,580,911	19,136,687	43,676,436	0	n/a	n/a	n/a	40,185,010
(13) Community Placement Plan	419,559	-	-	0	n/a	n/a	n/a	0
(14) Purchase of Service Total	<u>737,526,451</u>	<u>330,730,750</u>	<u>699,953,637</u>	<u>0</u>	<u>0%</u>	<u>0</u>	<u>0</u>	<u>632,035,606</u>
<u>OPERATIONS</u>								
(15) Operating Expense (Gross)	12,989,075	4,752,478	9,504,957	12,989,075	0%	0	0	6,964,836
(16) Less Interest Income and SPA Fees	<u>-600,000</u>	<u>-1,431,050</u>	<u>-2,862,101</u>	<u>-600,000</u>	<u>0%</u>	<u>0</u>	<u>0</u>	<u>-2,175,915</u>
(17) Operating Expense (Net)	12,389,075	3,321,428	6,642,856	12,389,075	0%	0	0	4,788,921
(18) Personal Services	68,569,701	26,475,128	52,950,255	68,569,701	0%	0	0	48,868,061
(19) Family Resource Center/Services	<u>269,299</u>	<u>85,636</u>	<u>171,271</u>	<u>269,299</u>	<u>0%</u>	<u>0</u>	<u>0</u>	<u>214,330</u>
(20) Operations Total	<u>81,228,075</u>	<u>29,882,191</u>	<u>59,764,383</u>	<u>81,228,075</u>	<u>0%</u>	<u>0</u>	<u>0</u>	<u>53,871,312</u>
(21) Total	<u>\$818,754,526</u>	<u>\$360,612,941</u>	<u>\$759,718,020</u>	<u>\$81,228,075</u>	<u>0%</u>	<u>\$0</u>	<u>\$0</u>	<u>\$685,906,918</u>

* State Plan Amendment (SPA). Regional centers pay the Day Program and Transportation expenditures for persons who live in Intermediate Care Facilities (ICFs); DDS pays ICFs; ICFs pay regional centers.

** Due to later payment dates, the Spent Year to Date amount (column B) for line items 5 through 10 is approximately one month less than expenditures for Residential Care and Day Training.

STATEMENT OF ASSETS, LIABILITIES AND FUND BALANCES
AS OF DECEMBER 31, 2024

ASSETS	GENERAL FUND	CUSTODIAL FUND
CURRENT ASSETS		
Petty cash	\$300.00	
Checking	103,945,772.74	\$92,123.70
Savings	123,793.20	
Money market	0.00	
Payroll	418,129.70	
Donations	209,719.08	
Unemployment	840,727.52	
Certificate of deposit	0.00	
	-----	-----
Total current assets	105,538,442.24	92,123.70
	-----	-----
RECEIVABLES		
State claim	120,561,373.11	
Client support revenue	18,112.98	897.42
Due from State - prior years	41,663,358.06	
Due from ICF - ICF Supplemental Services	8,184,720.25	
	-----	-----
Total receivables	170,427,564.40	897.42
	-----	-----
PREPAID ITEMS		
Deposits	293,582.86	
Prepaid expense	0.00	
	-----	-----
Total prepaid items	293,582.86	0.00
	-----	-----
OTHER ASSETS		
Tenant improvements	303,163.76	
Building acquisition	63,613.98	
	-----	-----
Total other assets	366,777.74	0.00
	-----	-----
TOTAL ASSETS	\$276,626,367.24	\$93,021.12
	=====	=====
LIABILITIES AND FUND BALANCES		
LIABILITIES		
Accounts payable	\$37,119,001.53	\$18,112.98
Due to State - ICF Supplemental Services	0.00	
Loans payable	0.00	
Cash advance	238,457,872.44	
Unemployment insurance	839,774.19	
	-----	-----
Total liabilities	276,416,648.16	18,112.98
	-----	-----
FUND BALANCES		
General		
Donations	209,719.08	
Custodial		74,908.14
	-----	-----
TOTAL LIABILITIES AND FUND BALANCES	\$276,626,367.24	\$93,021.12
	=====	=====

REGIONAL CENTER OF ORANGE COUNTY
BRIAN'S FUND
DECEMBER 31, 2024

Beginning Balance		\$207,387.21
Donations:		
Frontstream	\$23.00	
Summers, David and Nadejda	1,000.00	
Heidari, Mansour and Arefeh	<u>1,000.00</u>	
Subtotal Donations	\$2,023.00	
Loan Payments	300.00	
Interest	8.87	
Disbursements	<u>0.00</u>	
Net Increase (Decrease)		<u>2,331.87</u>
Ending Balance		<u><u>\$209,719.08</u></u>

REGIONAL CENTER OF ORANGE COUNTY

BOARD OF DIRECTORS

AGENDA ITEM DETAIL SHEET

DATE: March 6, 2025
TO: Board of Directors
FROM: Jacqueline Nguyen
Chair, Budget & Finance Committee

ACTION	X
ACTION/CONSENT	
DISCUSSION	
INFO ONLY	

SUBJECT: Approval of Monthly Sufficiency of Allocation Report, January 2025

BACKGROUND:

Staff presents the monthly sufficiency of allocation report to the Budget and Finance Committee for review and approval. This committee then presents the statement to the Board.

REASON FOR CURRENT ITEM:

The Board has a responsibility to monitor the Center's financial status.

FISCAL IMPACT:

None.

RECOMMENDATION:

That the Board approve the monthly sufficiency of allocation report as presented.

REGIONAL CENTER OF ORANGE COUNTY

MEMORANDUM

Date: March 6, 2025
To: Board of Directors
From: Budget and Finance Committee
Subject: Highlights – January 2025 Sufficiency of Allocation Report (SOAR)

Purchase of Services (POS)

As reported previously, RCOC received the planning allocation that staff will use to determine the sufficiency or deficiency of the allocation. However, due to the workload as a result of the retroactive minimum wage increases effective July 1, 2024 and the full implementation of the Rate Model increases, submission of the Sufficiency of Allocation Report (SOAR) previously due on December 10, 2024, was postponed until further notice.

The projections will include the fiscal impact of prior years' and current year's rate increases.

Prior rate increases include;

- the continuation of prior year minimum wage increases,
- the continuation of the Rate Model increase effective April 1, 2022 and January 1, 2023, (a total of 50% of the difference between the rate calculated by Burns & Associates and the rate as of March 31, 2022),
- the continuation of the Rate Model adjustment for Independent Living Services,
- the continuation of the application of the Rate Model rate to Personal Assistance Worker Administration services,
- the continuation of the rate increases for occupational, physical and speech therapy, and
- the continuation of sick leave increases.

Current rate increases include;

- the retroactive minimum wage and IRS mileage rate increases effective July 1, 2024,
- the application of the Rate Model agency rate to Respite Worker Administration services effective July 1, 2024,
- the new minimum wage increase effective January 1, 2025,
- the full implementation of the final phase of the Rate Models effective January 1, 2025, (an additional 40% of the difference between the rate calculated by Burns and Associates and the rate as of March 31, 2022, and 10% in quality incentives for vendors that registered for the Provider Directory).

Year to date, RCOC's caseload increased by 720 for an annualized caseload growth of 4.7%; the regional center system increased 15,139 persons for an annualized caseload growth of 5.9%.

Operations

RCOC will be within budget for both Operating Expense and Personal Services.

Monthly Sufficiency of Allocation Report
As of January 31, 2025

	A	B	C	D	E	F	G	H
PURCHASE OF SERVICE	A-1 ALLOCATION	ACTUAL SPENT YEAR TO DATE	PROJECTED EXPENDITURES AT "RUN RATE"	SOAR	VARIANCE		CHANGE FROM PRIOR MO. REPORTED	SPENT PRIOR YEAR
				PROJECTED EXPENDITURES n/a	(column A-D)/A %	(column A-D) AMOUNT YEAR TO DATE		
(1) Licensed Residential Care	\$ 225,995,062	\$ 120,670,960	\$ 210,837,895	\$0	n/a	n/a	n/a	\$205,752,574
(2) Day Care	2,111,635	540,846	1,668,438	0	n/a	n/a	n/a	1,499,788
(3) Day Training	85,647,121	50,430,511	87,997,572	0	n/a	n/a	n/a	76,427,877
(4) Habilitation	10,293,005	4,199,367	8,626,955	0	n/a	n/a	n/a	8,503,416
(5) Transportation	21,652,981	7,310,686	17,545,646	0	n/a	n/a	n/a	15,221,895
(6) Respite	65,416,802	27,897,205	73,524,795	0	n/a	n/a	n/a	56,451,139
(7) Personal Assistance	71,751,468	28,558,069	66,340,324	0	n/a	n/a	n/a	60,365,325
(8) Supported Living	67,390,135	39,788,247	68,208,423	0	n/a	n/a	n/a	61,988,756
(9) Non-medical	38,248,305	18,460,670	34,456,426	0	n/a	n/a	n/a	32,194,735
(10) Medical	13,698,869	8,173,333	14,011,428	0	n/a	n/a	n/a	10,970,046
(11) Other	88,320,598	37,311,621	73,580,273	0	n/a	n/a	n/a	62,475,045
(12) Early Start (Age 0-3)	46,580,911	21,482,982	43,677,040	0	n/a	n/a	n/a	40,185,010
(13) Community Placement Plan	419,559	-	-	0	n/a	n/a	n/a	0
(14) Purchase of Service Total	<u>737,526,451</u>	<u>364,824,497</u>	<u>700,475,214</u>	<u>0</u>	<u>0%</u>	<u>0</u>	<u>0</u>	<u>632,035,606</u>
<u>OPERATIONS</u>								
(15) Operating Expense (Gross)	12,989,075	5,403,667	9,263,429	12,989,075	0%	0	0	6,964,836
(16) Less Interest Income and SPA Fees	<u>-600,000</u>	<u>-1,695,810</u>	<u>-2,907,103</u>	<u>-600,000</u>	<u>0%</u>	<u>0</u>	<u>0</u>	<u>-2,175,915</u>
(17) Operating Expense (Net)	12,389,075	3,707,856	6,356,325	12,389,075	0%	0	0	4,788,921
(18) Personal Services	68,569,701	30,989,039	53,124,067	68,569,701	0%	0	0	48,868,061
(19) Family Resource Center/Services	<u>269,299</u>	<u>111,665</u>	<u>191,425</u>	<u>269,299</u>	<u>0%</u>	<u>0</u>	<u>0</u>	<u>214,330</u>
(20) Operations Total	<u>81,228,075</u>	<u>34,808,560</u>	<u>59,671,817</u>	<u>81,228,075</u>	<u>0%</u>	<u>0</u>	<u>0</u>	<u>53,871,312</u>
(21) Total	<u>\$818,754,526</u>	<u>\$399,633,057</u>	<u>\$760,147,031</u>	<u>\$81,228,075</u>	<u>0%</u>	<u>\$0</u>	<u>\$0</u>	<u>\$685,906,918</u>

* State Plan Amendment (SPA). Regional centers pay the Day Program and Transportation expenditures for persons who live in Intermediate Care Facilities (ICFs); DDS pays ICFs; ICFs pay regional centers.

** Due to later payment dates, the Spent Year to Date amount (column B) for line items 5 through 10 is approximately one month less than expenditures for Residential Care and Day Training.

STATEMENT OF ASSETS, LIABILITIES AND FUND BALANCES
AS OF JANUARY 31, 2025

ASSETS	GENERAL FUND	CUSTODIAL FUND
CURRENT ASSETS		
Petty cash	\$300.00	
Checking	96,389,037.81	\$88,706.65
Savings	123,798.37	
Money market	0.00	
Payroll	425,307.49	
Donations	209,727.98	
Unemployment	840,788.22	
Certificate of deposit	0.00	
	-----	-----
Total current assets	97,988,959.87	88,706.65
	-----	-----
RECEIVABLES		
State claim	128,673,155.05	
Client support revenue	14,229.23	1,416.89
Due from State - prior years	40,470,787.33	
Due from ICF - ICF Supplemental Services	9,025,957.27	
	-----	-----
Total receivables	178,184,128.88	1,416.89
	-----	-----
PREPAID ITEMS		
Deposits	293,582.86	
Prepaid expense	0.00	
	-----	-----
Total prepaid items	293,582.86	0.00
	-----	-----
OTHER ASSETS		
Tenant improvements	303,163.76	
Building acquisition	63,613.98	
	-----	-----
Total other assets	366,777.74	0.00
	-----	-----
TOTAL ASSETS	\$276,833,449.35	\$90,123.54
	=====	=====
LIABILITIES AND FUND BALANCES		
LIABILITIES		
Accounts payable	\$40,332,435.62	\$14,229.23
Due to State - ICF Supplemental Services	0.00	
Loans payable	0.00	
Cash advance	235,451,333.05	
Unemployment insurance	839,952.70	
	-----	-----
Total liabilities	276,623,721.37	14,229.23
	-----	-----
FUND BALANCES		
General		
Donations	209,727.98	
Custodial		75,894.31
	-----	-----
TOTAL LIABILITIES AND FUND BALANCES	\$276,833,449.35	\$90,123.54
	=====	=====

REGIONAL CENTER OF ORANGE COUNTY
BRIAN'S FUND
JANUARY 31, 2025

Beginning Balance		\$209,719.08
Donations	\$0.00	
Loan Payments	0.00	
Interest	8.90	
Disbursements	<u>0.00</u>	
Net Increase (Decrease)		<u>8.90</u>
Ending Balance		<u><u>\$209,727.98</u></u>



Summary of Information About Persons Served - December 2024

NUMBER OF PERSONS SERVED	27,107	100%
Children - Birth to Age Three Receiving Early Start Services	3,490	13%
Children - Ages Three to Five Receiving Provisional Services	460	2%
Children - Ages Three to 17 Receiving Lanterman Services	9,223	34%
Adults - Ages 18 and Older Receiving Lanterman Services	13,934	51%

Children - Birth to Age Three Receiving Prevention Resource and Referral Services	409
--	------------

Children and Adults - Ages Three and Older Receiving Lanterman Services with the Following Diagnoses:

Intellectual Disability	11,466	51%
Epilepsy	2,869	13%
Cerebral Palsy	2,536	11%
Autism	11,374	50%
Fifth Category*	2,073	9%

* condition closely related to intellectual disability and requiring similar treatment

Note: Many persons served have more than one diagnosis so the percentage equals more than 100%.

NUMBER OF PERSONS REQUESTING ELIGIBILITY DETERMINATION	298
Early Start / Under Age Three / 45 days to complete determination	186 62%
Lanterman / Over Age Three / 120 days to complete determination	106 36%
Provisional / Up to Age Five / 90 days to complete determination	6 2%

NUMBER OF PERSONS DETERMINED ELIGIBLE	181
Children - Birth to Age Three Eligible for Early Start Services	1
Children and Adults - Ages Three and Older Eligible for Lanterman Services	100
<ul style="list-style-type: none"> • Number of children who received Early Start services 	47
<ul style="list-style-type: none"> • Number of children who received Early Start services and had a diagnosis of autism 	33
Children - Birth to Age Three Eligible for Prevention Resource and Referral Services	0

NUMBER OF CHILDREN NO LONGER ELIGIBLE FOR EARLY START OR PREVENTION RESOURCE AND REFERRAL SERVICES	134
Children - Age Three No Longer Eligible for Early Start Services	134
Children - Age Three No Longer Eligible for Prevention Resource and Referral Services	0

REGIONAL CENTER OF ORANGE COUNTY



OPERATIONS REPORT

DECEMBER 2024 ACTIVITY

Mission Statement

The Regional Center of Orange County (RCOC) is a private non-profit organization that, as mandated by the Lanterman Developmental Disabilities Services Act, collaborates with persons with developmental disabilities, their families and the community to secure individualized services and supports that enhance the quality of life for the people we serve and assist them in realizing their full potential.

COMMUNITY LIFE

Related Guiding Principles

- *Persons served are in safe and supportive settings that promote a life of independence, acknowledge diverse cultural perspectives and that respect the inherent risks and valuable learning experiences that come from living in the community.*

Provider Monitoring, Technical Support and Special Incident Investigation Activities Fiscal Year 2024-25

Type and Number of Reviews	Jul.	Aug.	Sept.	Oct.	Nov.	Dec.
Annual Review	46	48	33	40	45	40
Unannounced	74	86	55	63	55	51
Total Number of Reviews	120	134	88	103	100	91

Provider Trainings	0	0	0	0	0	0
Technical Support	303	251	298	319	348	308
Corrective Action Plans	0	12	2	3	5	2
Special Incident Investigations*	88	51	47	57	45	31
COVID-19 Checklist	0	0	0	0	0	0

Type and Number of Reviews	Jan.	Feb.	Mar.	Apr.	May	June	Total
Annual Review							252
Unannounced							384
Total Number of Reviews							636

Provider Trainings							0
Technical Support							1,827
Corrective Action Plans							24
Special Incident Investigations*							319

* California Code of Regulations, Title 17, Division 2, Chapter 3 - Community Services SubChapter 2 - Vendorization Article 2 - Vendorization Process, Section 54327 requires all vendors, excluding parents and consumers, to report the following special incidents.

Type of Special Incidents (from California Code of Regulations, Title 17)

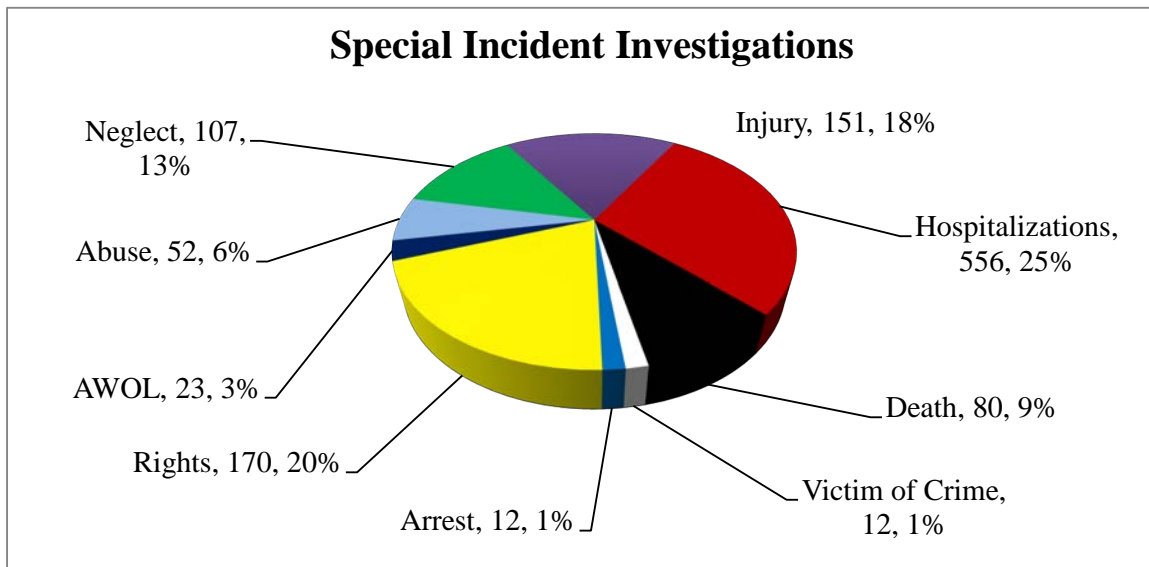
- (A) The consumer is missing and the vendor or long-term health care facility has filed a missing persons report with a law enforcement agency;
- (B) Reasonably suspected abuse/exploitation including:
 - 1. Physical;
 - 2. Sexual;
 - 3. Fiduciary;
 - 4. Emotional/mental; or
 - 5. Physical and/or chemical restraint.
- (C) Reasonably suspected neglect including failure to:
 - 1. Provide medical care for physical and mental health needs;
 - 2. Prevent malnutrition or dehydration;
 - 3. Protect from health and safety hazards;
 - 4. Assist in personal hygiene or the provision of food, clothing or shelter or
 - 5. Exercise the degree of care that a reasonable person would exercise in the position of having the care and custody of an elder or a dependent adult.
- (D) A serious injury/accident including:
 - 1. Lacerations requiring sutures or staples;
 - 2. Puncture wounds requiring medical treatment beyond first aid;
 - 3. Fractures;
 - 4. Dislocations;
 - 5. Bites that break the skin and require medical treatment beyond first aid;
 - 6. Internal bleeding requiring medical treatment beyond first aid;
 - 7. Any medication errors;
 - 8. Medication reactions that require medical treatment beyond first aid; or
 - 9. Burns that require medical treatment beyond first aid.
- (E) Any unplanned or unscheduled hospitalization due to the following conditions:
 - 1. Respiratory illness, including but not limited, to asthma; tuberculosis; and chronic obstructive pulmonary disease;
 - 2. Seizure-related;
 - 3. Cardiac-related, including but not limited to, congestive heart failure; hypertension; and angina;
 - 4. Internal infections, including but not limited to, ear, nose and throat; gastrointestinal; kidney; dental; pelvic; or urinary tract;
 - 5. Diabetes, including diabetes-related complications;
 - 6. Wound/skin care, including but not limited to, cellulitis and decubitus;
 - 7. Nutritional deficiencies, including but not limited to, anemia and dehydration; or
 - 8. Involuntary psychiatric admission;
- (2) The following special incidents regardless of when or where they occurred:
 - (A) The death of any consumer, regardless of cause;
 - (B) The consumer is the victim of a crime including the following:
 - 1. Robbery, including theft using a firearm, knife, or cutting instrument or other dangerous weapons or methods which force or threaten a victim;
 - 2. Aggravated assault, including a physical attack on a victim using hands, fist, feet or a firearm, knife or cutting instrument or other dangerous weapon;
 - 3. Larceny, including the unlawful taking, carrying, leading, or riding away of property, except for motor vehicles, from the possession or constructive possession of another person;
 - 4. Burglary, including forcible entry; unlawful non-forcible entry; and, attempted forcible entry of a structure to commit a felony or theft therein;
 - 5. Rape, including rape and attempts to commit rape.

Title 17 does not require reporting on arrest or consumer rights violations; however, RCOC includes arrest and rights violations as reportable incidents.

Type and Number of Special Incident Investigations
Fiscal Year 2024-25

Type of Incident	Jul.	Aug.	Sept.	Oct.	Nov.	Dec.
AWOL	4	11	3	1	1	3
Abuse	9	12	5	15	5	6
Neglect	44	7	18	17	9	12
Injury	16	35	36	1	29	34
Hospitalizations - Total	28	50	36	47	35	50
<i>Psychiatric</i>	7	8	6	10	6	9
<i>Medical</i>	21	42	30	37	29	41
Death	17	11	8	9	14	21
Victim of crime	1	1	2	2	5	1
Arrest	1	3	1	2	4	1
Rights	69	13	14	49	16	9
Total	189	143	123	143	118	137

Type of Incident	Jan.	Feb.	Mar.	Apr.	May	June	Total
AWOL							23
Abuse							52
Neglect							107
Injury							151
Hospitalizations - Total							246
<i>Psychiatric</i>							46
<i>Medical</i>							200
Death							80
Victim of Crime							12
Arrest							12
Rights							170
Total	0	0	0	0	0	0	853



COMMUNITY LIFE continued

Provider Audits
Fiscal Year 2024-25

Number of Audits / Appeals / Recoveries

Type of Audit	Jul.	Aug.	Sept.	Oct.	Nov.	Dec.
Service Billing	0	0	0	0	0	0
Staffing	0	0	0	0	0	0
Level 4I Consultant	0	0	0	0	0	0
P&I (consumer funds)	0	0	0	0	0	2
Total Number of Audits	0	0	0	0	0	2

Number of Appeals / Recoveries (Vendors may appeal after monthly data is reported)

State Appeal	0	0	0	0	0	0
Recovery	0	0	0	0	0	2

Audit Findings (Dollar Amount)

<i>Amount of Recovery</i>	\$0.00	\$0.00	\$0.00	\$0.00	\$0.00	\$5,265.19
---------------------------	--------	--------	--------	--------	--------	------------

Type of Audit	Jan.	Feb.	Mar.	Apr.	May	June	Total
Service Billing							0
Staffing							0
Level 4I Consultant							0
P&I (consumer funds)							0
Total Number of Audits							2

Number of Appeals / Recoveries

State Appeal							0
Recovery							2

Audit Findings (Dollar Amount)

<i>Amount of Recovery</i>	\$0.00	\$0.00	\$0.00	\$0.00	\$0.00	\$0.00	\$5,265.19
---------------------------	--------	--------	--------	--------	--------	--------	-------------------

FAMILY SUPPORTS

Related Guiding Principles

- Families are informed advocates for their loved ones with developmental disabilities.
- Families are the decision makers for their minor children.
- Family support services are flexible and innovative in meeting the family's needs as they evolve over time, are tailored to the preferences of the individual family, and are consistent with their cultural norms and customs.
- Services and supports for families recognize, facilitate and build on family strengths, natural supports and existing community resources.

Fiscal Year 2024-25

Number of Authorizations for Voucher Services

Type of Service	Jul.	Aug.	Sept.	Oct.	Nov.	Dec.
Day Care - Family Member	93	81	83	71	80	79
Diapers - Family Member	3	3	3	3	3	3
Nursing Service - Family Member	55	42	47	39	45	40
Respite Service - Family Member	697	693	697	608	708	706
Transportation - Family Member	222	251	238	184	271	276
Total Number of Voucher Authorizations	1,070	1,070	1,068	905	1,107	1,104

Number of Authorizations for Voucher Services

Type of Service	Jan.	Feb.	Mar.	Apr.	May	June
Day Care - Family Member						
Diapers - Family Member						
Nursing Service - Family Member						
Respite Service - Family Member						
Transportation - Family Member						
Total Number of Voucher Authorizations	0	0	0	0	0	0

FAMILY SUPPORTS

Related Guiding Principles

- Family support services are flexible and innovative in meeting the family's needs as they evolve over time, are tailored to the preferences of the individual family, and are consistent with their cultural norms and customs.
- Services and supports for families recognize, facilitate and build on family strengths, natural supports and existing community resources.

Notifications of Community Events and Activities

Fiscal Year 2024-25

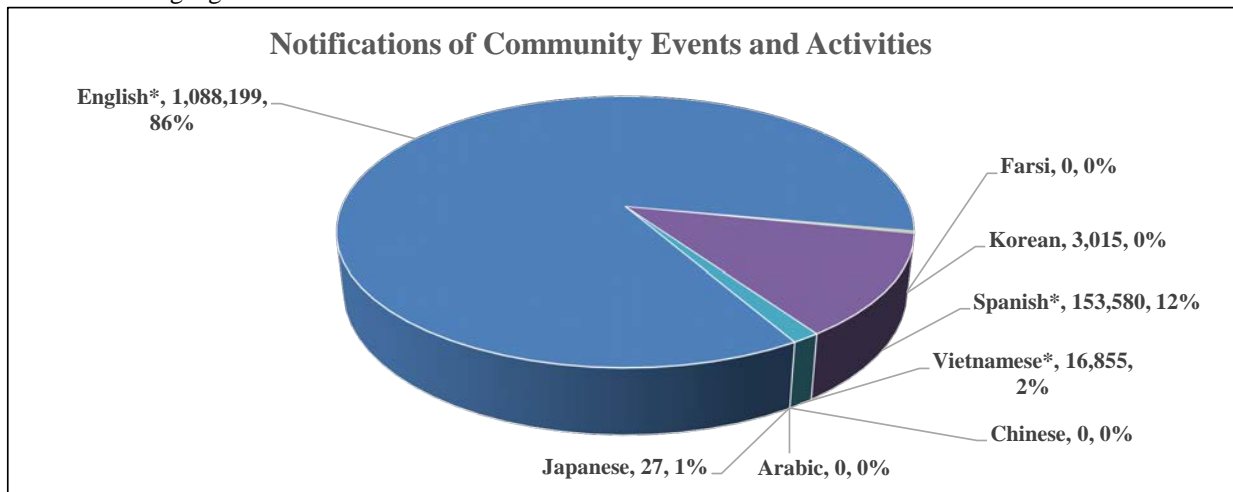
Number of Notifications

Language	Jul.	Aug.	Sept.	Oct.	Nov.	Dec.
English*	221,726	234,714	219,993	151,100	151,373	109,293
Farsi	0	0	0	0	0	0
Korean	165	1,027	672	861	290	0
Spanish*	39,805	38,663	26,432	18,594	23,398	6,688
Vietnamese*	4,559	3,220	3,423	1,842	1,123	2,688
Chinese	0	0	0	0	0	0
Japanese	0	0	0	0	0	0
Arabic	0	0	0	0	0	0
Total Number of Notifications	266,255	277,624	250,520	172,397	176,184	118,669

Number of Notifications

Language	Jan.	Feb.	Mar.	Apr.	May	June	Total
English*							1,088,199
Farsi							0
Korean							3,015
Spanish*							153,580
Vietnamese*							16,855
Chinese							0
Japanese							0
Arabic							0
Total Number of Notifications	0	0	0	0	0	0	1,261,649

* Threshold languages for RCOG



FAMILY SUPPORTS

Related Guiding Principles

- Family support services are flexible and innovative in meeting the family's needs as they evolve over time, are tailored to the preferences of the individual family, and are consistent with their cultural norms and customs.
- Services and supports for families recognize, facilitate and build on family strengths, natural supports and existing community resources.

Community Outreach

Fiscal Year 2024-25

Number of Outreach Events

Type of Outreach / Language	Jul.	Aug.	Sept.	Oct.	Nov.	Dec.
In Person/Zoom						
English	9	13	7	10	6	5
Spanish			3	3	2	
Vietnamese	1		1	3	2	
Other Languages**		1				1
In Print						
English	1	1	2			
Spanish						
Vietnamese						
Other Languages						
TV / Radio						
English		1				
Spanish						
Vietnamese	4	4	5	4	4	5
Other Languages						
Total Number of Outreach Events	15	20	18	20	14	11

**

Number of Outreach Events

Language	Jan.	Feb.	Mar.	Apr.*	May*	June*	Total
In Person							
English							50
Spanish							8
Vietnamese							7
Other Languages							2
In Print							
English							4
Spanish							0
Vietnamese							0
Other Languages							0
TV / Radio							
English							1
Spanish							0
Vietnamese							26
Other Languages							0
Total Number of Outreach Events	0	0	0	0	0	0	98

* Virtual Meetings

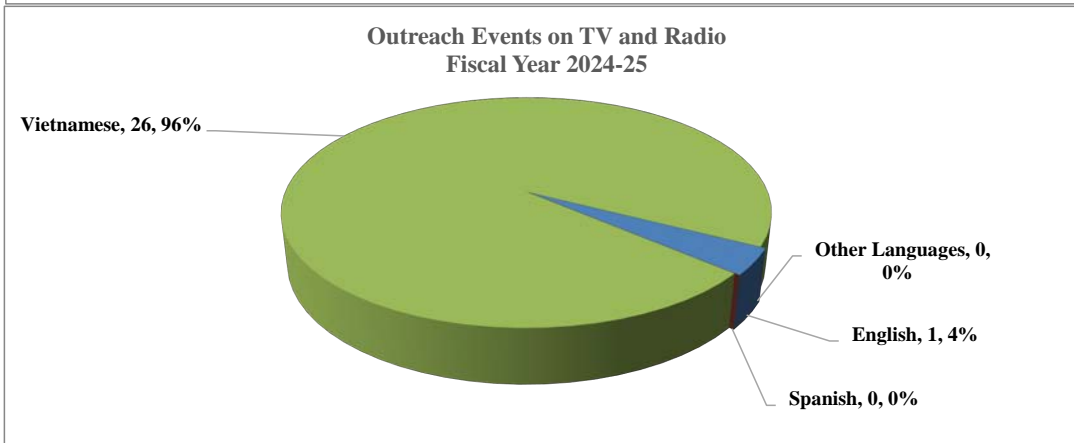
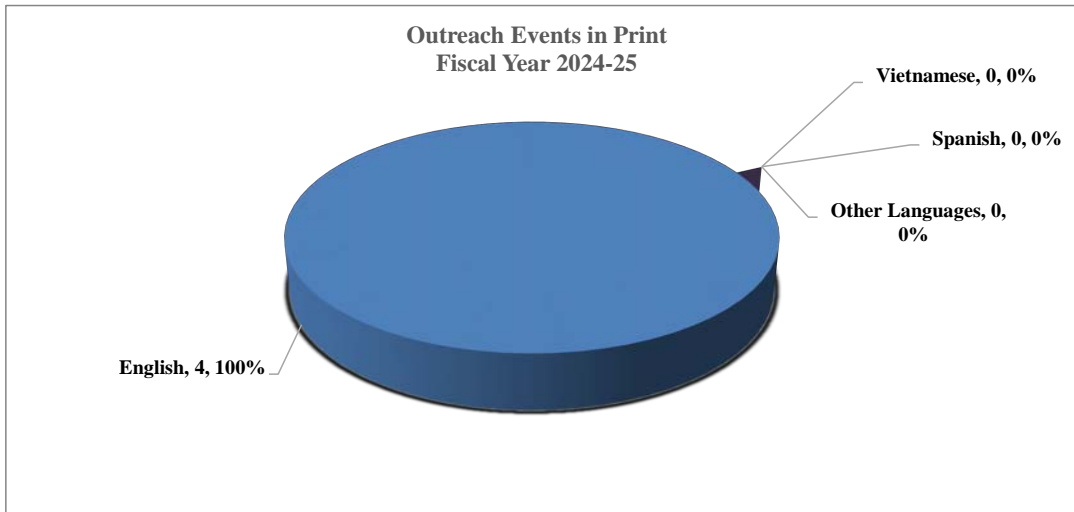
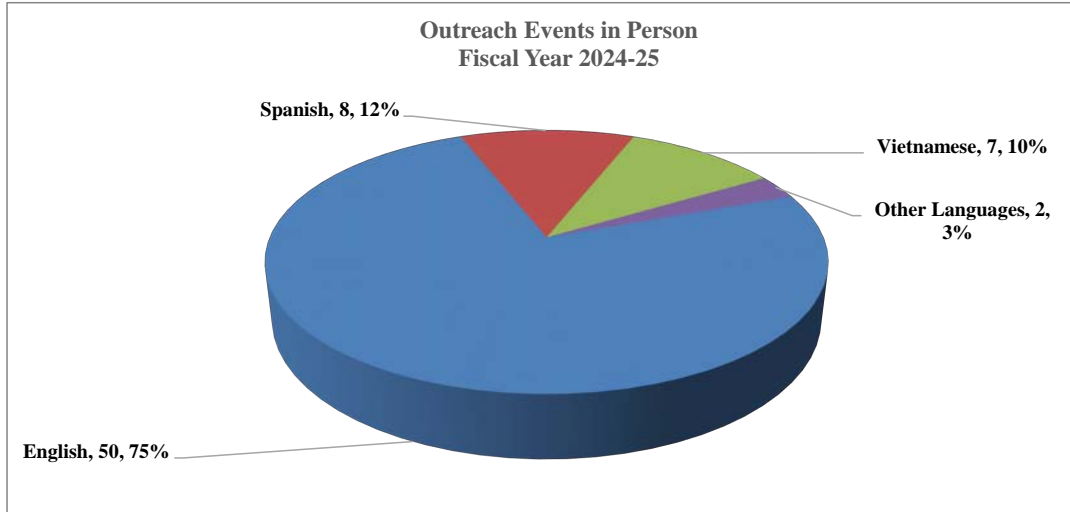
FAMILY SUPPORTS

Related Guiding Principles

- Family support services are flexible and innovative in meeting the family's needs as they evolve over time, are tailored to the preferences of the individual family, and are consistent with their cultural norms and customs.
- Services and supports for families recognize, facilitate and build on family strengths, natural supports and existing community resources.

Community Outreach Events in Person, in Print, on TV and Radio

Fiscal Year 2024-25



EARLY INTERVENTION / PREVENTION

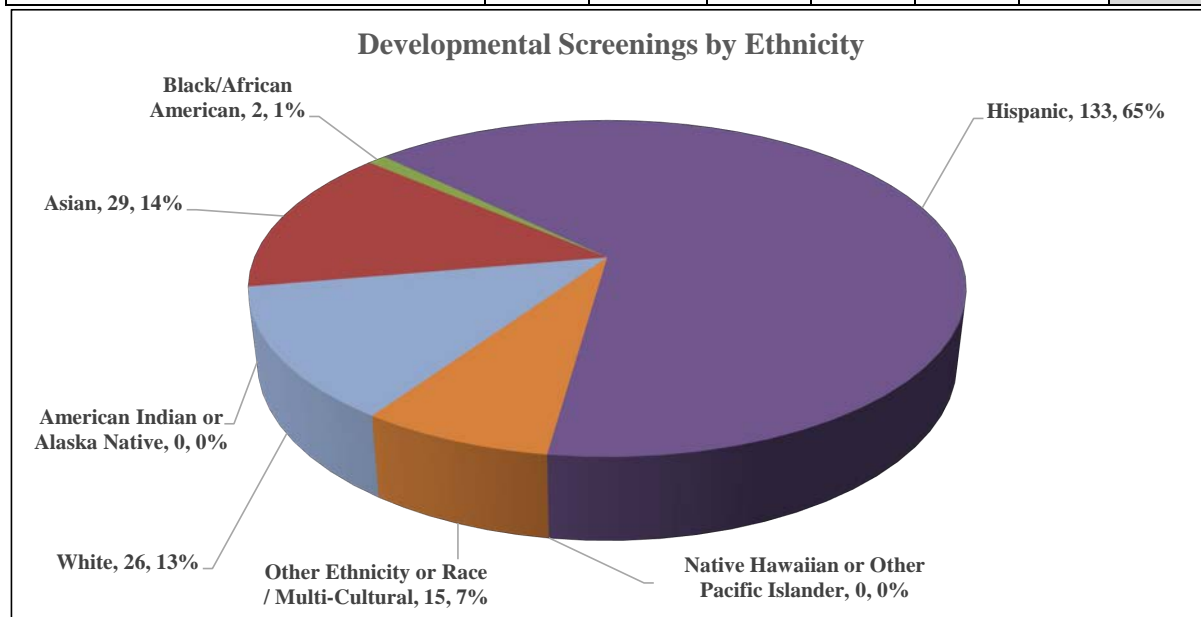
Related Guiding Principles

- Prevention and early intervention services, supports and public awareness activities are designed and implemented to prevent the onset of a disability and/or to improve developmental outcomes.
- Persons served are provided with needed services and supports in a family-focused and collaborative fashion.

Fiscal Year 2024-25

Developmental Screenings by Ethnicity	Jul.	Aug.	Sept.	Oct.	Nov.	Dec.
American Indian or Alaska Native	0	0	0	0	0	No Data Available
Asian	0	8	6	9	6	
Black/African American	0	1	1	0	0	
Hispanic	0	40	45	19	29	
Native Hawaiian or Other Pacific Islander	0	0	0	0	0	
Other Ethnicity or Race / Multi-Cultural	0	5	7	1	2	
White	0	7	11	4	4	
Total Number Screened	0	61	70	33	41	
Total Number Referred to RCOC	0	31	19	8	11	

Developmental Screenings by Ethnicity	Jan.	Feb.	Mar.	Apr.	May	June	Total
American Indian or Alaska Native							0
Asian							29
Black/African American							2
Hispanic							133
Native Hawaiian or Other Pacific Islander							0
Other Ethnicity or Race / Multi-Cultural							15
White							26
Total Number Screened							205
Total Number Referred to RCOC							69

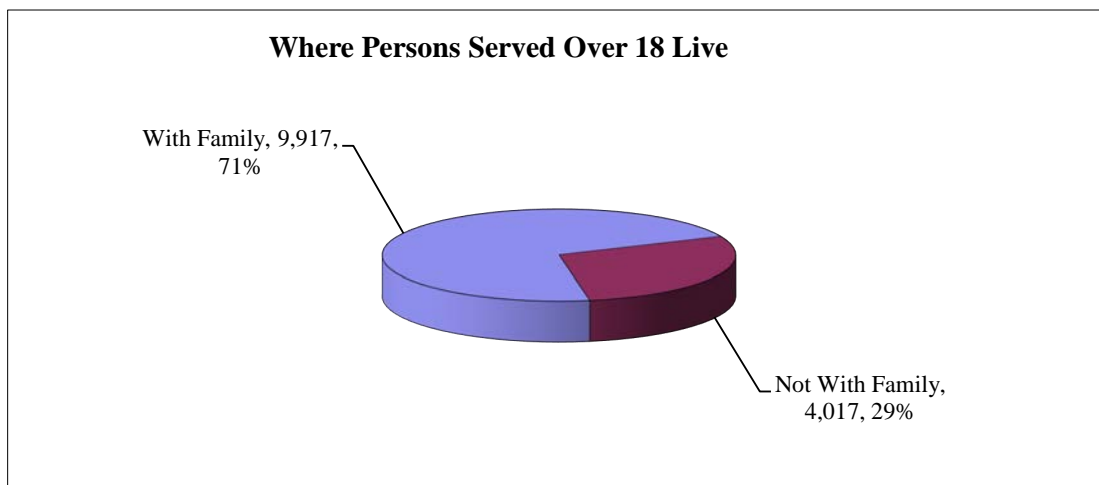
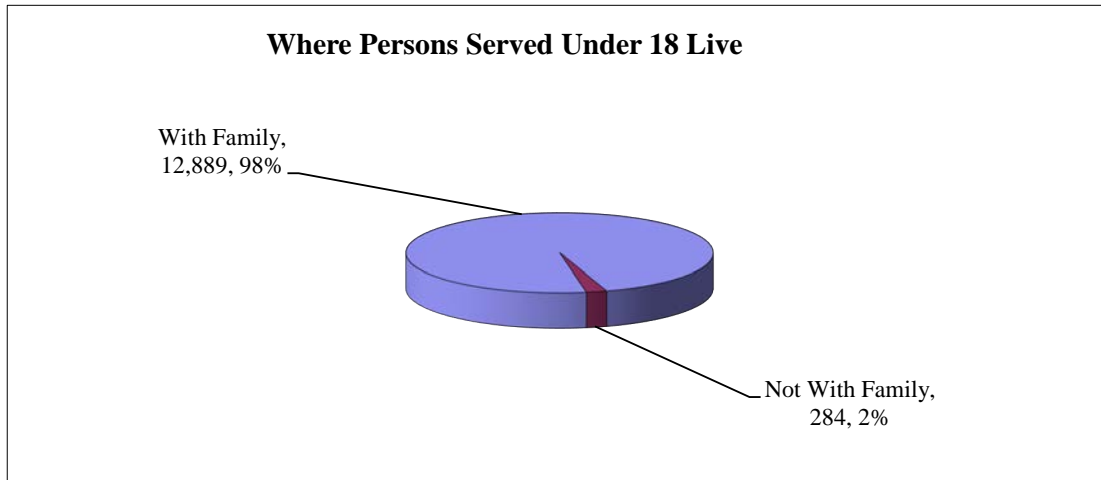


LIVING OPTIONS

Related Guiding Principles

- *Culturally-sensitive services and supports are provided so that persons served can make informed choices on where and with whom they live, including owning or renting their own homes.*
- *Families whose minor or adult children choose to remain in the family home are supported through available resources.*
- *Persons served live in homes where they receive quality care and can form relationships.*

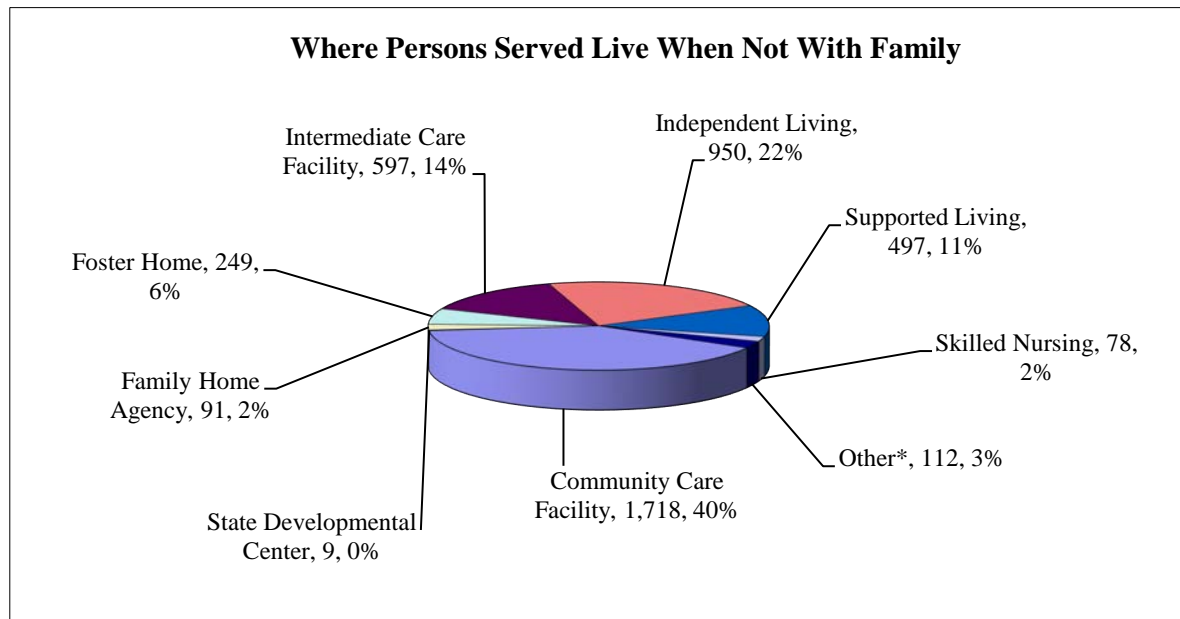
Where Persons Served Live	Persons Served All	Persons Served Under 18	Persons Served Over 18
With Family	22,806	12,889	9,917
Not With Family	4,301	284	4,017
Totals	27,107	13,173	13,934



LIVING OPTIONS, continued

Where Persons Served Live	All Persons Served	Persons Served Under 18	Persons Served Over 18
Family Home	22,806	12,889	9,917
Community Care Facility	1,718	21	1,697
State Developmental Center	9	0	9
Family Home Agency	91	0	91
Foster Home	249	241	8
Intermediate Care Facility	597	1	596
Independent Living	950	0	950
Supported Living	497	0	497
Skilled Nursing	78	0	78
Other*	112	21	91
Total	27,107	13,173	13,934

Other*			
Acute General Hospital	5	0	5
California Youth Authority	1	0	1
Community Treatment	5	1	4
Correctional Institution	1	0	1
County Jail	2	0	2
Other	0	0	0
Out of State	4	3	1
Psychiatric Treatment	27	4	23
Rehabilitation Center	5	0	5
SDC / State Hospital	5	0	5
Sub-Acute	21	12	9
Transient / Homeless	15	2	13
Total, Other*	91	22	69



LIVING OPTIONS, continued

Other Living Options

Family Home Agency

A Family Home Agency (FHA) is a private, not-for-profit agency that is vendored to recruit, approve, train, and monitor family home providers, provide services and supports to family home providers, and assist persons served with moving into or relocating from family homes.

Foster Family Agency

Under the California Department of Social Services, county placement agencies use licensed, private Foster Family Agencies (FFAs) for the placement of children. By statute, FFAs are organized and operated on a non-profit basis and are engaged in the following activities: recruiting, certifying, and training foster parents, providing professional support to foster parents, and finding homes or other temporary or permanent placements for children who require more intensive care.

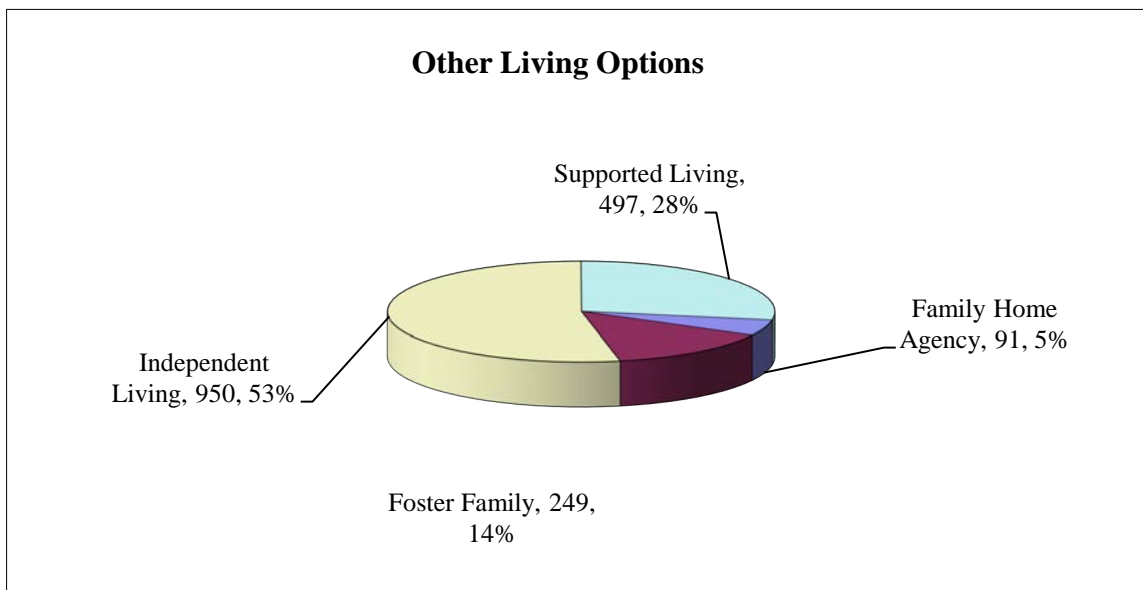
Independent Living

Independent Living services help persons served over 18 with the functional skills necessary to secure a self-sustaining, independent living situation in the community and/or may provide the support necessary to maintain those skills.

Supported Living

Supported Living Services (SLS) support efforts for persons served to live in their own home.

Other Living Options	Total	Under 18	Over 18
Family Home Agency	91	0	91
Foster Family	249	241	8
Independent Living	950	0	950
Supported Living	497	0	497
Total	1,787	241	1,546



LIVING OPTIONS, continued

Living Options, facilities licensed by the State of California, Departments of Community Care Licensing, or Department of Health Care Services

Health Licensed Facilities

Health facilities are licensed by the State of California, Department of Health Services to provide 24-hour medical residential care. Health facilities are funded by Medi-Cal. Health licensed facilities

General Acute Care Hospitals,
Acute Psychiatric Hospitals,
Skilled Nursing Facilities,
Intermediate Care Facilities,
Intermediate Care Facility – Developmentally Disabled,
Intermediate Care Facility – Developmentally Disabled, – Habilitative,
Intermediate Care Facility – Developmentally Disabled, – Nursing,
Home Health Agencies and
Congregate Living Health Facilities.

Community Care Licensed Facilities

Community Care Facilities (CCFs) are licensed by the State of California, Department of Social Services, Community Care Licensing Division to provide 24-hour non-medical residential care to children and adults with developmental disabilities who are in need of personal services, supervision, and/or assistance essential for self-protection or sustaining the activities of daily living. CCFs are funded by regional centers. Based upon the types of services provided and the persons served, each CCF vendored by a regional center is designated one of the following service levels:

SERVICE LEVEL 1: Limited care and supervision for persons with self-care skills and no behavior problems.

SERVICE LEVEL 2: Care, supervision, and incidental training for persons with some self-care skills and no major behavior problems.

SERVICE LEVEL 3: Care, supervision, and ongoing training for persons with significant deficits in self-help skills, and/or some limitations in physical coordination and mobility, and/or disruptive or self-injurious behavior.

SERVICE LEVEL 4: Care, supervision, and professionally supervised training for persons with deficits in self-help skills, and/or severe impairment in physical coordination and mobility, and/or severely disruptive or self-injurious behavior. Service Level 4 is subdivided into Levels 4A through 4I, in which staffing levels are increased to correspond to the escalating severity of disability levels.

LIVING OPTIONS, continued

Persons Served Who Reside in Licensed Facilities Funded by RCOG
Fiscal Year 2024-25

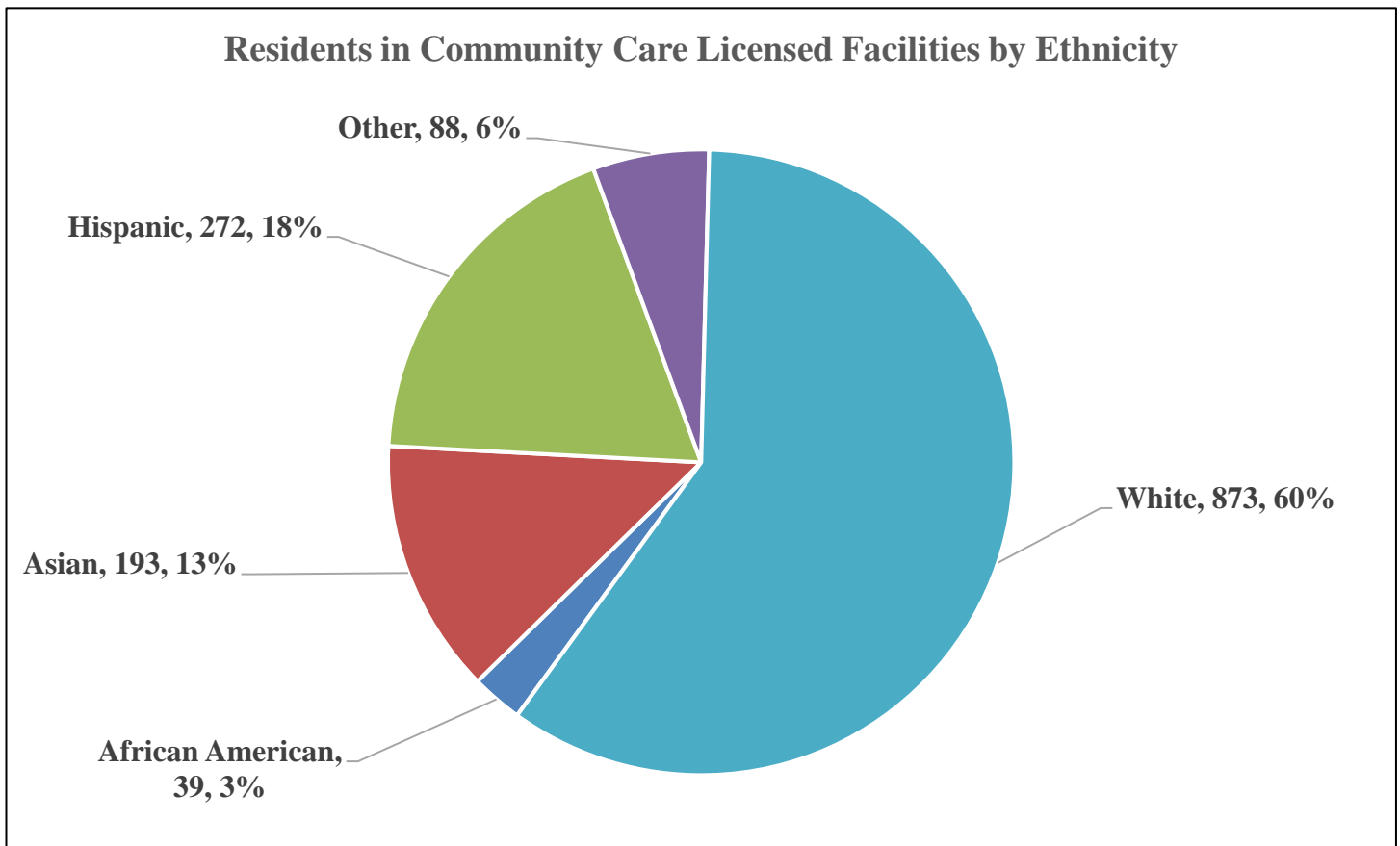
Licensed Facilities	Total	Over 18	Under 18
Level 2	153	153	0
Level 3	239	239	0
Level 4A	26	26	0
Level 4B	5	5	0
Level 4C	46	46	0
Level 4D	37	37	0
Level 4E	13	13	0
Level 4F	42	42	0
Level 4G	32	32	0
Level 4H	1	1	0
Level 4I	313	313	0
Elderly	12	12	0
ICF/DD-H	3	3	0
ICF/DD-N	2	2	0
ICF/DD	0	0	0
Skilled Nursing	0	0	0
Total	924	924	0

Licensed Facilities Summary	Total	Over 18	Under 18
Level 2	153	153	0
Level 3	239	239	0
Level 4	515	515	0
ICF/DD-H	3	3	0
ICF/DD-N	2	2	0
Elderly	12	12	0
Skilled Nursing	0	0	0
Total	924	924	0

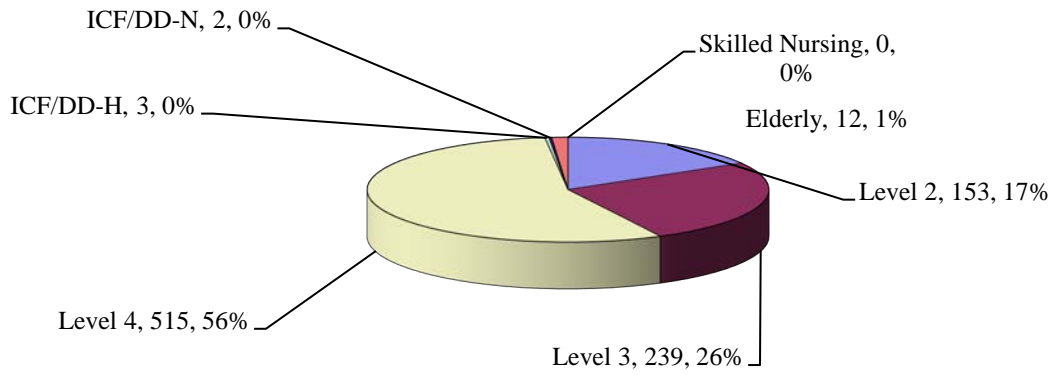
LIVING OPTIONS, continued

Persons Served Who Reside in Licensed Facilities Funded by RCOC by Ethnicity
Fiscal Year 2024-25

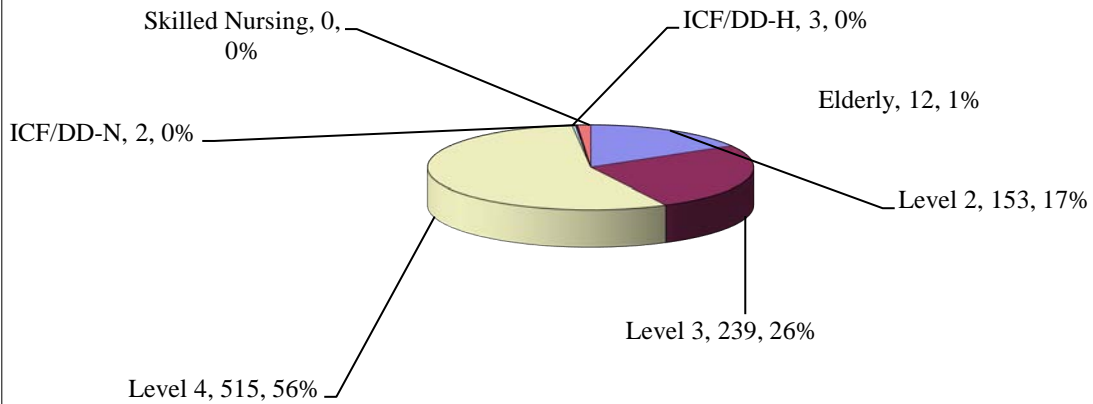
Licensed Facilities	African American	Asian	Hispanic	Other	White	Total
Level 2	6	23	58	12	193	292
Level 3	12	37	70	23	212	354
Level 4A	0	5	6	2	19	32
Level 4B	0	1	0	0	4	5
Level 4C	6	14	12	1	62	95
Level 4D	2	10	6	2	25	45
Level 4E	0	3	7	2	18	30
Level 4F	1	10	9	3	30	53
Level 4G	0	9	2	3	45	59
Level 4H	0	0	2	0	1	3
Level 4I	12	81	100	40	264	497
Total	39	193	272	88	873	1,465



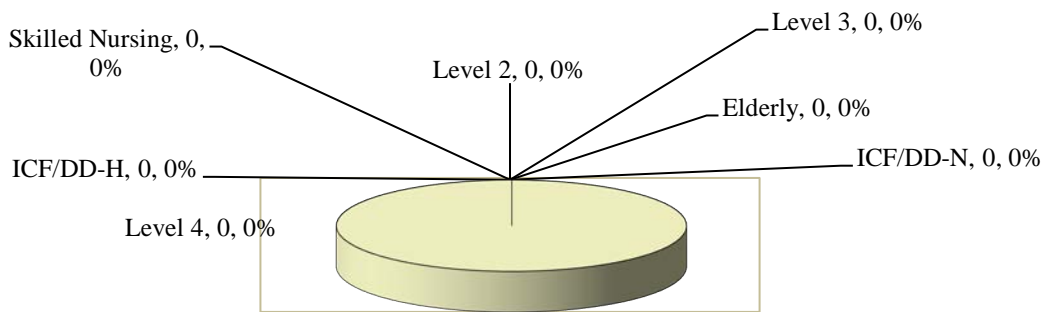
**Persons Served Who Reside in Licensed Facilities
Services Funded by RCOC**



**Persons Served Over Age 18 Who Reside in Licensed Facilities
Services Funded by RCOC**



**Persons Served Under Age 18 Who Reside in Licensed Facilities
Services Funded by RCOC**

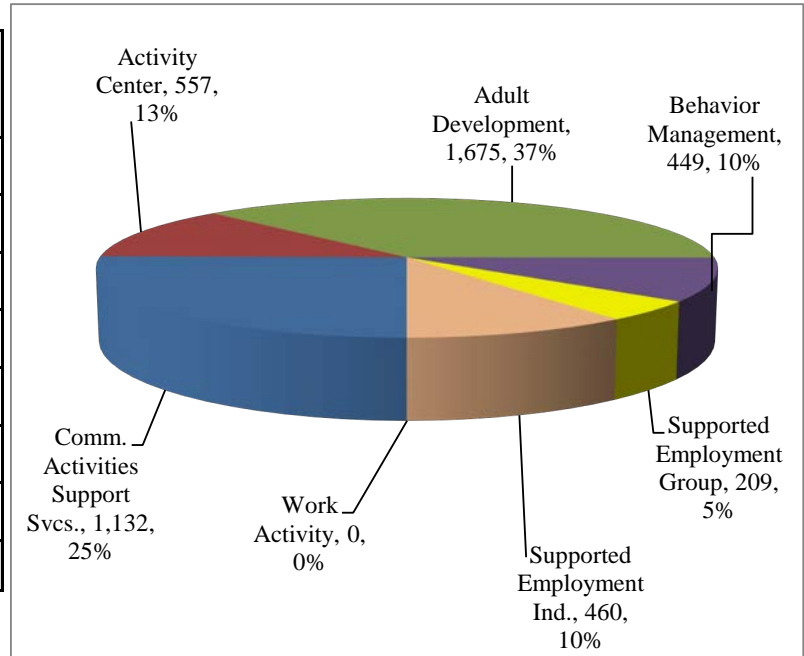


WORK

Related Guiding Principle

- *Persons served have the opportunity and support to work in integrated employment settings that are meaningful, valued by the community, and in which they are appropriately compensated and respected.*

Adult Day & Employment Services	Persons Served Over 18
Comm. Activities Support Svcs.	1,132
Activity Center	557
Adult Development	1,675
Behavior Management	449
Supported Employment Group	209
Supported Employment Ind.	460
Work Activity	0
Total	4,482



Definitions:

Community Activities Support Services similar to a Behavior Management Program, this is a behavior management program with an enhanced ration of 1:1 or 1:2 due to severe behavioral challenges.

Activity Center means a day program that serves adults who generally have acquired most basic self-care skills, have some ability to interact with others, are able to make their needs known, and respond to instructions. Activity center programs focus on the development and maintenance of the functional skills required for self-advocacy, community integration and employment. Staff ratio ranges from 1:6 to 1:8.

Adult Development Center means a day program that serves adults who are in the process of acquiring self-help skills. Individuals who attend adult development centers generally need sustained support and direction in developing the ability to interact with others, to make their needs known, and to respond to instructions. Adult development center programs focus on the development and maintenance of the functional skills required for self-advocacy, community integration, employment, and self-care. Staff ratio ranges from 1:3 to 1:4.

Behavior Management Program means a day program that serves adults with severe behavior disorders and/or dual diagnosis who, because of their behavior problems, are not eligible for or acceptable in any other community-based day program. Staff ratio is 1:3.

Supported Employment Program means a program that meets the requirements of the term supported employment, i.e. services that are provided by a job coach in order to support and maintain an individual with developmental disabilities in employment, and of the terms, integrated work, supported employment placement, allowable supported employment services, group and individualized services. Staff ratio ranges from 1:1 to 1:4.

Work Activity Program includes, but is not limited to, Work Activity centers or settings that provide support to persons served engaged in paid work and have demonstrated that the program is in compliance with Department of Rehabilitation certification standards or are accredited by CARF. Staff ratio ranges from 1:12 to 1:20.

SERVICE PLANNING AND COORDINATION

Related Guiding Principles

- *Service coordinators are caring, knowledgeable and competent in service planning, coordination and resources.*
- *Service coordinators inform families of their rights and the services and supports available to them.*
- *Service planning and coordination is a collaborative effort between RCOC, persons served and their families to identify needed services and supports.*
- *Person-centered planning is based upon the choices and preferences of the persons served and their families, and the identification of generic services and natural supports.*
- *Services and supports assist person served and their families to develop support networks leading to reduced dependence on paid supports.*
- *Services and supports are sensitive to the diverse religious, cultural, language, socioeconomic and ethnic characteristics of persons' served and their families' communities.*

Service Coordination

Fiscal Year 2024-25

Service Coordination:	Jul.	Aug.	Sept.	Oct.	Nov.	Dec.
Number of Service Coordinators (SC)	400.3	399.3	415.3	424.4	423.4	417.6
Number of Case-Carrying SCs	367.1	366.2	382.2	390.9	387.9	380.5
Number of Intake SCs	33.3	33.3	33.3	33.7	35.7	34.3
Number of Active Persons Served	26,489	26,579	26,604	26,788	26,945	27,070
Caseload Ratio, # of Active Persons Served/SCs	72.1	72.6	69.6	68.5	69.5	71.0

Service Coordination:	Jan.	Feb.	Mar.	Apr.	May	June
Number of Service Coordinators (SC)						
Number of Case-Carrying SCs						
Number of Intake SCs						
Number of Active Persons Served						
Caseload Ratio, # of Active Persons Served/SCs						

SERVICE PLANNING AND COORDINATION continued

Fair Hearings

Fiscal Year 2024-25

	Jul.	Aug.	Sept.	Oct.	Nov.	Dec.	Jan.	Feb.	Mar.	Apr.	May	June
Number of Unsettled Hearing Requests*	23	20	21	14	11	17						
Eligibility - Lanterman	9	7	6	5	3	3						
Behavioral services	2		1	2	2	3						
Respite	1			1		2						
Day Care												
Self Determination Budget			1	2	2	4						
Personal Assistance	2	2	2	1		1						
Other**	15	18	18	6	6	6						

* *Hearing Requests may list more than one issue; so, the number of issues may equal more than the number of hearing requests.*

** *Other issues include but are not limited to living options.*

Number of New Hearing Requests Filed*	30	9	6	17	5	11						
Eligibility - Lanterman	6	3	3	3	1	2						
Eligibility - Early Start												
Behavioral services	1		1	1	1							
Respite				1		2						
Day Care												
Social/Recreational	2											
Social Skills Training												
SDP			1	2		4						
Personal Assistance	1	1	1									
Other**	10	4		4	2	1						

* *Hearing Requests may list more than one issue; so, the number of issues may equal more than the number of hearing requests.*

** *Other issues include but are not limited to living options.*

Number of All Meetings Held	9	12	7	9	3	8						
Number of Informal Meetings Held	9	5	5	9	3	7						
Number of Mediations Held		6	1			1						
Number of SLFHs Held		1	1									

Number of Requests in Scheduling*	5	3	1	0	5	0						
--	----------	----------	----------	----------	----------	----------	--	--	--	--	--	--

* *Meetings in process of being scheduled; meetings on schedule but not yet held; meetings scheduled but not held due to continuances.*

Number of Requests Pending*	0	0	0	0	0	0						
------------------------------------	----------	----------	----------	----------	----------	----------	--	--	--	--	--	--

* *State Level Fair Hearing (SLFH) held but awaiting decision.*

Number of Requests Settled	9	5	13	8	3	11						
Withdrawn by Person Served/Family	1					5						
Settled in Informal	8	5	4	8	3	6						
Settled after further follow-up by RCOC												
Settled in Mediation			7									
SLFH Decision			2									

State Level Fair Hearing Decisions

Prevailing Party												
Person Served/Family												
RCOC			2									
Split												

ADMINISTRATION AND GOVERNANCE

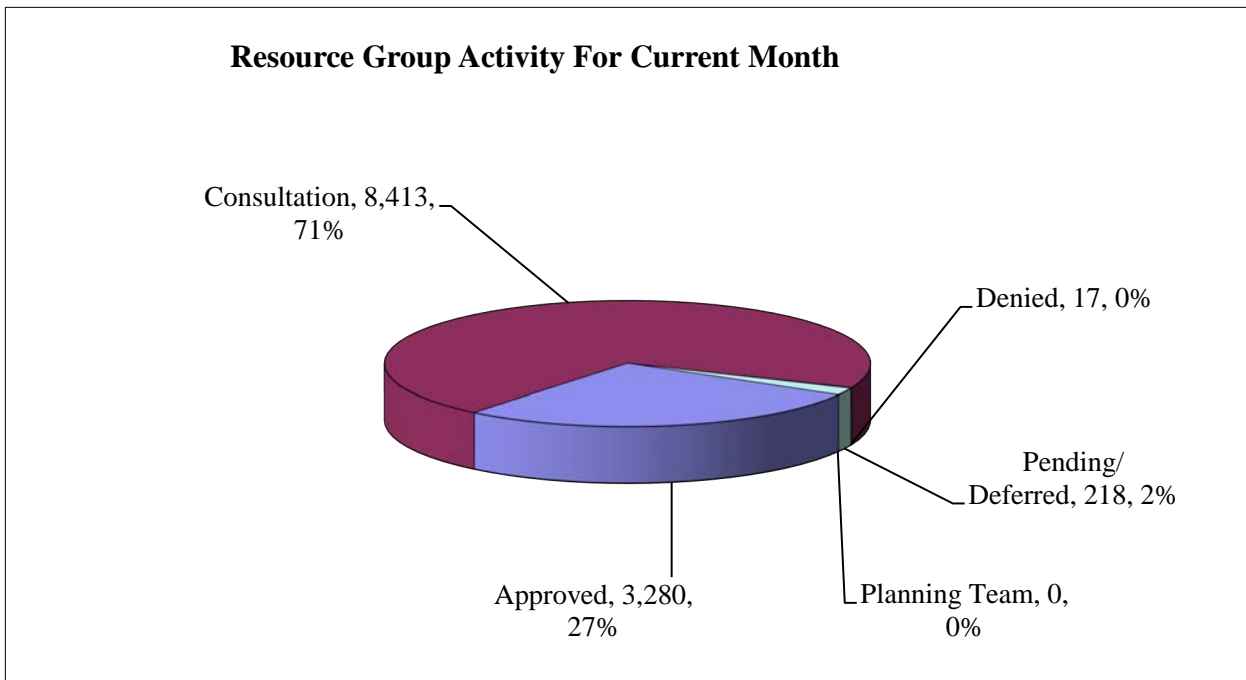
Guiding Principle

- *RCOC will maximize all alternative sources of funding for necessary services and supports including federal and generic funding.*
- *The public funds that support the service system are expended in a fashion that is cost-effective, consumer-directed, consistent with good business practices, and that reflect RCOC's Guiding Principles and diligent stewardship.*

Resource Group Activity for December 2024 and Fiscal Year to Date

Disposition	Approved	Consultation	Denied	Pending/Deferred	Planning Team	Total
Adult Day	895	317	0	101	0	1,313
Behavioral	118	69	0	9	0	196
Education	0	0	0	0	0	0
Eligibility/Health	85	2	10	4	0	101
Early Start	562	212	7	37	0	818
Living Options	297	174	0	8	0	479
Supported/Ind.	341	161	0	21	0	523
All Others	982	346	0	38	0	1,366
Monthly Total	3,280	8,413	17	218	0	4,796

FY 2024-25 Total to Date	35,125	22,398	0	2,292	0	59,815
-------------------------------------	--------	--------	---	-------	---	---------------



Operations Report Summary - December 2024

About Persons Served	Early Start	Medicaid Waiver	All Other	SDC	Total	Under 18	Over 18
Number of Persons Served	3,490	8,599	11,890	9	23,988	13,173	13,934
<i>Percentage of Total</i>	<i>15%</i>	<i>36%</i>	<i>50%</i>	<i>0%</i>	<i>100%</i>	<i>55%</i>	<i>58%</i>

Children served in Prevention Resource and Referral Services	458
---	------------

Persons Served by Residence Status	All	Under 18	Over 18
Family Home	22,806	12,889	9,917
Community Care Facility	1,718	21	1,697
State Developmental Center	9	0	9
Family Home Agency	91	0	91
Foster Home	249	241	8
Intermediate Care Facility	597	1	596
Independent Living	950	0	950
Supported Living	497	0	497
Skilled Nursing	78	0	78
Other	112	21	91
Total	27,107	13,173	13,934

Special Incident Investigations	Year to Date
AWOL	23
Abuse	52
Neglect	107
Injury	151
Hospitalizations - Total	246
Death	80
Victim of crime	12
Arrest	12
Rights	170
Total	853

Number of Licensed Facilities

<i>Community Care Facilities</i>	Total	Under 18	Over 18
Level 2	70	0	70
Level 3	87	0	87
Level 4	220	9	211
Total Community Care Facilities	377	9	368

Licensed Facility Monitoring	Year to Date
Annual Review	252
Unannounced	384
Total Number of Reviews	636
Provider Trainings	0
Technical Support	1,827
Corrective Action Plans	24

Intermediate Care Facilities (ICF)

ICF-DD	0
ICF-DD/Habilitation	69
ICF-DD/Nursing	41
Total ICF Facilities	110

Number of Audits	2
Amount of Recovery from Audits	\$5,265

Total Licensed Facilities	487
----------------------------------	------------



Summary of Information About Persons Served - January 2025

NUMBER OF PERSONS SERVED	27,156	100%
Children - Birth to Age Three Receiving Early Start Services	3,454	13%
Children - Ages Three to Five Receiving Provisional Services	460	2%
Children - Ages Three to 17 Receiving Lanterman Services	9,286	34%
Adults - Ages 18 and Older Receiving Lanterman Services	13,956	51%

Children - Birth to Age Three Receiving Prevention Resource and Referral Services	412
--	------------

Children and Adults - Ages Three and Older Receiving Lanterman Services with the Following Diagnoses:

Intellectual Disability	11,454	51%
Epilepsy	2,871	13%
Cerebral Palsy	2,530	11%
Autism	11,486	50%
Fifth Category*	2,077	9%

* condition closely related to intellectual disability and requiring similar treatment

Note: Many persons served have more than one diagnosis so the percentage equals more than 100%.

NUMBER OF PERSONS REQUESTING ELIGIBILITY DETERMINATION	492
Early Start / Under Age Three / 45 days to complete determination	326 / 66%
Lanterman / Over Age Three / 120 days to complete determination	160 / 33%
Provisional / Up to Age Five / 90 days to complete determination	6 / 1%

NUMBER OF PERSONS DETERMINED ELIGIBLE	163
Children - Birth to Age Three Eligible for Early Start Services	2
Children and Adults - Ages Three and Older Eligible for Lanterman Services	93
<ul style="list-style-type: none"> • Number of children who received Early Start services 	37
<ul style="list-style-type: none"> • Number of children who received Early Start services and had a diagnosis of autism 	31
Children - Birth to Age Three Eligible for Prevention Resource and Referral Services	0

NUMBER OF CHILDREN NO LONGER ELIGIBLE FOR EARLY START OR PREVENTION RESOURCE AND REFERRAL SERVICES	134
118	134
Children - Age Three No Longer Eligible for Prevention Resource and Referral Services	0

REGIONAL CENTER OF ORANGE COUNTY



OPERATIONS REPORT

JANUARY 2025 ACTIVITY

Mission Statement

The Regional Center of Orange County (RCOC) is a private non-profit organization that, as mandated by the Lanterman Developmental Disabilities Services Act, collaborates with persons with developmental disabilities, their families and the community to secure individualized services and supports that enhance the quality of life for the people we serve and assist them in realizing their full potential.

COMMUNITY LIFE

Related Guiding Principles

- *Persons served are in safe and supportive settings that promote a life of independence, acknowledge diverse cultural perspectives and that respect the inherent risks and valuable learning experiences that come from living in the community.*

Provider Monitoring, Technical Support and Special Incident Investigation Activities Fiscal Year 2024-25

Type and Number of Reviews	Jul.	Aug.	Sept.	Oct.	Nov.	Dec.
Annual Review	46	48	33	40	45	40
Unannounced	74	86	55	63	55	51
Total Number of Reviews	120	134	88	103	100	91

Provider Trainings	0	0	0	0	0	0
Technical Support	303	251	298	319	348	308
Corrective Action Plans	0	12	2	3	5	2
Special Incident Investigations*	88	51	47	57	45	31
COVID-19 Checklist	0	0	0	0	0	0

Type and Number of Reviews	Jan.	Feb.	Mar.	Apr.	May	June	Total
Annual Review	42						294
Unannounced	189						573
Total Number of Reviews	231						867

Provider Trainings	0						0
Technical Support	319						2,146
Corrective Action Plans	3						27
Special Incident Investigations*	46						365

* California Code of Regulations, Title 17, Division 2, Chapter 3 - Community Services SubChapter 2 - Vendorization Article 2 - Vendorization Process, Section 54327 requires all vendors, excluding parents and consumers, to report the following special incidents.

Type of Special Incidents (from California Code of Regulations, Title 17)

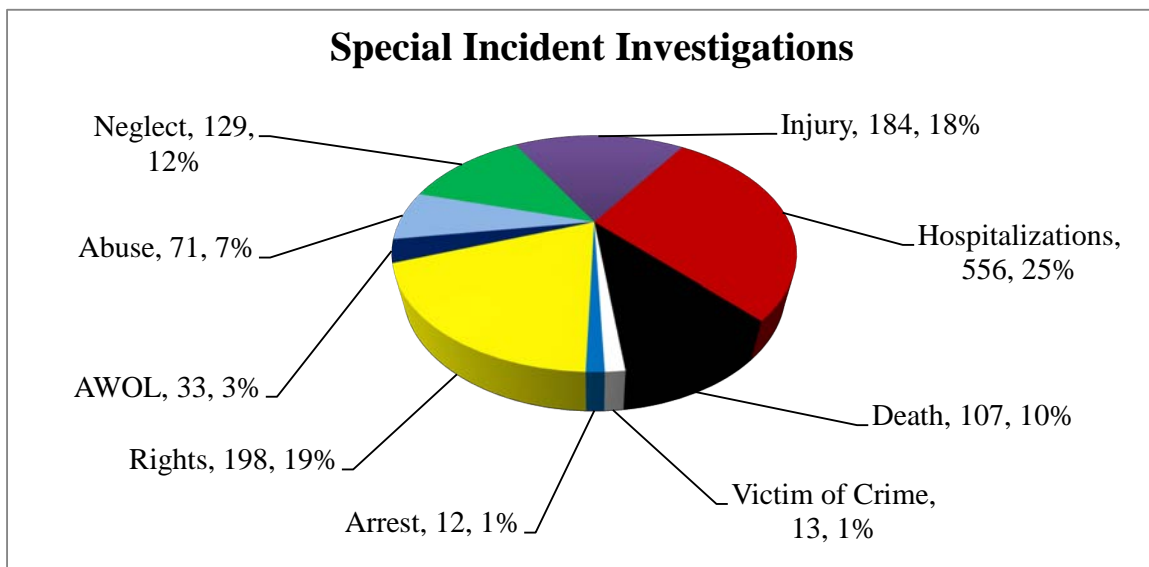
- (A) The consumer is missing and the vendor or long-term health care facility has filed a missing persons report with a law enforcement agency;
- (B) Reasonably suspected abuse/exploitation including:
 - 1. Physical;
 - 2. Sexual;
 - 3. Fiduciary;
 - 4. Emotional/mental; or
 - 5. Physical and/or chemical restraint.
- (C) Reasonably suspected neglect including failure to:
 - 1. Provide medical care for physical and mental health needs;
 - 2. Prevent malnutrition or dehydration;
 - 3. Protect from health and safety hazards;
 - 4. Assist in personal hygiene or the provision of food, clothing or shelter or
 - 5. Exercise the degree of care that a reasonable person would exercise in the position of having the care and custody of an elder or a dependent adult.
- (D) A serious injury/accident including:
 - 1. Lacerations requiring sutures or staples;
 - 2. Puncture wounds requiring medical treatment beyond first aid;
 - 3. Fractures;
 - 4. Dislocations;
 - 5. Bites that break the skin and require medical treatment beyond first aid;
 - 6. Internal bleeding requiring medical treatment beyond first aid;
 - 7. Any medication errors;
 - 8. Medication reactions that require medical treatment beyond first aid; or
 - 9. Burns that require medical treatment beyond first aid.
- (E) Any unplanned or unscheduled hospitalization due to the following conditions:
 - 1. Respiratory illness, including but not limited, to asthma; tuberculosis; and chronic obstructive pulmonary disease;
 - 2. Seizure-related;
 - 3. Cardiac-related, including but not limited to, congestive heart failure; hypertension; and angina;
 - 4. Internal infections, including but not limited to, ear, nose and throat; gastrointestinal; kidney; dental; pelvic; or urinary tract;
 - 5. Diabetes, including diabetes-related complications;
 - 6. Wound/skin care, including but not limited to, cellulitis and decubitus;
 - 7. Nutritional deficiencies, including but not limited to, anemia and dehydration; or
 - 8. Involuntary psychiatric admission;
- (2) The following special incidents regardless of when or where they occurred:
 - (A) The death of any consumer, regardless of cause;
 - (B) The consumer is the victim of a crime including the following:
 - 1. Robbery, including theft using a firearm, knife, or cutting instrument or other dangerous weapons or methods which force or threaten a victim;
 - 2. Aggravated assault, including a physical attack on a victim using hands, fist, feet or a firearm, knife or cutting instrument or other dangerous weapon;
 - 3. Larceny, including the unlawful taking, carrying, leading, or riding away of property, except for motor vehicles, from the possession or constructive possession of another person;
 - 4. Burglary, including forcible entry; unlawful non-forcible entry; and, attempted forcible entry of a structure to commit a felony or theft therein;
 - 5. Rape, including rape and attempts to commit rape.

Title 17 does not require reporting on arrest or consumer rights violations; however, RCOC includes arrest and rights violations as reportable incidents.

Type and Number of Special Incident Investigations
Fiscal Year 2024-25

Type of Incident	Jul.	Aug.	Sept.	Oct.	Nov.	Dec.
AWOL	4	11	3	1	1	3
Abuse	9	12	5	15	5	6
Neglect	44	7	18	17	9	12
Injury	16	35	36	1	29	34
Hospitalizations - Total	28	50	36	47	35	50
<i>Psychiatric</i>	7	8	6	10	6	9
<i>Medical</i>	21	42	30	37	29	41
Death	17	11	8	9	14	21
Victim of crime	1	1	2	2	5	1
Arrest	1	3	1	2	4	1
Rights	69	13	14	49	16	9
Total	189	143	123	143	118	137

Type of Incident	Jan.	Feb.	Mar.	Apr.	May	June	Total
AWOL	10						33
Abuse	19						71
Neglect	22						129
Injury	33						184
Hospitalizations - Total	53						299
<i>Psychiatric</i>	10						56
<i>Medical</i>	43						243
Death	27						107
Victim of Crime	1						13
Arrest	0						12
Rights	28						198
Total	193	0	0	0	0	0	1,046



COMMUNITY LIFE continued

Provider Audits
Fiscal Year 2024-25

Number of Audits / Appeals / Recoveries

Type of Audit	Jul.	Aug.	Sept.	Oct.	Nov.	Dec.
Service Billing	0	0	0	0	0	0
Staffing	0	0	0	0	0	0
Level 4I Consultant	0	0	0	0	0	0
P&I (consumer funds)	0	0	0	0	0	2
Total Number of Audits	0	0	0	0	0	2

Number of Appeals / Recoveries (Vendors may appeal after monthly data is reported)

State Appeal	0	0	0	0	0	0
Recovery	0	0	0	0	0	2

Audit Findings (Dollar Amount)

<i>Amount of Recovery</i>	\$0.00	\$0.00	\$0.00	\$0.00	\$0.00	\$2,565.09
---------------------------	--------	--------	--------	--------	--------	------------

Type of Audit	Jan.	Feb.	Mar.	Apr.	May	June	Total
Service Billing	0						0
Staffing	0						0
Level 4I Consultant	0						0
P&I (consumer funds)	0						0
Total Number of Audits	0						2

Number of Appeals / Recoveries

State Appeal	0						0
Recovery	0						2

Audit Findings (Dollar Amount)

<i>Amount of Recovery</i>	\$0.00	\$0.00	\$0.00	\$0.00	\$0.00	\$0.00	\$2,565.09
---------------------------	--------	--------	--------	--------	--------	--------	-------------------

FAMILY SUPPORTS

Related Guiding Principles

- *Families are informed advocates for their loved ones with developmental disabilities.*
- *Families are the decision makers for their minor children.*
- *Family support services are flexible and innovative in meeting the family's needs as they evolve over time, are tailored to the preferences of the individual family, and are consistent with their cultural norms and customs.*
- *Services and supports for families recognize, facilitate and build on family strengths, natural supports and existing community resources.*

Fiscal Year 2024-25

Number of Authorizations for Voucher Services

Type of Service	Jul.	Aug.	Sept.	Oct.	Nov.	Dec.
Day Care - Family Member	93	81	83	71	80	79
Diapers - Family Member	3	3	3	3	3	3
Nursing Service - Family Member	55	42	47	39	45	40
Respite Service - Family Member	697	693	697	608	708	706
Transportation - Family Member	222	251	238	184	271	276
<i>Total Number of Voucher Authorizations</i>	<i>1,070</i>	<i>1,070</i>	<i>1,068</i>	<i>905</i>	<i>1,107</i>	<i>1,104</i>

Number of Authorizations for Voucher Services

Type of Service	Jan.	Feb.	Mar.	Apr.	May	June
Day Care - Family Member	81					
Diapers - Family Member	3					
Nursing Service - Family Member	42					
Respite Service - Family Member	710					
Transportation - Family Member	276					
<i>Total Number of Voucher Authorizations</i>	<i>1,112</i>	<i>0</i>	<i>0</i>	<i>0</i>	<i>0</i>	<i>0</i>

FAMILY SUPPORTS

Related Guiding Principles

- Family support services are flexible and innovative in meeting the family's needs as they evolve over time, are tailored to the preferences of the individual family, and are consistent with their cultural norms and customs.
- Services and supports for families recognize, facilitate and build on family strengths, natural supports and existing community resources.

Notifications of Community Events and Activities

Fiscal Year 2024-25

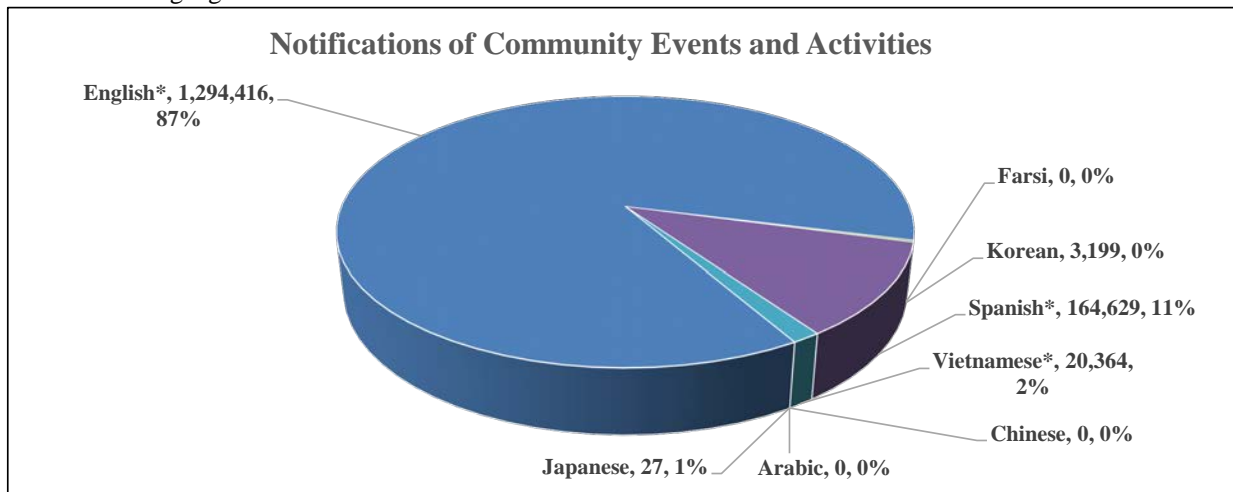
Number of Notifications

Language	Jul.	Aug.	Sept.	Oct.	Nov.	Dec.
English*	221,726	234,714	219,993	151,100	151,373	109,293
Farsi	0	0	0	0	0	0
Korean	165	1,027	672	861	290	0
Spanish*	39,805	38,663	26,432	18,594	23,398	6,688
Vietnamese*	4,559	3,220	3,423	1,842	1,123	2,688
Chinese	0	0	0	0	0	0
Japanese	0	0	0	0	0	0
Arabic	0	0	0	0	0	0
Total Number of Notifications	266,255	277,624	250,520	172,397	176,184	118,669

Number of Notifications

Language	Jan.	Feb.	Mar.	Apr.	May	June	Total
English*	206,217						1,294,416
Farsi	0						0
Korean	184						3,199
Spanish*	11,049						164,629
Vietnamese*	3,509						20,364
Chinese	0						0
Japanese	0						0
Arabic	0						0
Total Number of Notifications	220,959	0	0	0	0	0	1,482,608

* Threshold languages for RCOG



FAMILY SUPPORTS

Related Guiding Principles

- Family support services are flexible and innovative in meeting the family's needs as they evolve over time, are tailored to the preferences of the individual family, and are consistent with their cultural norms and customs.
- Services and supports for families recognize, facilitate and build on family strengths, natural supports and existing community resources.

Community Outreach

Fiscal Year 2024-25

Number of Outreach Events

Type of Outreach / Language	Jul.	Aug.	Sept.	Oct.	Nov.	Dec.
In Person/Zoom						
English	9	13	7	10	6	5
Spanish			3	3	2	
Vietnamese	1		1	3	2	
Other Languages**		1				1
In Print						
English	1	1	2			
Spanish						
Vietnamese						
Other Languages						
TV / Radio						
English		1				
Spanish						
Vietnamese	4	4	5	4	4	5
Other Languages						
Total Number of Outreach Events	15	20	18	20	14	11

**

Number of Outreach Events

Language	Jan.	Feb.	Mar.	Apr.*	May*	June*	Total
In Person							
English	5						55
Spanish							8
Vietnamese							7
Other Languages							2
In Print							
English	1						5
Spanish							0
Vietnamese							0
Other Languages							0
TV / Radio							
English							1
Spanish							0
Vietnamese							26
Other Languages							0
Total Number of Outreach Events	6	0	0	0	0	0	104

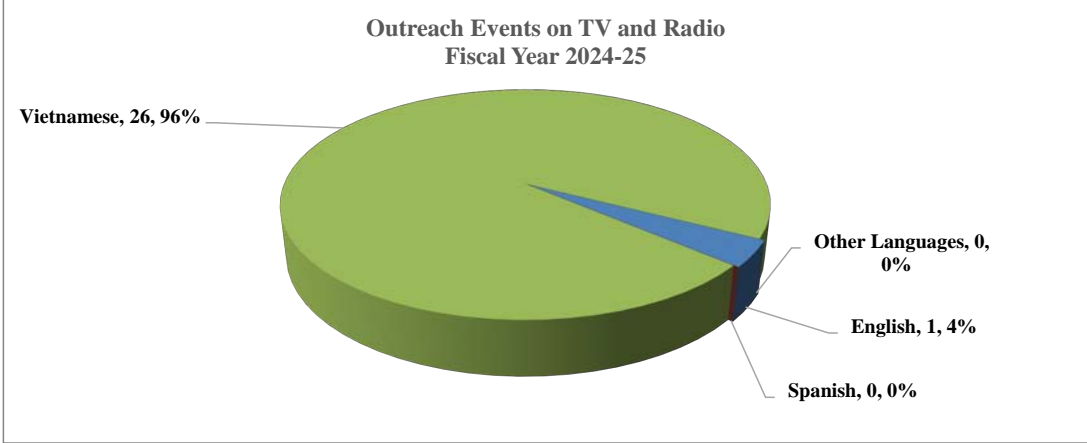
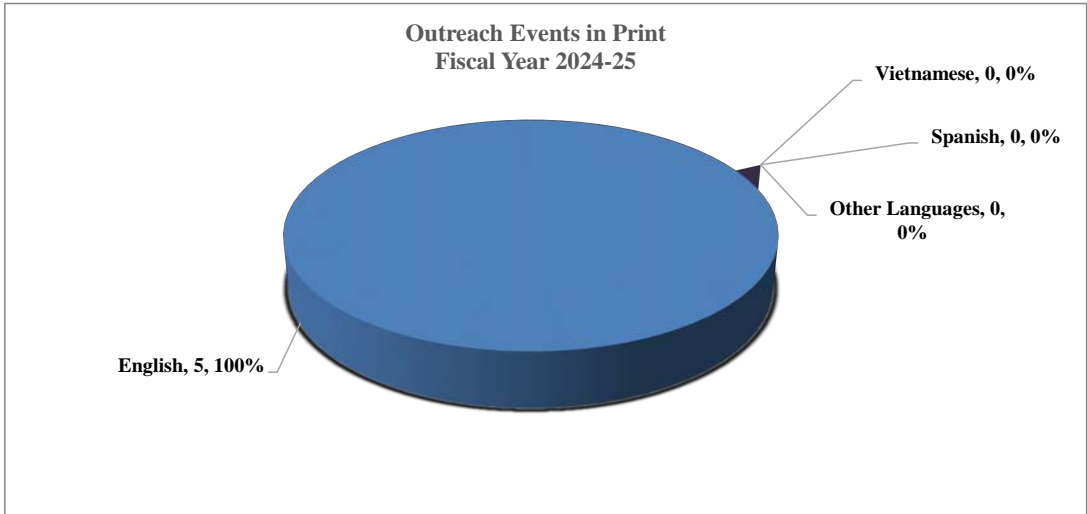
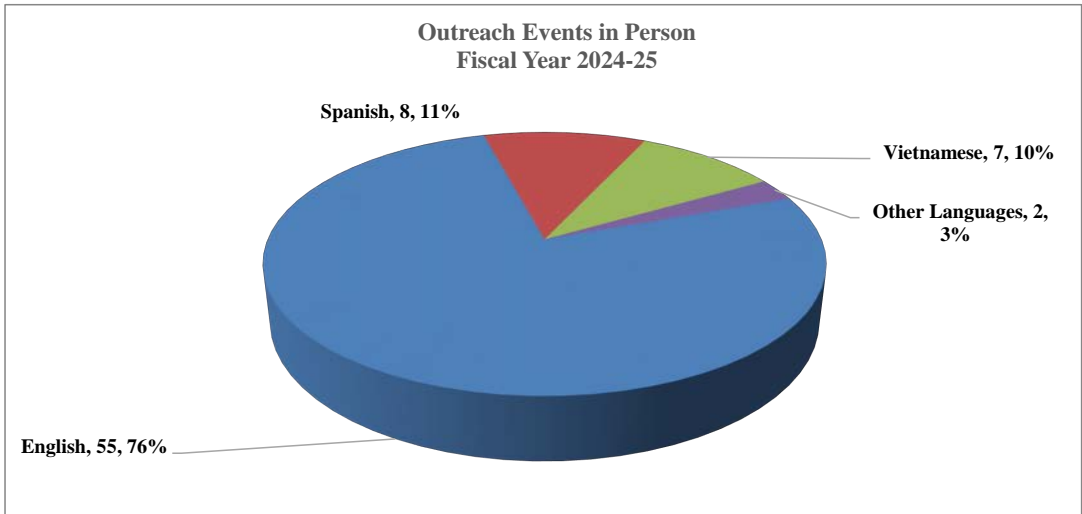
* Virtual Meetings

FAMILY SUPPORTS

Related Guiding Principles

- Family support services are flexible and innovative in meeting the family’s needs as they evolve over time, are tailored to the preferences of the individual family, and are consistent with their cultural norms and customs.
- Services and supports for families recognize, facilitate and build on family strengths, natural supports and existing community resources.

Community Outreach Events in Person, in Print, on TV and Radio Fiscal Year 2024-25



EARLY INTERVENTION / PREVENTION

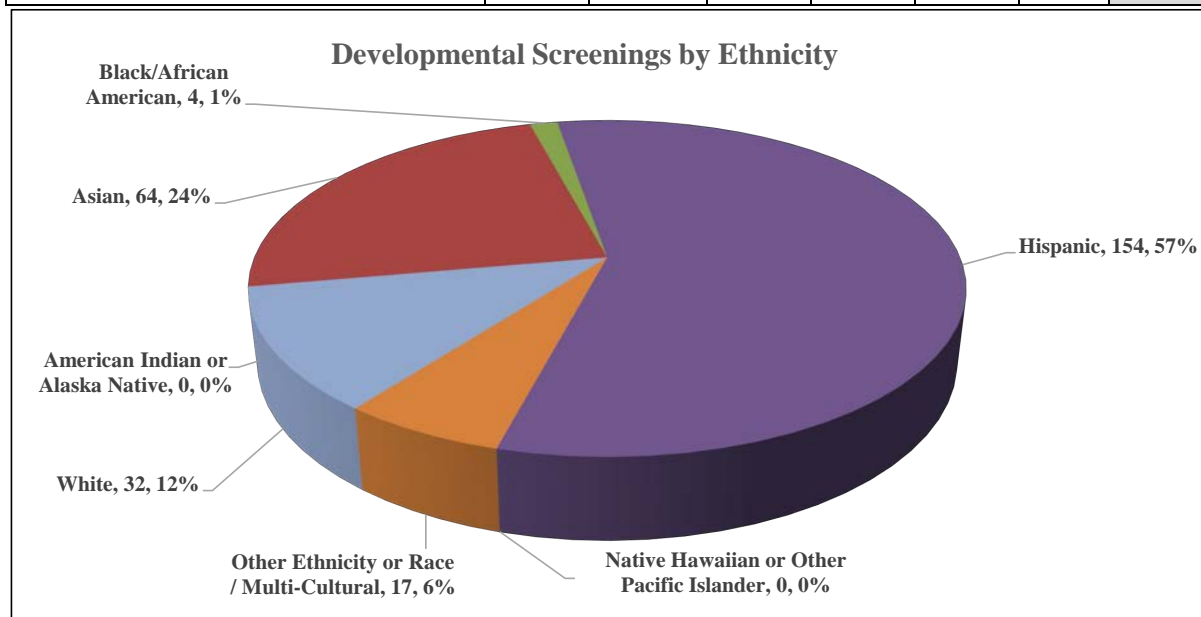
Related Guiding Principles

- Prevention and early intervention services, supports and public awareness activities are designed and implemented to prevent the onset of a disability and/or to improve developmental outcomes.
- Persons served are provided with needed services and supports in a family-focused and collaborative fashion.

Fiscal Year 2024-25

Developmental Screenings by Ethnicity	Jul.	Aug.	Sept.	Oct.	Nov.	Dec.
American Indian or Alaska Native	0	0	0	0	0	No Data Available
Asian	0	8	6	9	6	
Black/African American	0	1	1	0	0	
Hispanic	0	40	45	19	29	
Native Hawaiian or Other Pacific Islander	0	0	0	0	0	
Other Ethnicity or Race / Multi-Cultural	0	5	7	1	2	
White	0	7	11	4	4	
Total Number Screened	0	61	70	33	41	
Total Number Referred to RCOC	0	31	19	8	11	

Developmental Screenings by Ethnicity	Jan.	Feb.	Mar.	Apr.	May	June	Total
American Indian or Alaska Native	0						0
Asian	35						64
Black/African American	2						4
Hispanic	21						154
Native Hawaiian or Other Pacific Islander	0						0
Other Ethnicity or Race / Multi-Cultural	2						17
White	6						32
Total Number Screened	66						271
Total Number Referred to RCOC	18						87

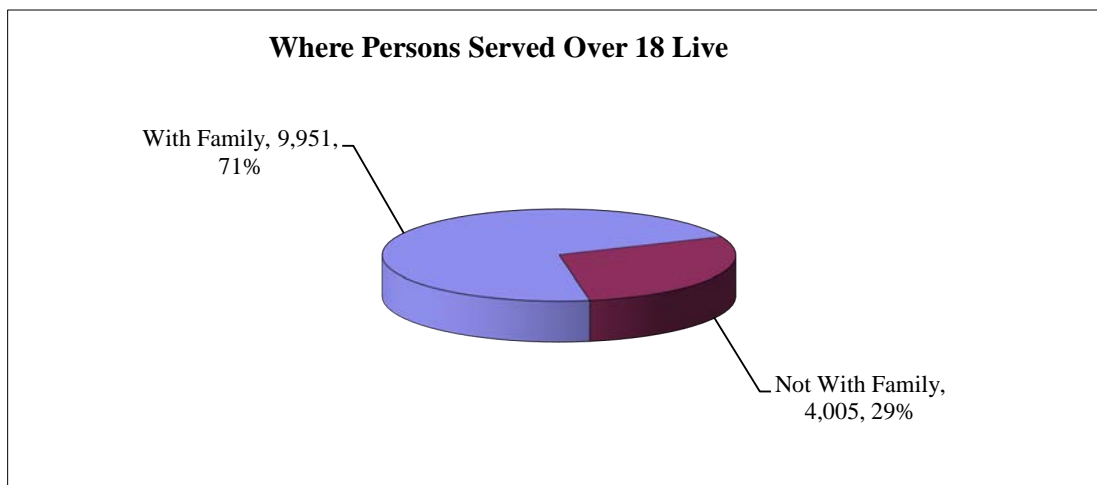
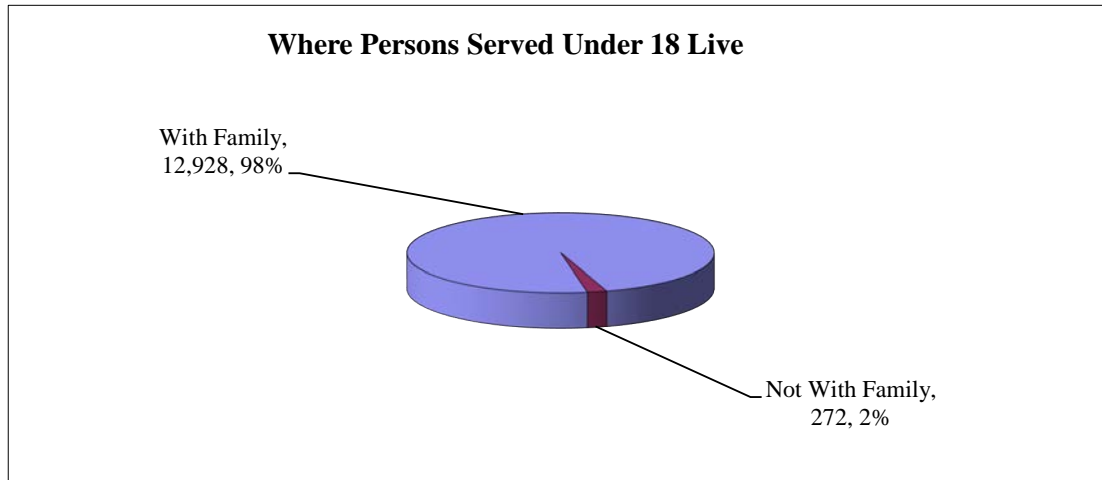


LIVING OPTIONS

Related Guiding Principles

- *Culturally-sensitive services and supports are provided so that persons served can make informed choices on where and with whom they live, including owning or renting their own homes.*
- *Families whose minor or adult children choose to remain in the family home are supported through available resources.*
- *Persons served live in homes where they receive quality care and can form relationships.*

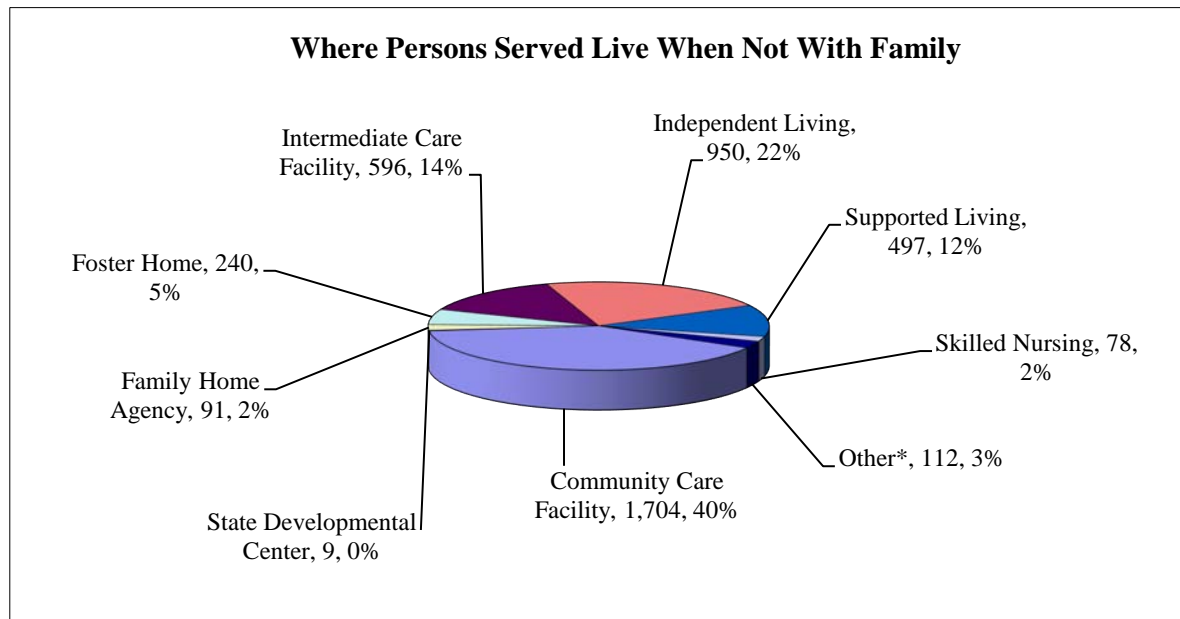
Where Persons Served Live	Persons Served All	Persons Served Under 18	Persons Served Over 18
With Family	22,879	12,928	9,951
Not With Family	4,277	272	4,005
Totals	27,156	13,200	13,956



LIVING OPTIONS, continued

Where Persons Served Live	All Persons Served	Persons Served Under 18	Persons Served Over 18
Family Home	22,879	12,928	9,951
Community Care Facility	1,704	19	1,685
State Developmental Center	9	0	9
Family Home Agency	91	0	91
Foster Home	240	232	8
Intermediate Care Facility	596	0	596
Independent Living	950	0	950
Supported Living	497	0	497
Skilled Nursing	78	0	78
Other*	112	21	91
Total	27,156	13,200	13,956

Other*			
Acute General Hospital	5	0	5
California Youth Authority	1	0	1
Community Treatment	5	1	4
Correctional Institution	1	0	1
County Jail	2	0	2
Other	0	0	0
Out of State	4	3	1
Psychiatric Treatment	27	4	23
Rehabilitation Center	4	0	4
SDC / State Hospital	5	0	5
Sub-Acute	39	12	27
Transient / Homeless	15	2	13
Total, Other*	108	22	86



LIVING OPTIONS, continued

Other Living Options

Family Home Agency

A Family Home Agency (FHA) is a private, not-for-profit agency that is vendored to recruit, approve, train, and monitor family home providers, provide services and supports to family home providers, and assist persons served with moving into or relocating from family homes.

Foster Family Agency

Under the California Department of Social Services, county placement agencies use licensed, private Foster Family Agencies (FFAs) for the placement of children. By statute, FFAs are organized and operated on a non-profit basis and are engaged in the following activities: recruiting, certifying, and training foster parents, providing professional support to foster parents, and finding homes or other temporary or permanent placements for children who require more intensive care.

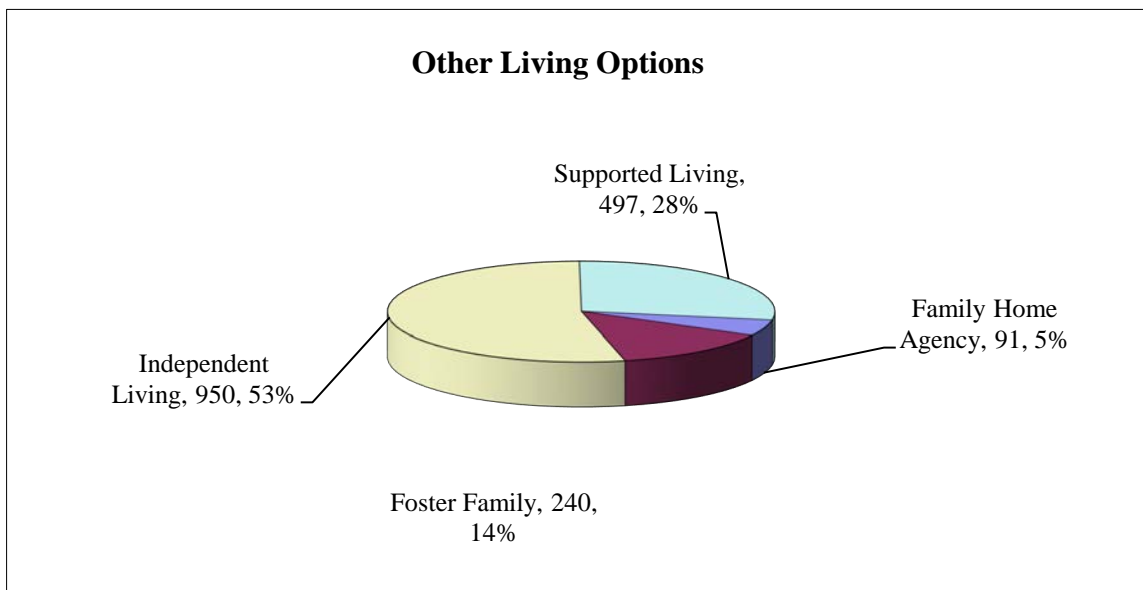
Independent Living

Independent Living services help persons served over 18 with the functional skills necessary to secure a self-sustaining, independent living situation in the community and/or may provide the support necessary to maintain those skills.

Supported Living

Supported Living Services (SLS) support efforts for persons served to live in their own home.

Other Living Options	Total	Under 18	Over 18
Family Home Agency	91	0	91
Foster Family	240	232	8
Independent Living	950	0	950
Supported Living	497	0	497
Total	1,778	232	1,546



LIVING OPTIONS, continued

Living Options, facilities licensed by the State of California, Departments of Community Care Licensing, or Department of Health Care Services

Health Licensed Facilities

Health facilities are licensed by the State of California, Department of Health Services to provide 24-hour medical residential care. Health facilities are funded by Medi-Cal. Health licensed facilities

General Acute Care Hospitals,
Acute Psychiatric Hospitals,
Skilled Nursing Facilities,
Intermediate Care Facilities,
Intermediate Care Facility – Developmentally Disabled,
Intermediate Care Facility – Developmentally Disabled, – Habilitative,
Intermediate Care Facility – Developmentally Disabled, – Nursing,
Home Health Agencies and
Congregate Living Health Facilities.

Community Care Licensed Facilities

Community Care Facilities (CCFs) are licensed by the State of California, Department of Social Services, Community Care Licensing Division to provide 24-hour non-medical residential care to children and adults with developmental disabilities who are in need of personal services, supervision, and/or assistance essential for self-protection or sustaining the activities of daily living. CCFs are funded by regional centers. Based upon the types of services provided and the persons served, each CCF vendored by a regional center is designated one of the following service levels:

SERVICE LEVEL 1: Limited care and supervision for persons with self-care skills and no behavior problems.

SERVICE LEVEL 2: Care, supervision, and incidental training for persons with some self-care skills and no major behavior problems.

SERVICE LEVEL 3: Care, supervision, and ongoing training for persons with significant deficits in self-help skills, and/or some limitations in physical coordination and mobility, and/or disruptive or self-injurious behavior.

SERVICE LEVEL 4: Care, supervision, and professionally supervised training for persons with deficits in self-help skills, and/or severe impairment in physical coordination and mobility, and/or severely disruptive or self-injurious behavior. Service Level 4 is subdivided into Levels 4A through 4I, in which staffing levels are increased to correspond to the escalating severity of disability levels.

LIVING OPTIONS, continued

Persons Served Who Reside in Licensed Facilities Funded by RCOC
Fiscal Year 2024-25

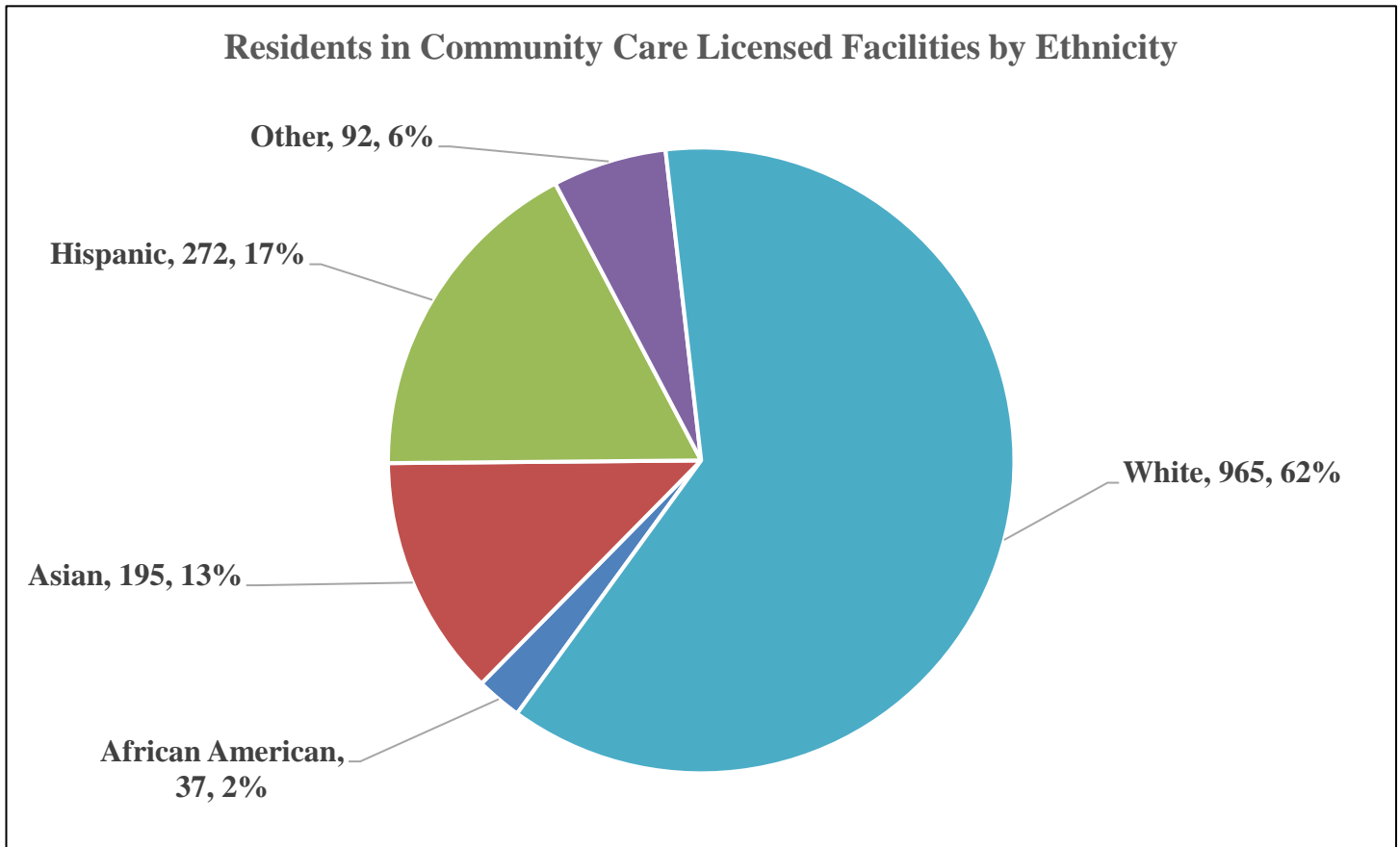
Licensed Facilities	Total	Over 18	Under 18
Level 2	153	153	0
Level 3	237	237	0
Level 4A	26	26	0
Level 4B	5	5	0
Level 4C	47	47	0
Level 4D	37	37	0
Level 4E	13	13	0
Level 4F	42	42	0
Level 4G	32	32	0
Level 4H	1	1	0
Level 4I	309	309	0
Elderly	11	11	0
ICF/DD-H	2	2	0
ICF/DD-N	2	2	0
ICF/DD	0	0	0
Skilled Nursing	0	0	0
Total	917	917	0

Licensed Facilities Summary	Total	Over 18	Under 18
Level 2	153	153	0
Level 3	237	237	0
Level 4	512	512	0
ICF/DD-H	2	2	0
ICF/DD-N	2	2	0
Elderly	11	11	0
Skilled Nursing	0	0	0
Total	917	917	0

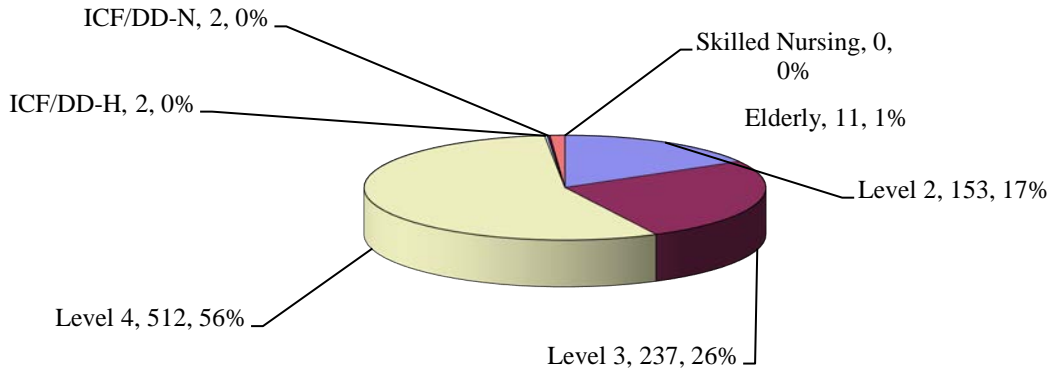
LIVING OPTIONS, continued

Persons Served Who Reside in Licensed Facilities Funded by RCOC by Ethnicity
Fiscal Year 2024-25

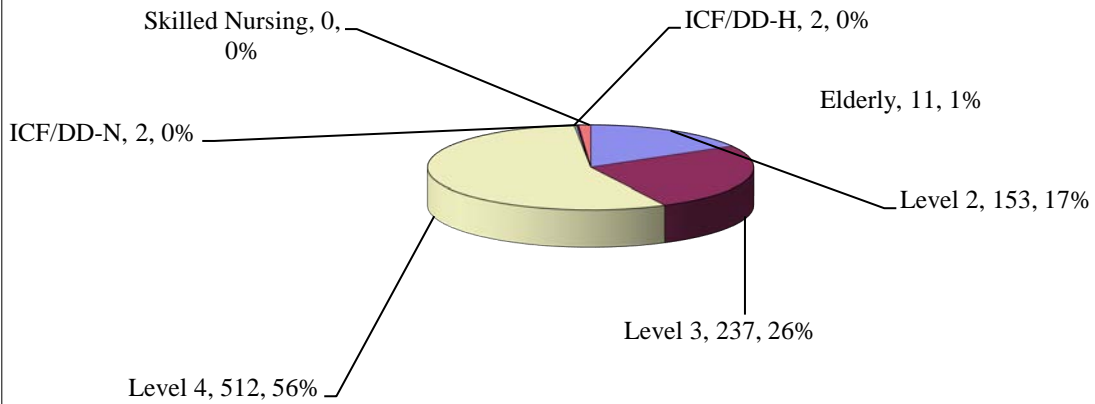
Licensed Facilities	African American	Asian	Hispanic	Other	White	Total
Level 2	6	23	58	12	192	291
Level 3	12	37	70	26	212	357
Level 4A	0	5	6	2	19	32
Level 4B	0	1	0	0	5	6
Level 4C	5	15	11	1	64	96
Level 4D	1	10	6	2	25	44
Level 4E	0	3	7	2	18	30
Level 4F	1	10	9	3	29	52
Level 4G	0	10	2	3	44	59
Level 4H	0	0	2	0	1	3
Level 4I	12	81	101	41	356	591
Total	37	195	272	92	965	1,561



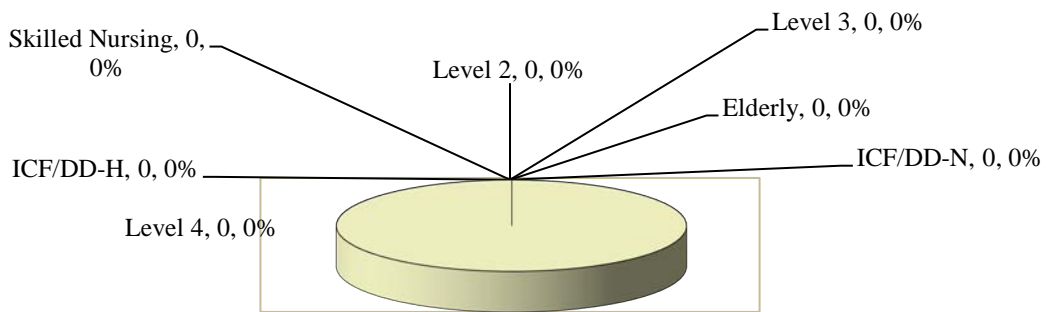
**Persons Served Who Reside in Licensed Facilities
Services Funded by RCOG**



**Persons Served Over Age 18 Who Reside in Licensed Facilities
Services Funded by RCOG**



**Persons Served Under Age 18 Who Reside in Licensed Facilities
Services Funded by RCOG**

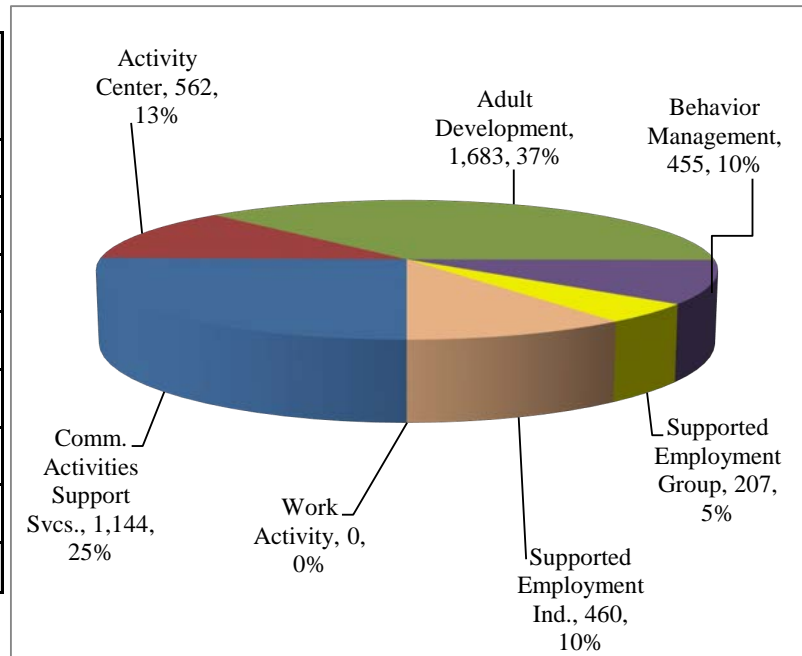


WORK

Related Guiding Principle

- *Persons served have the opportunity and support to work in integrated employment settings that are meaningful, valued by the community, and in which they are appropriately compensated and respected.*

Adult Day & Employment Services	Persons Served Over 18
Comm. Activities Support Svcs.	1,144
Activity Center	562
Adult Development	1,683
Behavior Management	455
Supported Employment Group	207
Supported Employment Ind.	460
Work Activity	0
Total	4,511



Definitions:

Community Activities Support Services similar to a Behavior Management Program, this is a behavior management program with an enhanced ration of 1:1 or 1:2 due to severe behavioral challenges.

Activity Center means a day program that serves adults who generally have acquired most basic self-care skills, have some ability to interact with others, are able to make their needs known, and respond to instructions. Activity center programs focus on the development and maintenance of the functional skills required for self-advocacy, community integration and employment. Staff ratio ranges from 1:6 to 1:8.

Adult Development Center means a day program that serves adults who are in the process of acquiring self-help skills. Individuals who attend adult development centers generally need sustained support and direction in developing the ability to interact with others, to make their needs known, and to respond to instructions. Adult development center programs focus on the development and maintenance of the functional skills required for self-advocacy, community integration, employment, and self-care. Staff ratio ranges from 1:3 to 1:4.

Behavior Management Program means a day program that serves adults with severe behavior disorders and/or dual diagnosis who, because of their behavior problems, are not eligible for or acceptable in any other community-based day program. Staff ratio is 1:3.

Supported Employment Program means a program that meets the requirements of the term supported employment, i.e. services that are provided by a job coach in order to support and maintain an individual with developmental disabilities in employment, and of the terms, integrated work, supported employment placement, allowable supported employment services, group and individualized services. Staff ratio ranges from 1:1 to 1:4.

Work Activity Program includes, but is not limited to, Work Activity centers or settings that provide support to persons served engaged in paid work and have demonstrated that the program is in compliance with Department of Rehabilitation certification standards or are accredited by CARF. Staff ratio ranges from 1:12 to 1:20.

SERVICE PLANNING AND COORDINATION

Related Guiding Principles

- *Service coordinators are caring, knowledgeable and competent in service planning, coordination and resources.*
- *Service coordinators inform families of their rights and the services and supports available to them.*
- *Service planning and coordination is a collaborative effort between RCOC, persons served and their families to identify needed services and supports.*
- *Person-centered planning is based upon the choices and preferences of the persons served and their families, and the identification of generic services and natural supports.*
- *Services and supports assist person served and their families to develop support networks leading to reduced dependence on paid supports.*
- *Services and supports are sensitive to the diverse religious, cultural, language, socioeconomic and ethnic characteristics of persons' served and their families' communities.*

Service Coordination

Fiscal Year 2024-25

Service Coordination:	Jul.	Aug.	Sept.	Oct.	Nov.	Dec.
Number of Service Coordinators (SC)	400.3	399.3	415.3	424.4	423.4	417.6
Number of Case-Carrying SCs	367.1	366.2	382.2	390.9	387.9	380.5
Number of Intake SCs	33.3	33.3	33.3	33.7	35.7	34.3
Number of Active Persons Served	26,489	26,579	26,604	26,788	26,945	27,070
Caseload Ratio, # of Active Persons Served/SCs	72.1	72.6	69.6	68.5	69.5	71.0

Service Coordination:	Jan.	Feb.	Mar.	Apr.	May	June
Number of Service Coordinators (SC)	415.0					
Number of Case-Carrying SCs	378.9					
Number of Intake SCs	34.3					
Number of Active Persons Served	27,135					
Caseload Ratio, # of Active Persons Served/SCs	72.0					

SERVICE PLANNING AND COORDINATION continued

Fair Hearings

Fiscal Year 2024-25

	Jul.	Aug.	Sept.	Oct.	Nov.	Dec.	Jan.	Feb.	Mar.	Apr.	May	June
Number of Unsettled Hearing Requests*	23	20	21	14	11	17	11					
Eligibility - Lanterman	9	7	6	5	3	3	1					
Behavioral services	2		1	2	2	3	1					
Respite	1			1		2						
Day Care												
Self Determination Budget			1	2	2	4	4					
Personal Assistance	2	2	2	1		1						
Other**	15	18	18	6	6	6	8					

* Hearing Requests may list more than one issue; so, the number of issues may equal more than the number of hearing requests.

** Other issues include but are not limited to living options.

Number of New Hearing Requests Filed*	30	9	6	17	5	11	7					
Eligibility - Lanterman	6	3	3	3	1	2	1					
Eligibility - Early Start												
Behavioral services	1		1	1	1							
Respite				1		2						
Day Care												
Social/Recreational	2						2					
Social Skills Training												
SDP			1	2		4	1					
Personal Assistance	1	1	1									
Other**	10	4		4	2	1	1					

* Hearing Requests may list more than one issue; so, the number of issues may equal more than the number of hearing requests.

** Other issues include but are not limited to living options.

Number of All Meetings Held	9	12	7	9	3	8	5					
Number of Informal Meetings Held	9	5	5	9	3	7	4					
Number of Mediations Held		6	1			1	1					
Number of SLFHs Held		1	1									

Number of Requests in Scheduling*	5	3	1	0	5	0	4					
--	----------	----------	----------	----------	----------	----------	----------	--	--	--	--	--

* Meetings in process of being scheduled; meetings on schedule but not yet held; meetings scheduled but not held due to continuances.

Number of Requests Pending*	0	0	0	0	0	0	0					
------------------------------------	----------	----------	----------	----------	----------	----------	----------	--	--	--	--	--

* State Level Fair Hearing (SLFH) held but awaiting decision.

Number of Requests Settled	9	5	13	8	3	11	2					
Withdrawn by Person Served/Family	1					5	1					
Settled in Informal	8	5	4	8	3	6	1					
Settled after further follow-up by RCOC												
Settled in Mediation			7									
SLFH Decision			2									

State Level Fair Hearing Decisions

Prevailing Party												
Person Served/Family												
RCOC			2									
Split												

ADMINISTRATION AND GOVERNANCE

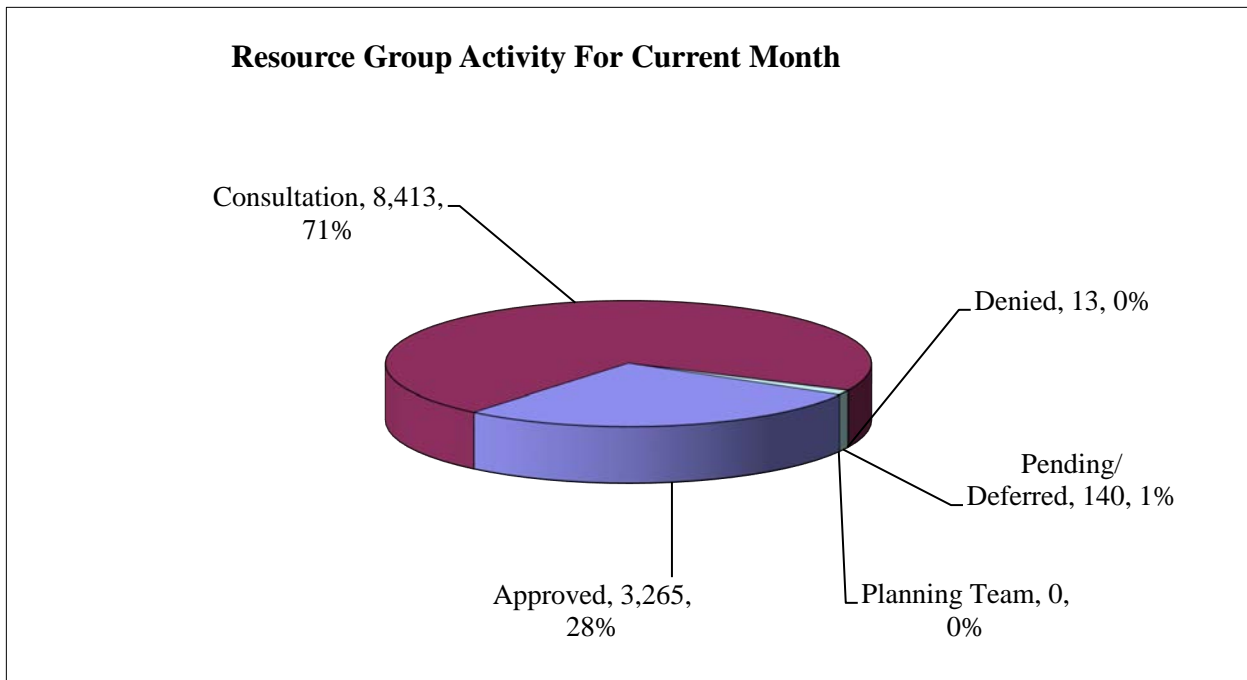
Guiding Principle

- *RCOC will maximize all alternative sources of funding for necessary services and supports including federal and generic funding.*
- *The public funds that support the service system are expended in a fashion that is cost-effective, consumer-directed, consistent with good business practices, and that reflect RCOC's Guiding Principles and diligent stewardship.*

Resource Group Activity for January 2025 and Fiscal Year to Date

Disposition	Approved	Consultation	Denied	Pending/Deferred	Planning Team	Total
Adult Day	888	317	0	38	0	1,243
Behavioral	118	69	0	9	0	196
Education	0	0	0	0	0	0
Eligibility/Health	80	2	8	4	0	94
Early Start	560	212	5	32	0	809
Living Options	297	168	0	6	0	471
Supported/Ind.	340	160	0	21	0	521
All Others	982	341	0	30	0	1,353
Monthly Total	3,265	8,413	13	140	0	4,687

FY 2024-25 Total to Date	36,583	22,505	0	2,800	0	61,888
---------------------------------	--------	--------	---	-------	---	---------------



Operations Report Summary - January 2025

About Persons Served	Early Start	Medicaid Waiver	All Other	SDC	Total	Under 18	Over 18
Number of Persons Served	3,454	8,589	11,936	9	23,988	13,200	13,956
<i>Percentage of Total</i>	<i>14%</i>	<i>36%</i>	<i>50%</i>	<i>0%</i>	<i>100%</i>	<i>55%</i>	<i>58%</i>

Children served in Prevention Resource and Referral Services	458
---	------------

Persons Served by Residence Status	All	Under 18	Over 18
Family Home	22,879	12,928	9,951
Community Care Facility	1,704	19	1,685
State Developmental Center	9	0	9
Family Home Agency	91	0	91
Foster Home	240	232	8
Intermediate Care Facility	596	0	596
Independent Living	950	0	950
Supported Living	497	0	497
Skilled Nursing	78	0	78
Other	112	21	91
Total	27,156	13,200	13,956

Special Incident Investigations	Year to Date
AWOL	33
Abuse	71
Neglect	129
Injury	184
Hospitalizations - Total	299
Death	107
Victim of crime	13
Arrest	12
Rights	198
Total	1,046

Number of Licensed Facilities

<i>Community Care Facilities</i>	Total	Under 18	Over 18
Level 2	70	0	70
Level 3	87	0	88
Level 4	220	9	211
Total Community Care Facilities	377	9	369

Licensed Facility Monitoring	Year to Date
Annual Review	294
Unannounced	573
Total Number of Reviews	867
Provider Trainings	0
Technical Support	2,146
Corrective Action Plans	27

Intermediate Care Facilities (ICF)

ICF-DD	0
ICF-DD/Habilitation	69
ICF-DD/Nursing	41
Total ICF Facilities	110

Number of Audits	2
Amount of Recovery from Audits	\$2,565

Total Licensed Facilities	487
----------------------------------	------------



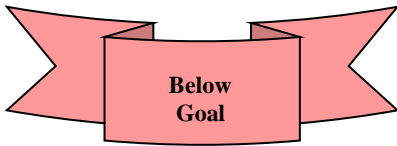
Performance Contract Summary

RCOC as of 1/02/2025	All	RCOC #	Goal	Percentage	# Attained
Developmental Center (DC)	27,059	9	0	0.03%	-9
Children in Foster Homes (FH)	13,157	242	318	1.84%	-76
Children Own Home Parent/Guardian	13,157	12,874	12,530	97.85%	344
Total # Children (FH,Parent/Guardian)	13,157	13,116	11,615	99.69%	268
Adult FHA	13,893	91	98	0.66%	-7
Independent Living (IL)	13,893	941	937	6.77%	4
Adults Residing Own Home - Parent	13,893	9,912	9,872	71.35%	40
Supported Living (SL)	13,893	491	510	3.53%	-19
Total # Adults (FHA, IL,Parent/Guardian, SL)	13,893	11,435	11,417	82.31%	18
Children Residing in a CCF (7+ beds)	13,157	0	0	0%	0
Children Residing in a ICF (7+ beds)	13,157	1	0	0%	-1
Children Residing in a Nursing Facility (7+ beds)	13,157	0	0	0%	0
Total Children Residing in 7+ bed facilities	13,157	1	0	0%	-1
Adults Residing in a CCF (7+ beds)	13,893	112	112	0.81%	0
Adults Residing in a ICF (7+ beds)	13,893	15	7	0.11%	-8
Adults Residing in a Nursing Facility (7+ beds)	13,893	78	75	0.56%	-3
Total Adults Residing in 7+ bed facilities	13,893	205	194	1.48%	-11
Total Individuals Over Age 3 with <=120 days	330	330	100%	100%	100%
Total Individuals Over Age 3 with 121-240 days	330	0	0%	0%	0%
Total Individuals Over Age 3 Over 240 days	330	0	0%	0%	0%
Adults with Integrated Employment Goal	13,893	48%	65%		
Total Number of Incentive Payments Made	13,893	69			
Avg. Wage per Hour After Incentive Payment	13,893	\$14.40			
Number of Persons Served with Earned Income	13,893	1,965			
Percentage of 16-64 Earned Income	13,893	14.5%			
Annual Earnings of 16-64	13,893	\$13,564			
Number of Adults in CIE After Paid Intern	13,893	0			
Percentage Adults Transitioned Internship to CIE	13,893	0%			
Total Annual Expenditures Race/Ethnicity	27,059				

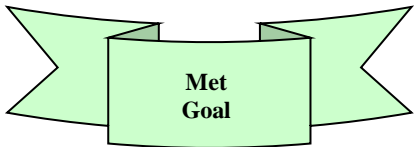
Performance Contract 2024-2025



= Better than Statewide Average



= Below Regional Center of Orange County Goal



= Met Regional Center of Orange County Goal



= Met or Exceeded Regional Center of Orange County Goal



= Exceeded Regional Center of Orange County Goal

There will be a variance between consumer data in the Operations Report and the Performance Contract. Consumer data for the Operations Report and the Performance Contract are produced on different dates and from different databases. The Operations Report numbers are based on RCOC's local database as of the end of the month. The Performance Contract numbers are based on RCOC's information as submitted to DDS on a different date.



Performance Contract 2024-2025

A. Regional Center of Orange County will maintain compliance in the following areas based upon criteria set forth in RCOC's contract with the Department of Developmental Services.

Compliance Measure	Outcome
Unqualified audit with no material findings	Yes
Substantial compliance with DDS fiscal audit	Yes
Operates within OPS budget	Yes
Certified to participate in Waiver	Yes
Compliance with vendor audit requirements per contract, Article III, sec. 10	Met
CDER/ESR current	96.29%
Intake/Assessment and IFSP (0-2)	99.52%
IPP development biennial	Annual, 99.46
IFSP development	69.28%



Performance Contract 2024-2025

I. Developmental Center

Planned Activities

Statement: The Regional Center of Orange County (RCOC) is committed to providing assistance to individuals and their families who choose to move from a State Developmental Center (SDC) into a less restrictive environment within their home communities.

Objective: RCOC will continue to seek new and innovative methods of utilizing available resources, developing non-existing resources, and creating and implementing transition plans that will allow individuals to establish themselves and participate successfully in their home community living arrangements.

- Implementation of Community Placement Plan/Resource Development Plan for FYs 2022-2023 and 2023-2024.

Progress: In FY 2019-2020, RCOC moved the last persons served from Fairview Developmental Center into the community. The remaining individuals are served at Porterville Developmental Center where they remain for competency issues. During Public Meetings in April 2024, RCOC had 8 persons served, or 0.03%, in Developmental Centers.

A. Total number and % of regional center caseload in developmental centers.

	Percentage	All Consumers	Consumers in DC			
Statewide Average	0.05%	423,241	224			
RCOC Public Hearing 4/24/24	0.03%	24,845	8	Goal	%	# Attained
RCOC 1/02/25	0.03%	27,059	9	0	0.03%	-9
Analysis as of Public Hearing	RCOC % of DD pop		5.87%	RCOC % of DC pop		3.57%

Number of Persons Served Residing DC's



	Total Active Caseload	Goal	DC	%	Number Attained
Jul-24	26,460	0	9	0.03%	-9
Aug-24	26,547	0	9	0.03%	-9
Sep-24	26,250	0	9	0.03%	-9
Oct-24	26,808	0	9	0.03%	-9
Nov-24	26,906	0	9	0.03%	-9
Dec-24	27,059	0	9	0.03%	-9
Jan-25		0			
Feb-25		0			
Mar-25		0			
Apr-25		0			
May-25		0			
Jun-25		0			



Performance Contract 2024-2025

II. Children Residing with Families (*Child is defined as under 18 years of age*)

Planned Activities

Statement: The Regional Center of Orange County (RCOC) ensures that children will reside with their families by providing the needed supports and services regardless of the severity of the child's disability.

- Continue to assess current supports and services.
- RCOC will work with the Orange County community in an effort to support programs, trainings, and services designed to provide equal access to child care for families of children with special needs (autism).
- Continue to develop innovative resources for children 0-3 years old (i.e. respite placements).
- RCOC will insure that persons served are provided opportunities for safety awareness training through schools and other similar programs available.
- Review and revise services, e.g. respite and family support.
- RCOC will insure that families receive full information about the developmental needs of the persons served and what types of services are available.
- RCOC will assure that persons served and their caregivers receive complete assessments and have the opportunity to ask questions, advocate, and access to services. To be evaluated and monitored by a National Core Indicators (NCI) survey of persons served and their caregivers.

Progress: A. During public meetings, RCOC had 296, or 2.59%, of children in foster homes.

A. Number and % of regional center children in foster homes.

	Percentage	All Children	Children in FH	Goal	%	# Attained
Statewide Average	2.56%	226,163	5,780			
RCOC Public Hearing 4/24/24	2.59%	11,421	296			
RCOC 1/02/25	1.84%	13,157	242	318	1.84%	-76
Analysis as of Public Hearing	RCOC % of DD pop.		5.05%		RCOC % of FH pop.	5.12%



	Total Children Status 1&2	Goal	Children in Foster Homes	%	Number Attained
Jul-24	12,779	318	270	2.11%	-48
Aug-24	12,828	318	265	2.07%	-53
Sep-24	12,893	318	263	2.04%	-55
Oct-24	12,995	318	252	1.94%	-66
Nov-24	13,044	318	245	1.88%	-73
Dec-24	13,157	318	242	1.84%	-76
Jan-25		318			
Feb-25		318			
Mar-25		318			
Apr-25		318			
May-25		318			
Jun-25		318			



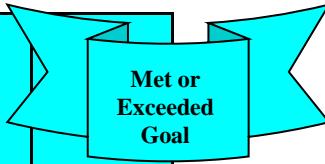
Progress: B. During public meetings, RCOC had 11,086, or 97.07%, of children in own-home-parent/guardian.

B. Number and % of regional center children in own home-parent/guardian.

	%	All Children	Children in own home Parent/Guardian			
Statewide Average	97.11%	226,163	219,630			
RCOC Public Hearing 4/24/24	97.07%	11,421	11,086	Goal	%	# Attained
RCOC 1/02/25	97.85%	13,157	12,874	12,530	97.85%	344
Analysis as of Public Hearing		RCOC % of DD pop.	5.05%	RCOC % of Home		97.07%



	Total Children	Goal	Children in Own Home Parent/Guardian	%	Number Attained
Jul-24	12,779	12,530	12,473	97.61%	-57
Aug-24	12,828	12,530	12,528	97.66%	-2
Sep-24	12,893	12,530	12,593	97.67%	63
Oct-24	12,995	12,530	12,704	97.76%	174
Nov-24	13,044	12,530	12,759	97.82%	229
Dec-24	13,157	12,530	12,874	97.85%	334
Jan-25		12,530			
Feb-25		12,530			
Mar-25		12,530			
Apr-25		12,530			
May-25		12,530			
Jun-25		12,530			



Progress: C. During public meetings, RCOC had 10,079, or 99.46%, of children in homes.

C. Total number and % of regional center children in homes (*this is a total of sections A and B above*).

	%	All Children	Total Number Children in Homes			
Statewide Average	99.67%	226,163	225,410			
RCOC Public Hearing 4/24/24	99.66%	11,421	11,382	Goal	%	# Attained
RCOC 1/02/25	99.69%	13,157	13,116	12,848	99.69%	268
Analysis of Public Hearing	RCOC % of DD pop		5.05%	RCOC % Homes		86.78%



	Total Children Status 1&2	Goal	Total Number Children in Homes	%	Number Attained
Jul-24	12,779	12,848	12,743	99.72%	-105
Aug-24	12,828	12,848	12,793	99.73%	-55
Sep-24	12,893	12,848	12,856	99.71%	8
Oct-24	12,995	12,848	12,956	99.70%	108
Nov-24	13,044	12,848	13,004	99.69%	156
Dec-24	13,157	12,848	13,116	99.69%	268
Jan-25		12,848			
Feb-25		12,848			
Mar-25		12,848			
Apr-25		12,848			
May-25		12,848			
Jun-25		12,848			



Performance Contract 2024-2025

III. Adults Residing in Home Settings

Planned Activities

Statement: RCOC works with persons served and their caregivers and advocates to empower and enable them to assert the rights of persons served to determine and control the living arrangements of their choice. This may include owning, renting, or leasing the home where the persons served reside.

Objective: Using the Person Centered Thinking (PCT) Individual Program Planning process, Service Coordinators will continue to identify regional center adult persons served who have the hopes and desires to live in a new living arrangement. Cases are reviewed at least annually for the least restrictive environment.

- RCOC will provide service coordinator training to assist families in establishing maintenance plans in the event of temporary caregiver illness/incapacity and for eventual transition plans.
- RCOC will request vendors to include successional maintenance and transitional plans in the event of temporary illness/incapacity and transfer of ownership in their program designs.
- RCOC will ensure that persons served are provided opportunities for safety awareness training on a regular and as needed basis.
- RCOC will review and revise services, e.g. respite and family support.
- RCOC will assure that persons served and their caregivers receive complete assessments and have opportunities to ask questions, advocate, and access services. To be evaluated and monitored by an NCI survey of persons served and their caregivers.



Progress: A. During public meetings, RCOC had 87, or 0.65%, of adults residing in Adult FHA.

A. Total number and % of regional center adult caseload residing in an Adult Family Home Agency (FHA).

	Percentage	Total Adults Status 2	Adults in FHA	Goal	%	# Attained
Statewide Average	0.74%	196,854	1,452			
RCOC Public Hearing 4/24/24	0.65%	13,416	87			
RCOC 1/02/25	0.66%	13,893	91	99	0.66%	-8
Analysis as of Public Hearing	RCOC % of DD pop		6.82%	RCOC % of FHA pop		5.99%



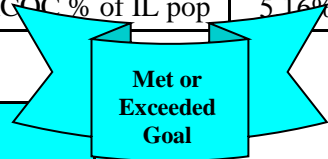
	Total Adults Status 2	Goal	Adults in FHA	%	Number Attained
Jul-24	13,672	99	89	0.65%	-10
Aug-24	13,710	99	91	0.66%	-8
Sep-24	13,748	99	90	0.64%	-9
Oct-24	13,804	99	91	0.66%	-8
Nov-24	13,853	99	91	0.66%	-8
Dec-24	13,893	99	91	0.66%	-8
Jan-25		99			
Feb-25		99			
Mar-25		99			
Apr-25		99			
May-25		99			
Jun-25		99			



Progress: B. During public meetings, RCOC had 924, or 6.89%, of adults residing in independent living.

B. Total number and % of regioanal center adults in independent living.

	Percentage	Total Adults Status 2	Adults in Independent Living			
Statewide Average	9.09%	196,854	17,896			
RCOC Public Hearing 4/24/24	6.89%	13,416	924	Goal	%	# Attained
RCOC 1/02/25	6.77%	13,893	941	937	6.77%	4
Analysis of Public Hearing	RCOC % of DD pop		6.82%	RCOC % of IL pop		5.16%



	Total Adults Status 2	Goal	Adults in Independent Living	%	Number Attained
Jul-24	13,672	937	934	6.83%	-3
Aug-24	13,710	937	936	6.83%	-1
Sep-24	13,748	937	937	6.82%	0
Oct-24	13,804	937	941	6.82%	4
Nov-24	13,853	937	945	6.82%	8
Dec-24	13,893	937	942	6.77%	4
Jan-25		937			
Feb-25		937			
Mar-25		937			
Apr-25		937			
May-25		937			
Jun-25		937			

Progress: C. During public meetings, RCOC had 9,477, or 70.64%, of adults residing in own home-parent.

C. Total number and % of regional center adults residing in own home-parent.

	Percentage	Total Adults Status 2	Adults Residing Own Home - Parent			
Statewide Average	69.02%	196,854	135,873			
RCOC Public Hearing 4/24/24	70.64%	13,416	9,477	Goal	%	# Attained
RCOC 1/02/25	71.35%	13,893	9,912	9,872	71.35%	40
Analysis of Public Hearing	RCOC % of DD pop		6.82%	RCOC % of own home		6.97%



	Total Adults Status 2	Goal	Adults Residing Own Home - Parent	%	Number Attained
Jul-24	13,672	9,872	9,708	71.01%	-164
Aug-24	13,710	9,872	9,743	71.06%	-129
Sep-24	13,748	9,872	9,775	71.01%	-97
Oct-24	13,804	9,872	9,822	71.15%	-50
Nov-24	13,853	9,872	9,859	71.17%	-13
Dec-24	13,893	9,872	9,912	71.35%	40
Jan-25		9,872			
Feb-25		9,872			
Mar-25		9,872			
Apr-25		9,872			
May-25		9,872			
Jun-25		9,872			



Progress: D. During public meetings, RCOC had 491, or 3.66%, of adults residing in supported living.

D. Total number and % of regional center adults residing in supported living.

	Percentage	Total Adults Status 2	Adults Residing in Supported Living	Goal	%	# Attained
Statewide Average	5.02%	196,854	9,359			
RCOC Public Hearing 4/24/24	3.66%	13,416	491			
RCOC 1/02/25	3.53%	13,893	491	510	3.53%	-19
Analysis of Public Hearing	RCOC % of DD pop		6.82%	RCOC % of SL pop		5.25%

	Total Adults Status 2	Goal	Adults Residing Supported Living	%	Number Attained
Jul-24	13,672	510	492	3.60%	-18
Aug-24	13,710	510	492	3.59%	-18
Sep-24	13,748	510	488	3.55%	-22
Oct-24	13,804	510	491	3.56%	-19
Nov-24	13,853	510	493	3.56%	-17
Dec-24	13,893	510	491	3.53%	-19
Jan-25		510			
Feb-25		510			
Mar-25		510			
Apr-25		510			
May-25		510			
Jun-25		510			





Progress: E. During public meetings, RCOC had 10,217, or 80.97%, of adults residing in home settings.

E. Total number and % of regional center adults in home settings (*this is a total of sections A, B, C, and D above*).

	Percentage	Total Adults Status 2	Total Number Adults in Home Settings			
Statewide Average	83.63%	196,854	164,624			
RCOC Public Hearing 4/24/24	81.84%	13,416	10,979	Goal	%	# Attained
RCOC 1/02/25	82.31%	13,893	11,435	11,418	82.31%	17
Analysis of Public Hearing	RCOC % of DD pop		6.82%	RCOC % of Home		6.67%

	Total Adults Status 2	Goal	Total Number Adults in Home Settings	%	Number Attained
Jul-24	13,672	11,418	11,223	82.09%	-195
Aug-24	13,710	11,418	11,262	82.14%	-156
Sep-24	13,748	11,418	11,290	82.12%	-128
Oct-24	13,808	11,418	11,345	82.19%	-128
Nov-24	13,853	11,418	11,388	82.21%	-30
Dec-24	13,893	11,418	11,435	82.31%	17
Jan-25		11,418			
Feb-25		11,418			
Mar-25		11,418			
Apr-25		11,418			
May-25		11,418			
Jun-25		11,418			



Performance Contract 2024-2025

IV. Children Residing in Facilities with Seven or More Beds (Excluding Developmental Centers)

Planned Activities

Statement: RCOC provides for the needs of children with medical issues or challenging behaviors in seven or greater bed facilities for limited time periods when smaller facilities cannot meet needs.

Objective: RCOC will place only those children with medical issues or challenging behaviors in seven or greater facilities.

- RCOC will continue seeking appropriate placement in smaller facilities for these children and to support creative services and supports which would allow placement in existing small facilities, as well as development of new small facilities as needed, utilizing appropriate services and supports to ensure success for these persons served.
- RCOC will adhere to Trailer Bill Language relating to the use of larger facilities to ensure the least restrictive environment is supported.

Progress: A. During public meetings, RCOC had 0, or 0.00%, of children residing in a Community Care Facility (CCF) 7+ beds. Placements to 7+ bed CCF facilities are at family request and/or due to specialized services.

A. Total number and % of regional center children residing in a CCF 7+ beds.

	Percentage	Total Children Status 1&2	Children Residing in CCF 7+ Beds			
Statewide Average	0.01%	226,163	14			
RCOC Public Hearing 4/24/24	0.00%	11,421	0	Goal	%	# Attained
RCOC 1/02/25	0.00%	13,157	0	0	0.00%	0
Analysis of Public Hearing	RCOC % of DD pop		5.05%	RCOC % of CCF 7+		0.00%

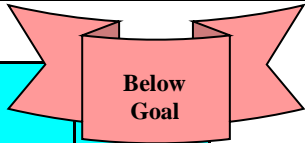


	Total Children Status 1&2	Goal	Children Residing CCF 7+ Beds	%	Met Goal Number Attained
Jul-24	12,779	0	0	0.00%	0
Aug-24	12,828	0	0	0.00%	0
Sep-24	12,893	0	0	0.00%	0
Oct-24	12,995	0	0	0.00%	0
Nov-24	13,044	0	0	0.00%	0
Dec-24	13,157	0	0	0.00%	0
Jan-25		0			
Feb-25		0			
Mar-25		0			
Apr-25		0			
May-25		0			
Jun-25		0			

Progress: B. During public meetings, RCOC had 0, or 0.00%, of children residing in an Intermediate Care Facility (ICF) 7+ beds.

B. Total number and % of regional center children residing in an ICF 7+ beds.

	Percentage	Total Children Status 1&2	Children Residing in an ICF 7+ beds	Goal	%	# Attained
Statewide Average	0.02%	226,163	42			
RCOC Public Hearing 4/24/24	0.00%	11,421	0			
RCOC 1/02/25	0.00%	13,157	1	0	0.01%	-1
Analysis of Public Hearing	RCOC % of DD pop		5.05%	RCOC % of ICF 7+		0.00%



	Total Children Status 1&2	Goal	Children Residing ICF 7+ Beds	%	Number Attained
Jul-24	12,779	0	0	0.00%	0
Aug-24	12,828	0	0	0.00%	0
Sep-24	12,893	0	0	0.00%	0
Oct-24	12,995	0	0	0.00%	0
Nov-24	13,044	0	0	0.00%	0
Dec-24	13,157	0	1	0.01%	-1
Jan-25		0			
Feb-25		0			
Mar-25		0			
Apr-25		0			
May-25		0			
Jun-25		0			

Progress: C. During public meetings, RCOC had no children residing in a nursing facility. Placements to nursing facilities are at family request and/or due to specialized services.

C. Total number and % of regional center children residing in a nursing facility.

	Percentage	Total Children Status 1&2	Children Residing in a Nursing Facility	Goal	%	# Attained
Statewide Average	0.00%	226,163	4			
RCOC Public Hearing 4/24/24	0.00%	11,421	0			
RCOC 1/02/25	0.00%	13,157	0	0	0.00%	0
Analysis of Public Hearing	RCOC % of DD pop		5.05%	RCOC % of NF		0.00%



	Total Children Status 1&2	Goal	Children Residing in a Nursing Facility (NF)	%	Number Attained
Jul-24	12,779	0	0	0.00%	0
Aug-24	12,828	0	0	0.00%	0
Sep-24	12,893	0	0	0.00%	0
Oct-24	12,995	0	0	0.00%	0
Nov-24	13,044	0	0	0.00%	0
Dec-24	13,157	0	0	0.00%	0
Jan-25		0			
Feb-25		0			
Mar-25		0			
Apr-25		0			
May-25		0			
Jun-25		0			



Progress: D. During public meetings, RCOC had 0, or 0.00%, of children residing in a facility with 7+ beds. Placements to 7+ bed facilities are at family request and/or due to specialized services.

D.Total number and % of regional center children residing in a facility with 7+ beds (*this is a total of sections A, B, and C above*).

	Percentage	Total Children Status 1&2	Total Children Residing in a 7+ Bed Facility			
Statewide Average	0.03%	226,163	60			
RCOC Public Hearing 4/24/24	0.00%	11,421	0	Goal	%	# Attained
RCOC 1/02/25	0.00%	13,157	1	0	0.01%	-1
Analysis of Public Hearing	RCOC % of DD pop		5.05%	RCOC % 7+ Bed		0.00%



	Total Children Status 1&2	Goal	Total Children Residing in 7+ Bed	%	Number Attained
Jul-24	12,779	0	0	0.00%	0
Aug-24	12,828	0	0	0.00%	0
Sep-24	12,893	0	0	0.00%	0
Oct-24	12,995	0	0	0.00%	0
Nov-24	13,044	0	0	0.00%	0
Dec-24	13,157	0	0	0.01%	-1
Jan-25		0			
Feb-25		0			
Mar-25		0			
Apr-25		0			
May-25		0			
Jun-25		0			

Performance Contract 2024-2025

V. Adults Residing in Facilities with Seven or More Beds (*Excluding Developmental Centers*)

Planned Activities

Statement: RCOC continues to ensure that individuals with developmental disabilities have more choices in living options regardless of the severity of their disabilities.

Objective: RCOC will place only those adults with medical issues or challenging behaviors in seven bed or greater facilities.

- RCOC will continue seeking appropriate placement in smaller facilities for these persons served and to support creative services and supports which would allow placement in existing small facilities, as well development of new small facilities as needed, utilizing appropriate services and supports to ensure success for these persons served.
- RCOC will adhere to Trailer Bill Language relating to the use of larger residential facilities to ensure the least restrictive environment is supported.



Progress: A. During public meetings, RCOC had 119, or 0.89%, of adults residing in a CCF 7+ bed. Placements to 7+ CCF are at family request and/or due to specialized services. RCOC has several long term vendors with 7+ bed homes, and will continue to work together to implement Trailer Bill Language regarding the use of these homes.

A. Total number and % of regional center adults residing in a Community Care Facility (CCF) 7+ beds.

	Percentage	Total Adults Status 2	Adults in CCF 7+ Beds	Goal	%	# Attained
Statewide Average	0.67%	196,854	1,324			
RCOC Public Hearing 4/24/24	0.89%	13,416	119			
RCOC 1/02/25	0.81%	13,893	112	112	0.81%	0
Analysis of Public Hearing	RCOC % of DD pop		6.82%	RCOC % Adult 7+ CCF		8.99%

	Total Adults Status 2	Goal	Adults Residing in CCF 7+ Beds	%	Number Attained
Jul-24	13,672	112	111	0.81%	1
Aug-24	13,710	112	113	0.82%	-1
Sep-24	13,748	112	114	0.83%	-2
Oct-24	13,804	112	113	0.82%	-1
Nov-24	13,853	112	112	0.81%	0
Dec-24	13,893	112	112	0.81%	0
Jan-25		112			
Feb-25		112			
Mar-25		112			
Apr-25		112			
May-25		112			
Jun-25		112			



Progress: B. During public meetings, RCOC had 15, or 0.11%, of adults residing in an Intermediate Care Facility (ICF) 7+ beds. Placements to 7+ bed facilities are at family request and/or due to specialized services.

B. Total number and % of regional center adults residing in an ICF 7+ beds.

	Percentage	Total Adults Status 2	Adults Residing ICF 7+ Beds	Goal	%	# Attained
Statewide Average	0.41%	196,854	755			
RCOC Public Hearing 4/24/24	0.11%	13,416	15			
RCOC 1/02/25	0.11%	13,893	15	7	0.11%	-8
Analysis of Public Hearing	RCOC % of DD pop		6.82%	RCOC % ICF 7+		1.99%



	Total Adults Status 2	Goal	Adults Residing ICF 7+ Beds	%	Number Attained
Jul-24	13,672	7	14	0.10%	-7
Aug-24	13,710	7	14	0.10%	-7
Sep-24	13,748	7	15	0.11%	-8
Oct-24	13,804	7	15	0.11%	-8
Nov-24	13,853	7	14	0.10%	-7
Dec-24	13,893	7	15	0.10%	-8
Jan-25		7			
Feb-25		7			
Mar-25		7			
Apr-25		7			
May-25		7			
Jun-25		7			





Progress: C. During public meetings, RCOC had 75, or 0.56%, of adults residing in a nursing facility (NF). Placements to nursing facilities are at family request and/or due to medical or specialized services.

C. Total number and % of regional center adults residing in a nursing facility.

	Percentage	Total Adults Status 2	Adults Residing in NF	Goal	%	# Attained
Statewide Average	0.52%	196,854	967			
RCOC Public Hearing 4/24/24	0.56%	13,416	75			
RCOC 1/02/25	0.56%	13,893	78	75	0.56%	-3
Analysis of Public Hearing	RCOC % DD pop		6.82%	RCOC % NF		7.76%

	Total Adults Status 2	Goal	Adults Residing in NF	%	Number Attained
Jul-24	13,672	75	78	0.57%	-3
Aug-24	13,710	75	76	0.55%	-1
Sep-24	13,748	75	80	0.58%	-5
Oct-24	13,804	75	78	0.58%	-3
Nov-24	13,853	75	82	0.59%	-7
Dec-24	13,893	75	78	0.56%	-3
Jan-25		75			
Feb-25		75			
Mar-25		75			
Apr-25		75			
May-25		75			
Jun-25		75			



Progress: D. During public meetings, RCOC had 209, or 1.56%, of adults residing in a facility with 7+ beds. Placements to 7+ bed facilities are at family request and/or due to specialized services.

D. Total number and % of adults residing in a facility with 7+ beds (*this is a total of sections A, B, and C above*).

	Percentage	Total Adults Status 2	Total Adults Residing in 7+ Bed	Goal	%	# Attained
Statewide Average	1.71%	196,854	3,188			
RCOC Public Hearing 4/24/24	1.56%	13,416	209			
RCOC 1/02/25	1.48%	13,893	205	194	1.48%	-11
Analysis of Public Meeting	RCOC % of DD pop		6.82%	RCOC % 7+ Bed		6.56%



	Total Adults Status 2	Goal	Total Adults Residing in 7+ Beds	%	Number Attained
Jul-24	13,672	194	203	1.45%	-11
Aug-24	13,710	194	203	1.48%	-9
Sep-24	13,748	194	209	1.52%	-15
Oct-24	13,808	194	206	1.49%	-12
Nov-24	13,853	194	208	1.94%	-14
Dec-24	13,893	194	205	1.48%	-11
Jan-25		194			
Feb-25		194			
Mar-25		194			
Apr-25		194			
May-25		194			
Jun-25		194			



Performance Contract 2024-2025

VI. Intake Duration

Planned Activities

Statement: Management and Service Coordinator staff receive a monthly report on the duration of individuals age 3 and over who are in the intake process.

Objective: RCOC will continue to ensure that the duration of individuals ages 3 and over in the Intake process is within mandated timeline.

- RCOC will provide persons served and their caregivers/advocates with initial information about developmental needs, and about the services and supports available, inside and outside of RCOC.

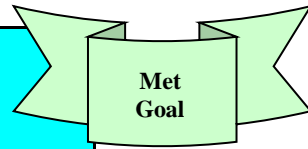
Progress: A. During public meetings, RCOC had 257, or 100%, of regional center individuals over age 3 with <=120 days.

A. Total number and % of regional center individuals over age 3 with <=120 days.

	Percentage	Total # Age 3 or Over	Total # Over Age 3 with <=120 Days	Goal	% Attained
Statewide Average	78.33%	14,874	11,651		
RCOC Public Hearing 4/24/24	100%	257	257		
RCOC 1/02/25	100%	330	330	100%	100%



	Total Number Individuals Age 3 or Over	Goal	Total Number Individuals Over Age 3 with <=120 Days	% Attained
Jul-24	319	100%	319	100%
Aug-24	326	100%	326	100%
Sep-24	321	100%	321	100%
Oct-24	305	100%	305	100%
Nov-24	328	100%	328	100%
Dec-24	330	100%	330	100%
Jan-25		100%		
Feb-25		100%		
Mar-25		100%		
Apr-25		100%		
May-25		100%		
Jun-25		100%		



Progress: B. During public meetings, RCOC had 0, or 0.00%, of regional center individuals over age 3 with 121-240 days.

B. Total number and % of regional center individuals over age 3 with 121-240 days.

	Percentage	Total Number of Individuals Age 3 and Over	Total Number of Individuals Over Age 3 With 121-240 Days		
Statewide Average	14.62%	14,874	2,175		
RCOC Public Hearing 4/24/24	0.00%	257	0	Goal	% Attained
RCOC 1/02/25	0.00%	330	0	0%	0%



	Total Number of Individuals Age 3 or Over	Goal	Total Number of Individuals Over Age 3 With 121-240 Days	%	Met Goal
Jul-24	319	0%	0	0%	
Aug-24	326	0%	0	0%	
Sep-24	321	0%	0	0%	
Oct-24	305	0%	0	0%	
Nov-24	328	0%	0	0%	
Dec-24	330	0%	0	0%	
Jan-25		0%			
Feb-25		0%			
Mar-25		0%			
Apr-25		0%			
May-25		0%			
Jun-25		0%			

Progress: C. During public meetings, RCOC had 0, or 0.00%, of regional center individuals over age 3 with over 240 days.

C. Total number and % of regional center individuals over age 3 with over 240 days.

	Percentage	Total Number Individual s Age 3 or Over	Total Number Individuals Over Age 3 Over 240 Days		
Statewide Average	7.05%	14,874	1048		
RCOC Public Hearing 4/24/24	0%	257	0	Goal	% Attained
RCOC 1/02/25	0%	330	0	0%	0%



	Total Number Individuals Age 3 or Over	Goal	Total Number Individuals Over Age 3 Over 240 Days	% Attained	Met Goal
Jul-24	319	0%	0	0%	
Aug-24	326	0%	0	0%	
Sep-24	321	0%	0	0%	
Oct-24	305	0%	0	0%	
Nov-24	328	0%	0	0%	
Dec-24	330	0%	0	0.00%	
Jan-25		0%			
Feb-25		0%			
Mar-25		0%			
Apr-25		0%			
May-25		0%			
Jun-25		0%			

Performance Contract 2024-2025

VII. National Core Indicators (NCI) Employment

Planned Activities

Statement: RCOC has adopted an Employment First Policy making competitive integrated employment (CIE) the first option considered by planning teams for every working adult served by RCOC.

Objective: RCOC will implement its Employment First Policy by providing persons served and family members with information regarding the opportunity and support to work in employment settings that are meaningful to them and by annually reviewing those opportunities with individuals to ensure they are engaged in activities of their choosing. RCOC will make incentive payments to vendors who assist individuals obtain CIE and maintain those positions over time.

Progress: A. Results from the National Core Indicator surveys conducted in FY 2014-15, 47% of those interviewed indicated a desire for work in the community. For FY 2021-22, 48% of those interviewed also expressed a desire for employment in their community.

A. Percentage of adults who reported having integrated employment as a goal in their IPP.

	Percentage	
RCOC FY 2011-12	46%	Goal
RCOC FY 2014-15	47%	50%
RCOC FY 2018-19	57%	65%
RCOC FY 2020-21	48%	60%



Progress: B. RCOC will authorize incentive payments to service providers who assist individuals obtaining competitive integrated employment. RCOC will make initial payments based upon hire date, and additional payments will be made upon subsequent milestones related to length of employment.

B. Total number of 30 day, 6 month, and 12 month incentive payments made within the fiscal year.

Fiscal Year	30 Day	6 Month	12 Month
2017-18	155	97	78
2018-19	151	128	83
2019-20	131	115	90
2020-21	33	19	17
Goal	110	85	75

Progress: C. RCOC will work with local employment agencies and businesses to assist individuals obtain desired hours of employment on a weekly/monthly basis. Individuals will review this during the initial hiring phase when incentive payments are being sought.

C. Average wages and hours worked for adults engaged in CIE when incentive payments have been made on their behalf.

Fiscal Year	Hours Week	Wage
2017-18	23.5	\$11.31
2018-19	21	\$12.06
2019-20	22	\$13.06
2020-21	13	\$13.98
Goal	24	\$16.00

Performance Contract 2024-2025

VIII. Employment Development Department (EDD) Employment

Planned Activities

Statement: RCOC service coordinators and vendors are implementing RCOC's Employment First Policy of competitive integrated employment (CIE) as the first option for persons served.

Objective: RCOC service coordinators will implement Employment First Policy by providing persons served and families information on job preparation and procurement at annual Individual Transition Meetings (ITP) through the school and Individual Program Planning (IPP) meetings through RCOC. RCOC will continue to work on development of new programs that will emphasize a focus on CIE as a primary outcome. RCOC will work with service providers and employers to move individuals participating in Paid Internship Program (PIP) into CIE.

Progress: A. Results from the Employment Development Department (EDD) conducted in 2021 indicate that 1,839 persons served ages 16-64 had earned income. In 2022, 1,965 persons served ages 16-64 had earned income.

A. Number of persons served ages 16-64 with earned income.



	RCOC	Statewide Avg.
2018	3,346	1,311
2019	2,335	1,341
2020	1,726	1,082
2021	1,839	1,317
2022	1,965	1,428



Progress: B. Results from the EDD in 2021 indicate that 13.9% of persons served ages 16-64 reported having earned income. In 2022, the percentage of persons served ages 16-64 reporting earned income was 14.5%.

B. Percentage of persons served ages 16-64 reporting earned income.

	RCOC	Statewide Avg.
2019	21%	17.3%
2020	19.5%	15.7%
2021	13.9%	14.2%
2022	14.5%	15.0%

Progress: C. Results from the EDD in 2021 indicate that average annual wages for persons served ages 16-64 was \$10,991. In 2022, the average annual wage for persons served ages 16-64 was \$13,564. This measure will also compare average annual wages of all people with disabilities

C. Annual earnings of age group 16-64 of people with intellectual disabilities, compared with all persons with disabilities in California.

	RCOC	Statewide Avg.
2019	\$7,582	\$8,772
2020	\$6,783	\$8,837
2021	\$10,991	\$11,806
2022	\$13,564	\$13,949



Progress: D. In FY 2016-17, RCOC began working with service providers to place individuals into Paid Internship Program (PIP) opportunities to help develop employment interests and lead into CIE opportunities. In 2020 RCOC had 11 individuals within a PIP that resulted in employment, and for 2021 that number decreased to 0 individuals.

D. Number of adults placed in CIE following participation in a PIP.

	Total
2017-18	1
2018-19	7
2019-20	11
2020-21	0

Progress: E. RCOC will obtain data related to the overall percentage of adults participating in a paid internship who transition into a competitive employment setting. This program began in FY 2016-17. In FY 2020-21, 0% of adults transitioned from an Internship to Competitive Employment.

E. Percentage of adults who transitioned from internship to competitive employment.

	% Adults
2018-19	21%
2019-20	14%
2020-21	0%

Progress: F. RCOC will monitor the hourly/salaried wages and hours worked per week for persons served who participate in a paid internship. Hours and wages will be competitively based on the job type and market rate for each setting.

F. Average hourly wage and weekly hours worked in PIP during the previous fiscal year.

	Hours Week	Wage
2018-19	18	\$12.34
2019-20	13	\$13.43
2020-21	13	\$13.98



Performance Contract 2024-2025

IX. Reducing Disparities and Improving Equity in Purchase of Service Expenditures.

Statement: RCOC works to ensure that the support services are flexible and innovative in meeting the family's needs as they evolve over time, are tailored to the preferences of the individual family, and are consistent with their cultural norms and customs.

Objective: RCOC service coordinators will work with persons served and families to develop IPP goals and objectives to address their choices of living situations. RCOC will work to develop services in the community that meet the cultural and background preferences of persons served and family members to ensure the availability of resources. RCOC will continue outreach efforts within our community to overcome potential cultural barriers when identifying appropriate services. RCOC is working to expand family outreach and support options by developing new resources within our community. RCOC will continue to develop community programs that allow for a range of options for persons served when selecting those services. RCOC service coordinators will receive initial and ongoing training related to IPP development that ensures meaningful participation of persons served and their families and will focus on Person Centered Thinking skills and outcomes. RCOC will be working to simplify and translate important documents. RCOC continues to outreach with outside agencies such as parent support groups leaders, family support groups, social services agencies, faith-based organizations and educational agencies, as well as providing information via e-mail in the primary language of the family.



Progress: A. Review of fiscal year 2017-18 purchase of service data and client master file (CMF) for initial data source. Fiscal year 2022-2023 data reflects either an increase or decrease in services and expenditures related to disparity criteria.

A. Percent of total annual purchase of service (POS) expenditures by individuals ethnicity and age: Birth to age 2; Age 3-21; 22 and older.

Fiscal Year 2022-2023 Birth to 2 Years Ethnicity	Total Persons	Total Authorized Services	Percentage of Total	Utilized
American Indian or Alaska Native	*	\$100,892 ↑	0.08%	48.7% ↑
Asian	1,100	\$12,628,607 ↑	22.86% ↑	60.9% ↑
Black/African American	99	\$1,239,988 ↑	2.24% ↑	50.96% ↑
Hispanic	2,163	\$20,301,915 ↑	36.75% ↑	56.75% ↓
Native Hawaiian or Other Pacific Islander	15	\$217,826 ↑	0.39% ↑	65.64% ↑
Other Ethnicity or Race/Multi-Cultural	1,132	\$10,931,414 ↑	19.79% ↓	56.4% ↓
White	1,253	\$9,820,017 ↑	17.78% ↓	56.32% ↓
Totals	5,769 ↑	\$55,240,659 ↑		57.4% ↑

Fiscal Year 2022-2023 3 Years to 21 Years Ethnicity	Total Persons	Total Authorized Services	Percentage of Total	Utilized
American Indian or Alaska Native	14	\$85,505 ↓	0.09% ↑	43.9% ↓
Asian	2,250	\$17,784,288 ↓	17.97% ↑	53.8% ↓
Black/African American	206	\$2,399,914 ↑	2.42% ↑	
Hispanic	4,261	\$27,741,305 ↓	28.02% ↑	53.8% ↓
Native Hawaiian or Other Pacific Islander	22	\$323,664 ↑	0.33% ↑	43.6% ↓
Other Ethnicity or Race/Multi-Cultural	2,104	\$21,489,996 ↑	21.71% ↑	51.2% ↓
White	2,246	\$29,163,088 ↓	29.46% ↑	61.1% ↓
Totals	11,103 ↑	\$98,987,761 ↓		55.2% ↓



Fiscal Year 2022-2023 22 Years and Older Ethnicity	Total Persons	Total Authorized Services	Percentage of Total	Utilized
American Indian or Alaska Native	21	\$1,607,025 ↑	0.28% ↑	75.4% ↓
Asian	1,631	\$71,685,783 ↑	12.31% ↑	71.1% ↓
Black/African American	280	\$16,459,959 ↑	2.83% ↑	71.9% ↓
Hispanic	3,078	\$110,837,169 ↑	19.03% ↑	72.7% ↓
Native Hawaiian or Other Pacific Islander	13	\$624,327 ↑	0.11% ↑	75.8% ↑
Other Ethnicity or Race/Multi-Cultural	940	\$47,097,098 ↑	8.09% ↑	72.3% ↓
White	4,841	\$333,986,087 ↑	57.36% ↓	76.8% ↓
Totals	10,804 ↑	\$582,297,449 ↑		74.8% ↓



Progress: B. Review of fiscal year 2020-21 POS date and regional center caseload data. Initial data generation will be compared to subsequent FY information.

B. Number of individuals receiving only case management services by age and ethnicity: Birth to age 2; Age 3-21; Age 22 and older.

Fiscal Year 2022-2023 Birth to 2 Years Ethnicity	Total Persons	Case Management	Percent No Services
American Indian or Alaska Native	7	0	0.0%
Asian	1,100	75	6.8%
Black/African American	99	*	*
Hispanic	2,163	142	6.6%
Native Hawaiian or Other Pacific Islander	15	*	6.7%
Other Ethnicity or Race/Multi-Cultural	1,132	99	8.7%
White	1,253	83	6.6%
Totals	5,769	407	7.1%

Fiscal Year 2022-2023 3 Years to 21 Years Ethnicity	Total Persons	Case Management	Percent No Services
American Indian or Alaska Native	14	*	35.7% ↓
Asian	2,250	895 ↓	39.8% ↓
Black/African American	206	98 ↓	47.6% ↓
Hispanic	4,261	2,039 ↓	47.9% ↓
Native Hawaiian or Other Pacific Islander	22	**	59.1% ↓
Other Ethnicity or Race/Multi-Cultural	2,104	879 ↓	41.8% ↓
White	2,246	866 ↓	38.6% ↓
Totals	11,103 ↑	4,795 ↓	43.2% ↓



Fiscal Year 2022-2023 22 Years and Older Ethnicity	Total Persons	Case Management	Percent No Services
American Indian or Alaska Native	21	*	*
Asian	1,631	385 ↓	23.6% ↓
Black/African American	280	64 ↓	22.9% ↓
Hispanic	3,078	834 ↓	27.1% ↓
Native Hawaiian or Other Pacific Islander	13	*	*
Other Ethnicity or Race/Multi-Cultural	940	208 ↓	22.1% ↓
White	4,841	805 ↓	16.6% ↓
Totals	10,804 ↑	2,301 ↓	21.3% ↓



Progress: C. Review of fiscal year 2020-21 POS and CMF data. Initial data generation will be compared to subsequent FY information.

C. Per capita purchase of service (POS) expenditures by individual's primary language for all ages (30 or more people with identified language).

Fiscal Year 2022-2023 Primary Language All Ages	Consumer s Count	Per Capita Expenditures	Utilized
All other languages	389	\$25,113	73.5%
Cantonese/Mandarin Chinese	79 ↑	\$11,852 ↑	68.3% ↓
English	21,615 ↑	\$21,240 ↑	71.7% ↓
Spanish	4,492 ↓	\$9,313 ↑	64.8% ↓
Vietnamese	1,101 ↓	\$9,414	64% ↓
Totals	27,676	\$18,862	70.9% ↓



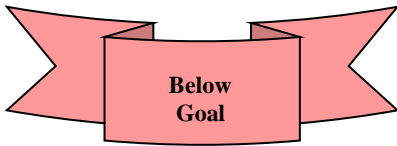
Performance Contract Summary

RCOC as of 2/03/2025	All	RCOC #	Goal	Percentage	# Attained
Developmental Center (DC)	27,108	9	0	0.03%	-9
Children in Foster Homes (FH)	13,179	232	318	1.76%	-86
Children Own Home Parent/Guardian	13,179	12,908	12,530	97.94%	378
Total # Children (FH,Parent/Guardian)	13,179	13,140	11,615	99.70%	292
Adult FHA	13,920	89	98	0.64%	-9
Independent Living (IL)	13,920	943	937	6.77%	6
Adults Residing Own Home - Parent	13,920	9,941	9,872	71.42%	69
Supported Living (SL)	13,920	491	510	3.53%	-19
Total # Adults (FHA, IL,Parent/Guardian, SL)	13,920	11,464	11,417	82.36%	47
Children Residing in a CCF (7+ beds)	13,179	0	0	0%	0
Children Residing in a ICF (7+ beds)	13,179	0	0	0%	0
Children Residing in a Nursing Facility (7+ beds)	13,179	0	0	0%	0
Total Children Residing in 7+ bed facilities	13,179	0	0	0%	0
Adults Residing in a CCF (7+ beds)	13,920	112	112	0.80%	0
Adults Residing in a ICF (7+ beds)	13,920	15	7	0.11%	-8
Adults Residing in a Nursing Facility (7+ beds)	13,920	79	75	0.57%	-4
Total Adults Residing in 7+ bed facilities	13,920	206	194	1.48%	-12
Total Individuals Over Age 3 with <=120 days	354	354	100%	100%	100%
Total Individuals Over Age 3 with 121-240 days	354	0	0%	0%	0%
Total Individuals Over Age 3 Over 240 days	354	0	0%	0%	0%
Adults with Integrated Employment Goal	13,920	48%	65%		
Total Number of Incentive Payments Made	13,920	69			
Avg. Wage per Hour After Incentive Payment	13,920	\$14.40			
Number of Persons Served with Earned Income	13,920	1,965			
Percentage of 16-64 Earned Income	13,920	14.5%			
Annual Earnings of 16-64	13,920	\$13,564			
Number of Adults in CIE After Paid Intern	13,920	0			
Percentage Adults Transitioned Internship to CIE	13,920	0%			
Total Annual Expenditures Race/Ethnicity	27,108				

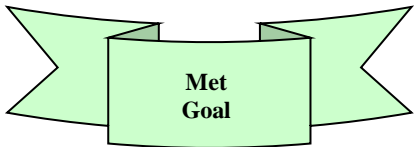
Performance Contract 2024-2025



= Better than Statewide Average



= Below Regional Center of Orange County Goal



= Met Regional Center of Orange County Goal



= Met or Exceeded Regional Center of Orange County Goal



= Exceeded Regional Center of Orange County Goal

There will be a variance between consumer data in the Operations Report and the Performance Contract. Consumer data for the Operations Report and the Performance Contract are produced on different dates and from different databases. The Operations Report numbers are based on RCOC's local database as of the end of the month. The Performance Contract numbers are based on RCOC's information as submitted to DDS on a different date.



Performance Contract 2024-2025

A. Regional Center of Orange County will maintain compliance in the following areas based upon criteria set forth in RCOC's contract with the Department of Developmental Services.

Compliance Measure	Outcome
Unqualified audit with no material findings	Yes
Substantial compliance with DDS fiscal audit	Yes
Operates within OPS budget	Yes
Certified to participate in Waiver	Yes
Compliance with vendor audit requirements per contract, Article III, sec. 10	Met
CDER/ESR current	96.29%
Intake/Assessment and IFSP (0-2)	99.52%
IPP development biennial	Annual, 99.46
IFSP development	69.28%



Performance Contract 2024-2025

I. Developmental Center

Planned Activities

Statement: The Regional Center of Orange County (RCOC) is committed to providing assistance to individuals and their families who choose to move from a State Developmental Center (SDC) into a less restrictive environment within their home communities.

Objective: RCOC will continue to seek new and innovative methods of utilizing available resources, developing non-existing resources, and creating and implementing transition plans that will allow individuals to establish themselves and participate successfully in their home community living arrangements.

- Implementation of Community Placement Plan/Resource Development Plan for FYs 2022-2023 and 2023-2024.

Progress: In FY 2019-2020, RCOC moved the last persons served from Fairview Developmental Center into the community. The remaining individuals are served at Porterville Developmental Center where they remain for competency issues. During Public Meetings in April 2024, RCOC had 8 persons served, or 0.03%, in Developmental Centers.

A. Total number and % of regional center caseload in developmental centers.

	Percentage	All Consumers	Consumers in DC			
Statewide Average	0.05%	423,241	224			
RCOC Public Hearing 4/24/24	0.03%	24,845	8	Goal	%	# Attained
RCOC 2/03/25	0.03%	27,108	9	0	0.03%	-9
Analysis as of Public Hearing	RCOC % of DD pop		5.87%	RCOC % of DC pop		3.57%

Number of Persons Served Residing DC's



	Total Active Caseload	Goal	DC	%	Number Attained
Jul-24	26,460	0	9	0.03%	-9
Aug-24	26,547	0	9	0.03%	-9
Sep-24	26,250	0	9	0.03%	-9
Oct-24	26,808	0	9	0.03%	-9
Nov-24	26,906	0	9	0.03%	-9
Dec-24	27,059	0	9	0.03%	-9
Jan-25	27,108	0	9	0.03%	-9
Feb-25		0			
Mar-25		0			
Apr-25		0			
May-25		0			
Jun-25		0			



Performance Contract 2024-2025

II. Children Residing with Families (*Child is defined as under 18 years of age*)

Planned Activities

Statement: The Regional Center of Orange County (RCOC) ensures that children will reside with their families by providing the needed supports and services regardless of the severity of the child's disability.

- Continue to assess current supports and services.
- RCOC will work with the Orange County community in an effort to support programs, trainings, and services designed to provide equal access to child care for families of children with special needs (autism).
- Continue to develop innovative resources for children 0-3 years old (i.e. respite placements).
- RCOC will insure that persons served are provided opportunities for safety awareness training through schools and other similar programs available.
- Review and revise services, e.g. respite and family support.
- RCOC will insure that families receive full information about the developmental needs of the persons served and what types of services are available.
- RCOC will assure that persons served and their caregivers receive complete assessments and have the opportunity to ask questions, advocate, and access to services. To be evaluated and monitored by a National Core Indicators (NCI) survey of persons served and their caregivers.

Progress: A. During public meetings, RCOC had 296, or 2.59%, of children in foster homes.

A. Number and % of regional center children in foster homes.

	Percentage	All Children	Children in FH	Goal	%	# Attained
Statewide Average	2.56%	226,163	5,780			
RCOC Public Hearing 4/24/24	2.59%	11,421	296			
RCOC 2/03/25	1.76%	13,179	232	318	1.76%	-86
Analysis as of Public Hearing	RCOC % of DD pop.		5.05%		RCOC % of FH pop.	5.12%



	Total Children Status 1&2	Goal	Children in Foster Homes	%	Number Attained
Jul-24	12,779	318	270	2.11%	-48
Aug-24	12,828	318	265	2.07%	-53
Sep-24	12,893	318	263	2.04%	-55
Oct-24	12,995	318	252	1.94%	-66
Nov-24	13,044	318	245	1.88%	-73
Dec-24	13,157	318	242	1.84%	-76
Jan-25	13,179	318	232	1.76%	-86
Feb-25		318			
Mar-25		318			
Apr-25		318			
May-25		318			
Jun-25		318			



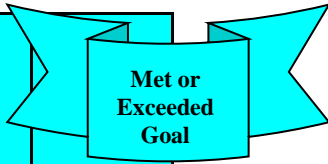
Progress: B. During public meetings, RCOC had 11,086, or 97.07%, of children in own-home-parent/guardian.

B. Number and % of regional center children in own home-parent/guardian.

	%	All Children	Children in own home Parent/Guardian			
Statewide Average	97.11%	226,163	219,630			
RCOC Public Hearing 4/24/24	97.07%	11,421	11,086	Goal	%	# Attained
RCOC 2/03/25	97.94%	13,179	12,908	12,530	97.94%	378
Analysis as of Public Hearing	RCOC % of DD pop.	5.05%	RCOC % of Home	97.07%		



	Total Children	Goal	Children in Own Home Parent/Guardian	%	Number Attained
Jul-24	12,779	12,530	12,473	97.61%	-57
Aug-24	12,828	12,530	12,528	97.66%	-2
Sep-24	12,893	12,530	12,593	97.67%	63
Oct-24	12,995	12,530	12,704	97.76%	174
Nov-24	13,044	12,530	12,759	97.82%	229
Dec-24	13,157	12,530	12,874	97.85%	334
Jan-25	13,179	12,530	12,908	97.94%	378
Feb-25		12,530			
Mar-25		12,530			
Apr-25		12,530			
May-25		12,530			
Jun-25		12,530			



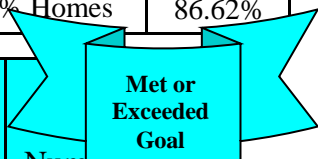
Progress: C. During public meetings, RCOC had 10,079, or 99.46%, of children in homes.

C. Total number and % of regional center children in homes (*this is a total of sections A and B above*).

	%	All Children	Total Number Children in Homes			
Statewide Average	99.67%	226,163	225,410			
RCOC Public Hearing 4/24/24	99.66%	11,421	11,382	Goal	%	# Attained
RCOC 2/03/25	99.70%	13,179	13,140	12,848	99.70%	292
Analysis of Public Hearing	RCOC % of DD pop		5.05%	RCOC % Homes		86.62%



	Total Children Status 1&2	Goal	Total Number Children in Homes	%	Number Attained
Jul-24	12,779	12,848	12,743	99.72%	-105
Aug-24	12,828	12,848	12,793	99.73%	-55
Sep-24	12,893	12,848	12,856	99.71%	8
Oct-24	12,995	12,848	12,956	99.70%	108
Nov-24	13,044	12,848	13,004	99.69%	156
Dec-24	13,157	12,848	13,116	99.69%	268
Jan-25	13,179	12,848	13,140	99.70%	292
Feb-25		12,848			
Mar-25		12,848			
Apr-25		12,848			
May-25		12,848			
Jun-25		12,848			



Performance Contract 2024-2025

III. Adults Residing in Home Settings

Planned Activities

Statement: RCOC works with persons served and their caregivers and advocates to empower and enable them to assert the rights of persons served to determine and control the living arrangements of their choice. This may include owning, renting, or leasing the home where the persons served reside.

Objective: Using the Person Centered Thinking (PCT) Individual Program Planning process, Service Coordinators will continue to identify regional center adult persons served who have the hopes and desires to live in a new living arrangement. Cases are reviewed at least annually for the least restrictive environment.

- RCOC will provide service coordinator training to assist families in establishing maintenance plans in the event of temporary caregiver illness/incapacity and for eventual transition plans.
- RCOC will request vendors to include successional maintenance and transitional plans in the event of temporary illness/incapacity and transfer of ownership in their program designs.
- RCOC will ensure that persons served are provided opportunities for safety awareness training on a regular and as needed basis.
- RCOC will review and revise services, e.g. respite and family support.
- RCOC will assure that persons served and their caregivers receive complete assessments and have opportunities to ask questions, advocate, and access services. To be evaluated and monitored by an NCI survey of persons served and their caregivers.



Progress: A. During public meetings, RCOC had 87, or 0.65%, of adults residing in Adult FHA.

A. Total number and % of regional center adult caseload residing in an Adult Family Home Agency (FHA).

	Percentage	Total Adults Status 2	Adults in FHA	Goal	%	# Attained
Statewide Average	0.74%	196,854	1,452			
RCOC Public Hearing 4/24/24	0.65%	13,416	87			
RCOC 2/03/25	0.64%	13,920	89	99	0.64%	-10
Analysis as of Public Hearing	RCOC % of DD pop		6.82%	RCOC % of FHA pop		5.99%



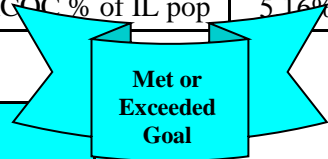
	Total Adults Status 2	Goal	Adults in FHA	%	Number Attained
Jul-24	13,672	99	89	0.65%	-10
Aug-24	13,710	99	91	0.66%	-8
Sep-24	13,748	99	90	0.64%	-9
Oct-24	13,804	99	91	0.66%	-8
Nov-24	13,853	99	91	0.66%	-8
Dec-24	13,893	99	91	0.66%	-8
Jan-25	13,920	99	89	0.64%	-10
Feb-25		99			
Mar-25		99			
Apr-25		99			
May-25		99			
Jun-25		99			



Progress: B. During public meetings, RCOC had 924, or 6.89%, of adults residing in independent living.

B. Total number and % of regional center adults in independent living.

	Percentage	Total Adults Status 2	Adults in Independent Living			
Statewide Average	9.09%	196,854	17,896			
RCOC Public Hearing 4/24/24	6.89%	13,416	924	Goal	%	# Attained
RCOC 2/03/25	6.77%	13,920	943	937	6.77%	6
Analysis of Public Hearing	RCOC % of DD pop		6.82%	RCOC % of IL pop		5.16%



	Total Adults Status 2	Goal	Adults in Independent Living	%	Number Attained
Jul-24	13,672	937	934	6.83%	-3
Aug-24	13,710	937	936	6.83%	-1
Sep-24	13,748	937	937	6.82%	0
Oct-24	13,804	937	941	6.82%	4
Nov-24	13,853	937	945	6.82%	8
Dec-24	13,893	937	942	6.77%	4
Jan-25	13,920	937	942	6.77%	6
Feb-25		937			
Mar-25		937			
Apr-25		937			
May-25		937			
Jun-25		937			

Progress: C. During public meetings, RCOC had 9,477, or 70.64%, of adults residing in own home-parent.

C. Total number and % of regional center adults residing in own home-parent.

	Percentage	Total Adults Status 2	Adults Residing Own Home - Parent			
Statewide Average	69.02%	196,854	135,873			
RCOC Public Hearing 4/24/24	70.64%	13,416	9,477	Goal	%	# Attained
RCOC 2/03/25	71.42%	13,920	9,941	9,872	71.42%	69
Analysis of Public Hearing	RCOC % of DD pop		6.82%	RCOC % of own home		6.97%



	Total Adults Status 2	Goal	Adults Residing Own Home - Parent	%	Number Attained
Jul-24	13,672	9,872	9,708	71.01%	-164
Aug-24	13,710	9,872	9,743	71.06%	-129
Sep-24	13,748	9,872	9,775	71.01%	-97
Oct-24	13,804	9,872	9,822	71.15%	-50
Nov-24	13,853	9,872	9,859	71.17%	-13
Dec-24	13,893	9,872	9,912	71.35%	40
Jan-25	13,920	9,872	9,941	71.42%	69
Feb-25		9,872			
Mar-25		9,872			
Apr-25		9,872			
May-25		9,872			
Jun-25		9,872			



Progress: D. During public meetings, RCOC had 491, or 3.66%, of adults residing in supported living.

D. Total number and % of regional center adults residing in supported living.

	Percentage	Total Adults Status 2	Adults Residing in Supported Living	Goal	%	# Attained
Statewide Average	5.02%	196,854	9,359			
RCOC Public Hearing 4/24/24	3.66%	13,416	491	510	3.53%	-19
RCOC 2/03/25	3.53%	13,920	491			
Analysis of Public Hearing	RCOC % of DD pop		6.82%	RCOC % of SL pop		5.25%

	Total Adults Status 2	Goal	Adults Residing Supported Living	%	Number Attained
Jul-24	13,672	510	492	3.60%	-18
Aug-24	13,710	510	492	3.59%	-18
Sep-24	13,748	510	488	3.55%	-22
Oct-24	13,804	510	491	3.56%	-19
Nov-24	13,853	510	493	3.56%	-17
Dec-24	13,893	510	491	3.53%	-19
Jan-25	13,920	510	491	3.53%	-19
Feb-25		510			
Mar-25		510			
Apr-25		510			
May-25		510			
Jun-25		510			





Progress: E. During public meetings, RCOC had 10,217, or 80.97%, of adults residing in home settings.

E. Total number and % of regional center adults in home settings (*this is a total of sections A, B, C, and D above*).

	Percentage	Total Adults Status 2	Total Number Adults in Home Settings			
Statewide Average	83.63%	196,854	164,624			
RCOC Public Hearing 4/24/24	81.84%	13,416	10,979	Goal	%	# Attained
RCOC 2/03/25	82.36%	13,920	11,464	11,418	82.36%	46
Analysis of Public Hearing	RCOC % of DD pop		6.82%	RCOC % of Home		6.67%

	Total Adults Status 2	Goal	Total Number Adults in Home Settings	%	Number Attained
Jul-24	13,672	11,418	11,223	82.09%	-195
Aug-24	13,710	11,418	11,262	82.14%	-156
Sep-24	13,748	11,418	11,290	82.12%	-128
Oct-24	13,808	11,418	11,345	82.19%	-128
Nov-24	13,853	11,418	11,388	82.21%	-30
Dec-24	13,893	11,418	11,435	82.31%	17
Jan-25	13,920	11,418	11,464	83.26%	46
Feb-25		11,418			
Mar-25		11,418			
Apr-25		11,418			
May-25		11,418			
Jun-25		11,418			



Performance Contract 2024-2025

IV. Children Residing in Facilities with Seven or More Beds *(Excluding Developmental Centers)*

Planned Activities

Statement: RCOC provides for the needs of children with medical issues or challenging behaviors in seven or greater bed facilities for limited time periods when smaller facilities cannot meet needs.

Objective: RCOC will place only those children with medical issues or challenging behaviors in seven or greater facilities.

- RCOC will continue seeking appropriate placement in smaller facilities for these children and to support creative services and supports which would allow placement in existing small facilities, as well as development of new small facilities as needed, utilizing appropriate services and supports to ensure success for these persons served.
- RCOC will adhere to Trailer Bill Language relating to the use of larger facilities to ensure the least restrictive environment is supported.

Progress: A. During public meetings, RCOC had 0, or 0.00%, of children residing in a Community Care Facility (CCF) 7+ beds. Placements to 7+ bed CCF facilities are at family request and/or due to specialized services.

A. Total number and % of regional center children residing in a CCF 7+ beds.

	Percentage	Total Children Status 1&2	Children Residing in CCF 7+ Beds			
Statewide Average	0.01%	226,163	14			
RCOC Public Hearing 4/24/24	0.00%	11,421	0	Goal	%	# Attained
RCOC 2/03/25	0.00%	13,179	0	0	0.00%	0
Analysis of Public Hearing	RCOC % of DD pop		5.05%	RCOC % of CCF 7+		0.00%



	Total Children Status 1&2	Goal	Children Residing CCF 7+ Beds	%	Met Goal Number Attained
Jul-24	12,779	0	0	0.00%	0
Aug-24	12,828	0	0	0.00%	0
Sep-24	12,893	0	0	0.00%	0
Oct-24	12,995	0	0	0.00%	0
Nov-24	13,044	0	0	0.00%	0
Dec-24	13,157	0	0	0.00%	0
Jan-25	13,179	0	0	0.00%	0
Feb-25		0			
Mar-25		0			
Apr-25		0			
May-25		0			
Jun-25		0			

Progress: B. During public meetings, RCOC had 0, or 0.00%, of children residing in an Intermediate Care Facility (ICF) 7+ beds.

B. Total number and % of regional center children residing in an ICF 7+ beds.

	Percentage	Total Children Status 1&2	Children Residing in an ICF 7+ beds	Goal	%	# Attained
Statewide Average	0.02%	226,163	42			
RCOC Public Hearing 4/24/24	0.00%	11,421	0			
RCOC 2/03/25	0.00%	13,179	0	0	0.00%	0
Analysis of Public Hearing	RCOC % of DD pop		5.05%	RCOC % of ICF 7+		0.00%



	Total Children Status 1&2	Goal	Children Residing ICF 7+ Beds	%	Number Attained
Jul-24	12,779	0	0	0.00%	0
Aug-24	12,828	0	0	0.00%	0
Sep-24	12,893	0	0	0.00%	0
Oct-24	12,995	0	0	0.00%	0
Nov-24	13,044	0	0	0.00%	0
Dec-24	13,157	0	1	0.01%	-1
Jan-25	13,179	0	0	0.00%	0
Feb-25		0			
Mar-25		0			
Apr-25		0			
May-25		0			
Jun-25		0			

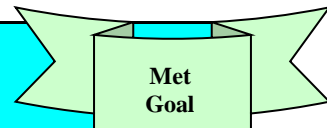
Progress: C. During public meetings, RCOC had no children residing in a nursing facility. Placements to nursing facilities are at family request and/or due to specialized services.

C. Total number and % of regional center children residing in a nursing facility.

	Percentage	Total Children Status 1&2	Children Residing in a Nursing Facility	Goal	%	# Attained
Statewide Average	0.00%	226,163	4			
RCOC Public Hearing 4/24/24	0.00%	11,421	0			
RCOC 2/03/25	0.00%	13,179	0	0	0.00%	0
Analysis of Public Hearing	RCOC % of DD pop		5.05%	RCOC % of NF		0.00%



	Total Children Status 1&2	Goal	Children Residing in a Nursing Facility (NF)	%	Number Attained
Jul-24	12,779	0	0	0.00%	0
Aug-24	12,828	0	0	0.00%	0
Sep-24	12,893	0	0	0.00%	0
Oct-24	12,995	0	0	0.00%	0
Nov-24	13,044	0	0	0.00%	0
Dec-24	13,157	0	0	0.00%	0
Jan-25	13,179	0	0	0.00%	0
Feb-25		0			
Mar-25		0			
Apr-25		0			
May-25		0			
Jun-25		0			



Progress: D. During public meetings, RCOC had 0, or 0.00%, of children residing in a facility with 7+ beds. Placements to 7+ bed facilities are at family request and/or due to specialized services.

D.Total number and % of regional center children residing in a facility with 7+ beds (*this is a total of sections A, B, and C above*).

	Percentage	Total Children Status 1&2	Total Children Residing in a 7+ Bed Facility			
Statewide Average	0.03%	226,163	60			
RCOC Public Hearing 4/24/24	0.00%	11,421	0	Goal	%	# Attained
RCOC 2/03/25	0.00%	13,179	0	0	0.00%	0
Analysis of Public Hearing	RCOC % of DD pop		5.05%	RCOC % 7+ Bed		0.00%



	Total Children Status 1&2	Goal	Total Children Residing in 7+ Bed	%	Met Goal Number Attained
Jul-24	12,779	0	0	0.00%	0
Aug-24	12,828	0	0	0.00%	0
Sep-24	12,893	0	0	0.00%	0
Oct-24	12,995	0	0	0.00%	0
Nov-24	13,044	0	0	0.00%	0
Dec-24	13,157	0	0	0.01%	-1
Jan-25	13,179	0	0	0.00%	0
Feb-25		0			
Mar-25		0			
Apr-25		0			
May-25		0			
Jun-25		0			



Performance Contract 2024-2025

V. Adults Residing in Facilities with Seven or More Beds (Excluding Developmental Centers)

Planned Activities

Statement: RCOC continues to ensure that individuals with developmental disabilities have more choices in living options regardless of the severity of their disabilities.

Objective: RCOC will place only those adults with medical issues or challenging behaviors in seven bed or greater facilities.

- RCOC will continue seeking appropriate placement in smaller facilities for these persons served and to support creative services and supports which would allow placement in existing small facilities, as well development of new small facilities as needed, utilizing appropriate services and supports to ensure success for these persons served.
- RCOC will adhere to Trailer Bill Language relating to the use of larger residential facilities to ensure the least restrictive environment is supported.



Progress: A. During public meetings, RCOC had 119, or 0.89%, of adults residing in a CCF 7+ bed. Placements to 7+ CCF are at family request and/or due to specialized services. RCOC has several long term vendors with 7+ bed homes, and will continue to work together to implement Trailer Bill Language regarding the use of these homes.

A. Total number and % of regional center adults residing in a Community Care Facility (CCF) 7+ beds.

	Percentage	Total Adults Status 2	Adults in CCF 7+ Beds	Goal	%	# Attained
Statewide Average	0.67%	196,854	1,324			
RCOC Public Hearing 4/24/24	0.89%	13,416	119			
RCOC 2/03/25	0.80%	13,920	112	112	0.80%	0
Analysis of Public Hearing	RCOC % of DD pop		6.82%	RCOC % Adult 7+ CCF		8.99%

	Total Adults Status 2	Goal	Adults Residing in CCF 7+ Beds	%	Number Attained
Jul-24	13,672	112	111	0.81%	1
Aug-24	13,710	112	113	0.82%	-1
Sep-24	13,748	112	114	0.83%	-2
Oct-24	13,804	112	113	0.82%	-1
Nov-24	13,853	112	112	0.81%	0
Dec-24	13,893	112	112	0.81%	0
Jan-25	13,920	112	112	0/80%	0
Feb-25		112			
Mar-25		112			
Apr-25		112			
May-25		112			
Jun-25		112			



Progress: B. During public meetings, RCOC had 15, or 0.11%, of adults residing in an Intermediate Care Facility (ICF) 7+ beds. Placements to 7+ bed facilities are at family request and/or due to specialized services.

B. Total number and % of regional center adults residing in an ICF 7+ beds.

	Percentage	Total Adults Status 2	Adults Residing ICF 7+ Beds	Goal	%	# Attained
Statewide Average	0.41%	196,854	755			
RCOC Public Hearing 4/24/24	0.11%	13,416	15			
RCOC 2/03/25	0.11%	13,920	15	7	0.11%	-8
Analysis of Public Hearing	RCOC % of DD pop		6.82%	RCOC % ICF 7+		1.99%



	Total Adults Status 2	Goal	Adults Residing ICF 7+ Beds	%	Number Attained
Jul-24	13,672	7	14	0.10%	-7
Aug-24	13,710	7	14	0.10%	-7
Sep-24	13,748	7	15	0.11%	-8
Oct-24	13,804	7	15	0.11%	-8
Nov-24	13,853	7	14	0.10%	-7
Dec-24	13,893	7	15	0.10%	-8
Jan-25	13,920	7	15	0.11%	-8
Feb-25		7			
Mar-25		7			
Apr-25		7			
May-25		7			
Jun-25		7			





Progress: C. During public meetings, RCOC had 75, or 0.56%, of adults residing in a nursing facility (NF). Placements to nursing facilities are at family request and/or due to medical or specialized services.

C. Total number and % of regional center adults residing in a nursing facility.

	Percentage	Total Adults Status 2	Adults Residing in NF	Goal	%	# Attained
Statewide Average	0.52%	196,854	967			
RCOC Public Hearing 4/24/24	0.56%	13,416	75			
RCOC 2/03/25	0.57%	13,920	79	75	0.57%	-4
Analysis of Public Hearing	RCOC % DD pop		6.82%	RCOC % NF		7.76%

	Total Adults Status 2	Goal	Adults Residing in NF	%	Number Attained
Jul-24	13,672	75	78	0.57%	-3
Aug-24	13,710	75	76	0.55%	-1
Sep-24	13,748	75	80	0.58%	-5
Oct-24	13,804	75	78	0.58%	-3
Nov-24	13,853	75	82	0.59%	-7
Dec-24	13,893	75	78	0.56%	-3
Jan-25	13,920	75	79	0.57%	-4
Feb-25		75			
Mar-25		75			
Apr-25		75			
May-25		75			
Jun-25		75			



Progress: D. During public meetings, RCOC had 209, or 1.56%, of adults residing in a facility with 7+ beds. Placements to 7+ bed facilities are at family request and/or due to specialized services.

D. Total number and % of adults residing in a facility with 7+ beds (*this is a total of sections A, B, and C above*).

	Percentage	Total Adults Status 2	Total Adults Residing in 7+ Bed			
Statewide Average	1.71%	196,854	3,188			
RCOC Public Hearing 4/24/24	1.56%	13,416	209	Goal	%	# Attained
RCOC 2/03/25	1.48%	13,920	206	194	1.48%	-12
Analysis of Public Meeting	RCOC % of DD pop		6.82%	RCOC % 7+ Bed		6.56%



	Total Adults Status 2	Goal	Total Adults Residing in 7+ Beds	%	Number Attained
Jul-24	13,672	194	203	1.45%	-11
Aug-24	13,710	194	203	1.48%	-9
Sep-24	13,748	194	209	1.52%	-15
Oct-24	13,808	194	206	1.49%	-12
Nov-24	13,853	194	208	1.94%	-14
Dec-24	13,893	194	205	1.48%	-11
Jan-25	13,920	194	206	1.48%	-12
Feb-25		194			
Mar-25		194			
Apr-25		194			
May-25		194			
Jun-25		194			



Performance Contract 2024-2025

VI. Intake Duration

Planned Activities

Statement: Management and Service Coordinator staff receive a monthly report on the duration of individuals age 3 and over who are in the intake process.

Objective: RCOC will continue to ensure that the duration of individuals ages 3 and over in the Intake process is within mandated timeline.

- RCOC will provide persons served and their caregivers/advocates with initial information about developmental needs, and about the services and supports available, inside and outside of RCOC.

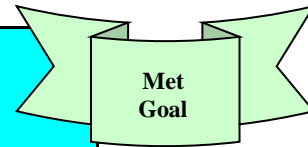
Progress: A. During public meetings, RCOC had 257, or 100%, of regional center individuals over age 3 with <=120 days.

A. Total number and % of regional center individuals over age 3 with <=120 days.

	Percentage	Total # Age 3 or Over	Total # Over Age 3 with <=120 Days	Goal	% Attained
Statewide Average	78.33%	14,874	11,651		
RCOC Public Hearing 4/24/24	100%	257	257		
RCOC 2/03/25	100%	354	354	100%	100%



	Total Number Individuals Age 3 or Over	Goal	Total Number Individuals Over Age 3 with <=120 Days	% Attained
Jul-24	319	100%	319	100%
Aug-24	326	100%	326	100%
Sep-24	321	100%	321	100%
Oct-24	305	100%	305	100%
Nov-24	328	100%	328	100%
Dec-24	330	100%	330	100%
Jan-25	354	100%	354	100.00%
Feb-25		100%		
Mar-25		100%		
Apr-25		100%		
May-25		100%		
Jun-25		100%		



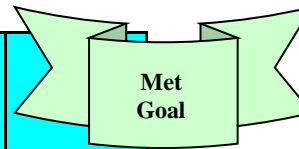
Progress: B. During public meetings, RCOC had 0, or 0.00%, of regional center individuals over age 3 with 121-240 days.

B. Total number and % of regional center individuals over age 3 with 121-240 days.

	Percentage	Total Number of Individuals Age 3 and Over	Total Number of Individuals Over Age 3 With 121-240 Days	Goal	% Attained
Statewide Average	14.62%	14,874	2,175		
RCOC Public Hearing 4/24/24	0.00%	257	0		
RCOC 2/03/25	0.00%	354	0	0%	0%



	Total Number of Individuals Age 3 or Over	Goal	Total Number of Individuals Over Age 3 With 121-240 Days	%
Jul-24	319	0%	0	0%
Aug-24	326	0%	0	0%
Sep-24	321	0%	0	0%
Oct-24	305	0%	0	0%
Nov-24	328	0%	0	0%
Dec-24	330	0%	0	0%
Jan-25	354	0%	0	0%
Feb-25		0%		
Mar-25		0%		
Apr-25		0%		
May-25		0%		
Jun-25		0%		



Progress: C. During public meetings, RCOC had 0, or 0.00%, of regional center individuals over age 3 with over 240 days.

C. Total number and % of regional center individuals over age 3 with over 240 days.

	Percentage	Total Number Individual s Age 3 or Over	Total Number Individuals Over Age 3 Over 240 Days		
Statewide Average	7.05%	14,874	1048		
RCOC Public Hearing 4/24/24	0%	257	0	Goal	% Attained
RCOC 2/03/25	0%	354	0	0%	0%



	Total Number Individuals Age 3 or Over	Goal	Total Number Individuals Over Age 3 Over 240 Days	% Attained	Met Goal
Jul-24	319	0%	0	0%	
Aug-24	326	0%	0	0%	
Sep-24	321	0%	0	0%	
Oct-24	305	0%	0	0%	
Nov-24	328	0%	0	0%	
Dec-24	330	0%	0	0.00%	
Jan-25	354	0%	0	0.00%	
Feb-25		0%			
Mar-25		0%			
Apr-25		0%			
May-25		0%			
Jun-25		0%			

Performance Contract 2024-2025

VII. National Core Indicators (NCI) Employment

Planned Activities

Statement: RCOC has adopted an Employment First Policy making competitive integrated employment (CIE) the first option considered by planning teams for every working adult served by RCOC.

Objective: RCOC will implement its Employment First Policy by providing persons served and family members with information regarding the opportunity and support to work in employment settings that are meaningful to them and by annually reviewing those opportunities with individuals to ensure they are engaged in activities of their choosing. RCOC will make incentive payments to vendors who assist individuals obtain CIE and maintain those positions over time.

Progress: A. Results from the National Core Indicator surveys conducted in FY 2014-15, 47% of those interviewed indicated a desire for work in the community. For FY 2021-22, 48% of those interviewed also expressed a desire for employment in their community.

A. Percentage of adults who reported having integrated employment as a goal in their IPP.

	Percentage	
RCOC FY 2011-12	46%	Goal
RCOC FY 2014-15	47%	50%
RCOC FY 2018-19	57%	65%
RCOC FY 2020-21	48%	60%



Progress: B. RCOC will authorize incentive payments to service providers who assist individuals obtaining competitive integrated employment. RCOC will make initial payments based upon hire date, and additional payments will be made upon subsequent milestones related to length of employment.

B. Total number of 30 day, 6 month, and 12 month incentive payments made within the fiscal year.

Fiscal Year	30 Day	6 Month	12 Month
2017-18	155	97	78
2018-19	151	128	83
2019-20	131	115	90
2020-21	33	19	17
Goal	110	85	75

Progress: C. RCOC will work with local employment agencies and businesses to assist individuals obtain desired hours of employment on a weekly/monthly basis. Individuals will review this during the initial hiring phase when incentive payments are being sought.

C. Average wages and hours worked for adults engaged in CIE when incentive payments have been made on their behalf.

Fiscal Year	Hours Week	Wage
2017-18	23.5	\$11.31
2018-19	21	\$12.06
2019-20	22	\$13.06
2020-21	13	\$13.98
Goal	24	\$16.00

Performance Contract 2024-2025

VIII. Employment Development Department (EDD) Employment

Planned Activities

Statement: RCOC service coordinators and vendors are implementing RCOC's Employment First Policy of competitive integrated employment (CIE) as the first option for persons served.

Objective: RCOC service coordinators will implement Employment First Policy by providing persons served and families information on job preparation and procurement at annual Individual Transition Meetings (ITP) through the school and Individual Program Planning (IPP) meetings through RCOC. RCOC will continue to work on development of new programs that will emphasize a focus on CIE as a primary outcome. RCOC will work with service providers and employers to move individuals participating in Paid Internship Program (PIP) into CIE.

Progress: A. Results from the Employment Development Department (EDD) conducted in 2021 indicate that 1,839 persons served ages 16-64 had earned income. In 2022, 1,965 persons served ages 16-64 had earned income.

A. Number of persons served ages 16-64 with earned income.



	RCOC	Statewide Avg.
2018	3,346	1,311
2019	2,335	1,341
2020	1,726	1,082
2021	1,839	1,317
2022	1,965	1,428



Progress: B. Results from the EDD in 2021 indicate that 13.9% of persons served ages 16-64 reported having earned income. In 2022, the percentage of persons served ages 16-64 reporting earned income was 14.5%.

B. Percentage of persons served ages 16-64 reporting earned income.

	RCOC	Statewide Avg.
2019	21%	17.3%
2020	19.5%	15.7%
2021	13.9%	14.2%
2022	14.5%	15.0%

Progress: C. Results from the EDD in 2021 indicate that average annual wages for persons served ages 16-64 was \$10,991. In 2022, the average annual wage for persons served ages 16-64 was \$13,564. This measure will also compare average annual wages of all people with disabilities

C. Annual earnings of age group 16-64 of people with intellectual disabilities, compared with all persons with disabilities in California.

	RCOC	Statewide Avg.
2019	\$7,582	\$8,772
2020	\$6,783	\$8,837
2021	\$10,991	\$11,806
2022	\$13,564	\$13,949



Progress: D. In FY 2016-17, RCOC began working with service providers to place individuals into Paid Internship Program (PIP) opportunities to help develop employment interests and lead into CIE opportunities. In 2020 RCOC had 11 individuals within a PIP that resulted in employment, and for 2021 that number decreased to 0 individuals.

D. Number of adults placed in CIE following participation in a PIP.

	Total
2017-18	1
2018-19	7
2019-20	11
2020-21	0

Progress: E. RCOC will obtain data related to the overall percentage of adults participating in a paid internship who transition into a competitive employment setting. This program began in FY 2016-17. In FY 2020-21, 0% of adults transitioned from an Internship to Competitive Employment.

E. Percentage of adults who transitioned from internship to competitive employment.

	% Adults
2018-19	21%
2019-20	14%
2020-21	0%

Progress: F. RCOC will monitor the hourly/salaried wages and hours worked per week for persons served who participate in a paid internship. Hours and wages will be competitively based on the job type and market rate for each setting.

F. Average hourly wage and weekly hours worked in PIP during the previous fiscal year.

	Hours Week	Wage
2018-19	18	\$12.34
2019-20	13	\$13.43
2020-21	13	\$13.98

Performance Contract 2024-2025

IX. Reducing Disparities and Improving Equity in Purchase of Service Expenditures.

Statement: RCOC works to ensure that the support services are flexible and innovative in meeting the family's needs as they evolve over time, are tailored to the preferences of the individual family, and are consistent with their cultural norms and customs.

Objective: RCOC service coordinators will work with persons served and families to develop IPP goals and objectives to address their choices of living situations. RCOC will work to develop services in the community that meet the cultural and background preferences of persons served and family members to ensure the availability of resources. RCOC will continue outreach efforts within our community to overcome potential cultural barriers when identifying appropriate services. RCOC is working to expand family outreach and support options by developing new resources within our community. RCOC will continue to develop community programs that allow for a range of options for persons served when selecting those services. RCOC service coordinators will receive initial and ongoing training related to IPP development that ensures meaningful participation of persons served and their families and will focus on Person Centered Thinking skills and outcomes. RCOC will be working to simplify and translate important documents. RCOC continues to outreach with outside agencies such as parent support groups leaders, family support groups, social services agencies, faith-based organizations and educational agencies, as well as providing information via e-mail in the primary language of the family.



Progress: A. Review of fiscal year 2017-18 purchase of service data and client master file (CMF) for initial data source. Fiscal year 2022-2023 data reflects either an increase or decrease in services and expenditures related to disparity criteria.

A. Percent of total annual purchase of service (POS) expenditures by individuals ethnicity and age: Birth to age 2; Age 3-21; 22 and older.

Fiscal Year 2022-2023 Birth to 2 Years Ethnicity	Total Persons	Total Authorized Services	Percentage of Total	Utilized
American Indian or Alaska Native	*	\$100,892 ↑	0.08%	48.7% ↑
Asian	1,100	\$12,628,607 ↑	22.86% ↑	60.9% ↑
Black/African American	99	\$1,239,988 ↑	2.24% ↑	50.96% ↑
Hispanic	2,163	\$20,301,915 ↑	36.75% ↑	56.75% ↓
Native Hawaiian or Other Pacific Islander	15	\$217,826 ↑	0.39% ↑	65.64% ↑
Other Ethnicity or Race/Multi-Cultural	1,132	\$10,931,414 ↑	19.79% ↓	56.4% ↓
White	1,253	\$9,820,017 ↑	17.78% ↓	56.32% ↓
Totals	5,769 ↑	\$55,240,659 ↑		57.4% ↑

Fiscal Year 2022-2023 3 Years to 21 Years Ethnicity	Total Persons	Total Authorized Services	Percentage of Total	Utilized
American Indian or Alaska Native	14	\$85,505 ↓	0.09% ↑	43.9% ↓
Asian	2,250	\$17,784,288 ↓	17.97% ↑	53.8% ↓
Black/African American	206	\$2,399,914 ↑	2.42% ↑	
Hispanic	4,261	\$27,741,305 ↓	28.02% ↑	53.8% ↓
Native Hawaiian or Other Pacific Islander	22	\$323,664 ↑	0.33% ↑	43.6% ↓
Other Ethnicity or Race/Multi-Cultural	2,104	\$21,489,996 ↑	21.71% ↑	51.2% ↓
White	2,246	\$29,163,088 ↓	29.46% ↑	61.1% ↓
Totals	11,103 ↑	\$98,987,761 ↓		55.2% ↓



Fiscal Year 2022-2023 22 Years and Older Ethnicity	Total Persons	Total Authorized Services	Percentage of Total	Utilized
American Indian or Alaska Native	21	\$1,607,025 ↑	0.28% ↑	75.4% ↓
Asian	1,631	\$71,685,783 ↑	12.31% ↑	71.1% ↓
Black/African American	280	\$16,459,959 ↑	2.83% ↑	71.9% ↓
Hispanic	3,078	\$110,837,169 ↑	19.03% ↑	72.7% ↓
Native Hawaiian or Other Pacific Islander	13	\$624,327 ↑	0.11% ↑	75.8% ↑
Other Ethnicity or Race/Multi-Cultural	940	\$47,097,098 ↑	8.09% ↑	72.3% ↓
White	4,841	\$333,986,087 ↑	57.36% ↓	76.8% ↓
Totals	10,804 ↑	\$582,297,449 ↑		74.8% ↓



Progress: B. Review of fiscal year 2020-21 POS date and regional center caseload data. Initial data generation will be compared to subsequent FY information.

B. Number of individuals receiving only case management services by age and ethnicity: Birth to age 2; Age 3-21; Age 22 and older.

Fiscal Year 2022-2023 Birth to 2 Years Ethnicity	Total Persons	Case Management	Percent No Services
American Indian or Alaska Native	7	0	0.0%
Asian	1,100	75	6.8%
Black/African American	99	*	*
Hispanic	2,163	142	6.6%
Native Hawaiian or Other Pacific Islander	15	*	6.7%
Other Ethnicity or Race/Multi-Cultural	1,132	99	8.7%
White	1,253	83	6.6%
Totals	5,769	407	7.1%

Fiscal Year 2022-2023 3 Years to 21 Years Ethnicity	Total Persons	Case Management	Percent No Services
American Indian or Alaska Native	14	*	35.7% ↓
Asian	2,250	895 ↓	39.8% ↓
Black/African American	206	98 ↓	47.6% ↓
Hispanic	4,261	2,039 ↓	47.9% ↓
Native Hawaiian or Other Pacific Islander	22	**	59.1% ↓
Other Ethnicity or Race/Multi-Cultural	2,104	879 ↓	41.8% ↓
White	2,246	866 ↓	38.6% ↓
Totals	11,103 ↑	4,795 ↓	43.2% ↓



Fiscal Year 2022-2023 22 Years and Older Ethnicity	Total Persons	Case Management	Percent No Services
American Indian or Alaska Native	21	*	*
Asian	1,631	385 ↓	23.6% ↓
Black/African American	280	64 ↓	22.9% ↓
Hispanic	3,078	834 ↓	27.1% ↓
Native Hawaiian or Other Pacific Islander	13	*	*
Other Ethnicity or Race/Multi-Cultural	940	208 ↓	22.1% ↓
White	4,841	805 ↓	16.6% ↓
Totals	10,804 ↑	2,301 ↓	21.3% ↓



Progress: C. Review of fiscal year 2020-21 POS and CMF data. Initial data generation will be compared to subsequent FY information.

C. Per capita purchase of service (POS) expenditures by individual's primary language for all ages (30 or more people with identified language).

Fiscal Year 2022-2023 Primary Language All Ages	Consumer s Count	Per Capita Expenditures	Utilized
All other languages	389	\$25,113	73.5%
Cantonese/Mandarin Chinese	79 ↑	\$11,852 ↑	68.3% ↓
English	21,615 ↑	\$21,240 ↑	71.7% ↓
Spanish	4,492 ↓	\$9,313 ↑	64.8% ↓
Vietnamese	1,101 ↓	\$9,414	64% ↓
Totals	27,676	\$18,862	70.9% ↓

**Regional Center of Orange County
Board Recruitment and Training Committee
January 13, 2025
Videoconference Minutes**

Committee Members Present: Sandy Martin, Chair
Bruce Hall
Frances Hernandez
Chinh Nguyen (*joined at 5:13 p.m.*)

Committee Member Absent: Hilda Mendez
Fernando Peña

RCOC Staff Present: Larry Landauer, Executive Director
Jerrod Bonner, Director of Information Technology
Arturo Cazares, Director of Community Services
Bonnie Ivers, Director of Clinical Services
Jennifer Montanez, Director of Case Management
Marta Vasquez, Chief Financial Officer

Ms. Sandy Martin called the videoconference meeting to order at 5:02 p.m.

I. Board Recruitment

A. Review Board Members' Terms of Office and Upcoming Turnover

Ms. Martin reviewed the current Terms of Office and the Committee discussed upcoming term end dates.

B. Discuss Recruitment Needs

The Committee discussed recruitment efforts and the need to recruit new members to ensure compliance with the composition requirements of the Lanterman Act.

II. Board Development and Training

A. Discuss Board Training Schedule and Topics

The Committee reviewed the outline for the upcoming Board of Directors' training on *RCOC's Intake and Eligibility Process – How One Becomes Eligible for Regional Center Services* scheduled for February 6, 2025.

III. Community Forum

No community members were present at the meeting.

Ms. Martin adjourned the meeting at 5:38 p.m.

Recorder: Sandra Lomelí

**Regional Center of Orange County
Policies and Outcomes Committee
February 18, 2025
Videoconference Minutes**

Committee Members Present: Meena Chockalingam, Chairperson
Sandy Martin

Committee Members Absent: Jacqueline Nguyen

RCOC Staff Members Present: Larry Landauer, Executive Director
Jerrold Bonner, Director of Information Technology
Arturo Cazares, Director of Community Services
Jennifer Montanez, Director of Case Management
Christy Petteruto, General Counsel
Marta Vasquez, Chief Financial Officer
Stacy Wong, Director of Human Resources

Corporate Counsel Present: Greg Simonian, Esq.

Ms. Meena Chockalingam called the videoconference meeting to order at 5:45 p.m.

I. Governance Policies

A. Review of the Documentation Retention and Destruction Policy

The Committee reviewed and did not propose any revisions to the policy.

B. Review of the Executive Leadership Succession Policy

The Committee reviewed and did not propose any revisions to the policy.

C. Review of the Policy on Background Check Requirements for Providers

The Committee reviewed and did not propose any revisions to the policy.

D. Review of the Conflict of Interest Policy

Ms. Christy Petteruto reported that revisions were made to the Conflict of Interest Policy to bring the policy into compliance as mandated by the changes to Welfare and Institutions Code Section 4646.5. RCOC employees would be prohibited from accepting a gift or gifts from a service provider, person served, or a family member of a person served valued over fifteen dollars (\$15)

***RCOC Policies and Outcomes Committee Minutes
February 18, 2025***

per year. RCOC senior staff would also be prohibited from hiring relatives at RCOC or any ancillary foundation or organization.

Agreed by consensus to recommend that the Board approve the revisions to the Conflict of Interest Policy, as proposed

II. Review of 2025 Strategic Plan

Mr. Landauer discussed updates to the current Strategic Plan, last approved by the Board on June 3, 2021. Once finalized, the updated draft for the 2025 Strategic Plan will be presented to the Committee for review.

III. Community Forum

No community members were present.

Ms. Chockalingam adjourned the meeting at 7:07 p.m.

Recorder: Sandra Lomeli

REGIONAL CENTER OF ORANGE COUNTY

BOARD OF DIRECTORS

AGENDA ITEM DETAIL SHEET

DATE: March 6, 2025
TO: Board of Directors
FROM: Meena Chockalingam, Chair
Policies and Outcomes Committee

ACTION	
ACTION/CONSENT	
DISCUSSION	
INFO ONLY	X

SUBJECT: **Executive Leadership Succession Policy**

BACKGROUND:

The Policies and Outcomes Committee is charged with reviewing and/or drafting policies that are necessary to meet the organization’s Mission. The Committee is also charged with annually or biennially reviewing policies for their continued usefulness and clarity. At its meeting on February 18, 2025, the Policies and Outcomes Committee reviewed the Executive Leadership Succession Policy.

REASON FOR CURRENT ITEM:

The Policies and Outcomes Committee did not recommend any revisions to the Executive Leadership Succession Policy.

FISCAL IMPACT:

None.

RECOMMENDATIONS:

This is an information item; no action is required.

XXII. EXECUTIVE LEADERSHIP SUCCESSION POLICY

BACKGROUND

A change in executive leadership is inevitable for all organizations and can be a very challenging time. A succession plan policy is a tool to help an organization be prepared for planned or unplanned absences of the Executive Director; clarifying authority and decision-making, and thereby maintaining accountability and ensuring stability.

POLICY

It is the policy of the Regional Center of Orange County (RCOC) to be prepared for a change in leadership – either planned or unplanned – which will ensure the stability and accountability of the organization until such time as new leadership is identified. The RCOC Board of Directors (Board) shall be responsible for implementing this policy and its related procedures.

It is also the policy of the Board to assess the leadership needs of the organization to help ensure the selection of a qualified and capable leader who is representative of the community, a good fit for the organization's mission, vision, values and guiding principles, and who has the necessary skills for the organization. To ensure the organization's operations are not interrupted while the Board assesses the leadership needs and recruits an Executive Director, the Board will appoint interim executive leadership as described below. The interim Executive Director shall ensure that the organization continues to operate without disruption and that all organizational commitments previously made are adequately executed as outlined in other relevant RCOC Board Governance Policies (e.g., Policies on the Board-Executive Relationship, Executive Limitations Policies).

It is also the policy of RCOC to develop a diverse pool of candidates and consider at least three finalists for its Executive Director position. RCOC shall implement an external recruitment and selection process, while at the same time encouraging the professional development and advancement of current employees. The interim Executive Director and other interested internal candidates are encouraged to submit their qualifications for review and consideration by the Board according to the guidelines established for the search and recruitment process.

Procedures for Succession

For a temporary change in executive leadership (e.g., illness or leave of absence), the Executive Director and the Executive Committee will work together to develop a plan that ensures accountability and stability for the organization (e.g., assign specific duties to other key members of the executive leadership team).

In the event the Executive Director of RCOC is no longer able to serve in this position (i.e., position is vacant), the Executive Committee of the Board shall do the following:

1. Within five (5) business days appoint an interim Executive Director.
2. Within fifteen (15) business days appoint an Executive Search Committee. This Committee will be chaired by the Board Chairperson or his/her designee. This Committee shall be comprised of at least one (1) member of the Executive Committee and four (4) members of the Board of Directors, which shall include a person served and the vendor representative. It shall be the responsibility of this Committee to implement the following transition plan:
 - a. Communicate with key stakeholders regarding actions taken by the Board in naming an interim Executive Director.
 - b. Appoint a Transition Committee.
 - c. Consider the need for consulting assistance (e.g., transition management or executive search consultant) based on the circumstances of the transition.
 - d. Review RCOC's Strategic Plan and conduct a brief assessment of the organization's strengths, weaknesses, opportunities and threats to identify priority issues that may need to be addressed during the transition process and to identify attributes and characteristics that are important to consider in the selection of the next Executive Director.
 - e. Establish a time frame in the selection of the next Executive Director.
 - f. Refer to RCOC's Board Governance Policies for additional procedures.

GUIDING PRINCIPLES

- RCOC's staffing pattern reflects and is sensitive to the cultural and ethnic characteristics of its service areas.
- The RCOC Board of Directors is representative of, and accountable to its stakeholders and the community it serves.
- RCOC maximizes community input in decision making.
- RCOC aspires to the highest standards of ethical conduct: doing what we say; reporting information with accuracy and transparency; and maintaining full compliance with the laws, rules and regulations that govern RCOC's business.

- The RCOC Board of Directors will possess the highest personal and professional ethics, integrity and values, and be committed to representing the long-term interests of the Orange County community it serves.

- The RCOC Board of Directors will be actively involved in the organization (e.g., attendance and participation). The Board will provide appropriate support to maximize effective participation by all its members.

REGIONAL CENTER OF ORANGE COUNTY

BOARD OF DIRECTORS

AGENDA ITEM DETAIL SHEET

DATE: March 6, 2025
TO: Board of Directors
FROM: Meena Chockalingam, Chair
Policies and Outcomes Committee

ACTION	
ACTION/CONSENT	
DISCUSSION	
INFO ONLY	X

SUBJECT: **Document Retention and Destruction Policy**

BACKGROUND:

The Policies and Outcomes Committee is charged with reviewing and/or drafting policies that are necessary to meet the organization’s Mission. The Committee is also charged with annually or biennially reviewing policies for their continued usefulness and clarity. At its meeting on February 18, 2025, the Policies and Outcomes Committee reviewed the Document Retention and Destruction Policy.

REASON FOR CURRENT ITEM:

The Policies and Outcomes Committee did not recommend any revisions to the Document Retention and Destruction Policy.

FISCAL IMPACT:

None.

RECOMMENDATIONS:

This is an information item; no action is required.

XV. DOCUMENT RETENTION AND DESTRUCTION POLICY

BACKGROUND

The corporate records of the Regional Center of Orange County (RCOC) are important assets. The purpose of this policy is to establish retention and destruction policies and schedules for specific categories of records in order to ensure legal compliance, and also to accomplish other objectives, such as preserving intellectual property and cost management.

POLICY

A. Definition

Corporate records include essentially all records produced in the course of business as a member of the Board or an employee, whether paper or electronic, including but not limited to the specific categories of records identified in section B, subsections 1 through 10 below. A record may be as obvious as a memorandum, an e-mail, a contract or a case study, or something not as obvious, such as a computerized desk calendar, an appointment book or an expense record.

B. Maintenance of Records

Various laws require RCOC to maintain certain types of corporate records, usually for a specified period of time. Failure to retain these records for the prescribed periods could subject a Board member or an employee and RCOC to penalties and fines, cause the loss of rights, obstruct justice, spoil potential evidence in a lawsuit, place RCOC in contempt of court, or seriously disadvantage RCOC in litigation.

In compliance with RCOC's contract with the Department of Developmental Services (DDS), RCOC shall maintain books, records, documents, case files, and other evidence pertaining to the budget, revenues, expenditures, and persons served under this contract (herein collectively called "records") in accordance with mutually agreed to procedures and Generally Accepted Accounting Principles (GAAP).

Several categories of documents that bear special consideration are identified below. While minimum retention periods are identified and records should not be destroyed prior to the expiration of the retention period, the retention of the documents identified below and of documents not included in the identified categories should be determined primarily by the application of the general guidelines affecting document retention identified above, as well as any other pertinent factors.

1. Financial Records. The following financial records shall be retained for a minimum of seven (7) years from the end of the applicable fiscal year:

- (i) Payroll records

- (ii) Documents concerning expenses and revenues
- (iii) Books of account
- (iv) Check registers
- (v) Canceled checks
- (vi) Internal reports
- (vii) Bank statements
- (viii) Operations purchase orders
- (ix) Invoices
- (x) Invoice documentation
- (xi) Accounting procedures

The following records shall be retained permanently:

- External audits of RCOC
 - General ledgers and subsidiary ledgers
 - Financial statements
 - Tax filings
 - Insurance policies
2. Persons Served Records. Persons served records include documents evidencing the provision of services to persons with developmental disabilities. Persons served records shall be maintained in accordance with RCOC's Services and Supports Records Retaining Guidelines, but at a minimum for a period of seven (7) years.
 3. Employment Records/Personnel Records. State and federal statutes require RCOC to keep certain recruitment, employment and personnel information. All personnel records pertaining to an individual employee, including all final memoranda and correspondence reflecting performance reviews and actions taken by or against personnel, shall be maintained in the employee's personnel file. Employment and personnel records shall be retained for the duration of employment plus seven (7) years, except as follows:
 - (i) Employment Eligibility Verification Forms (I-9 Forms) shall be kept until the later of five (5) years from hire date, or one (1) year after termination.
 - (ii) Workers Compensation files shall be kept for ten (10) years.
 - (iii) Chemical safety and toxic exposure records shall be kept for the duration of employment plus thirty (30) years.
 4. Board and Board Committee Materials. Meeting minutes shall be retained permanently. A copy of all Board and Board Committee materials, including Board membership applications, shall be kept for no less than five (5) years by RCOC.
 5. Corporate Documents. Corporate Articles of Incorporation, IRS Determination Letter, Tax Exempt Application (Form 1023), Bylaws and the like, including

amendments, shall be retained permanently (and also readily available for public disclosure).

6. Press Releases/Public Filings. RCOC should retain permanent copies of all press releases and publicly filed documents.
7. Litigation Files. Legal counsel should be consulted to determine the retention period of particular documents, but litigation documents should generally be maintained for a period of ten (10) years. This excludes Fair Hearing and Due Process Hearing files, unless a hearing resulted in an appeal to the Superior Court. Fair Hearing and Due Process documents (excluding exhibits which are not specific to a person served) are maintained as part of persons served records during the normal course of business. Legal counsel shall be consulted prior to the destruction of any files related to legal proceedings to which RCOC is a party.
8. Development/Intellectual Property and Trade Secrets. Development documents are often subject to intellectual property protection in their final form (e.g., patents and copyrights). The documents detailing the development process are often also of value to RCOC and are protected as a trade secret where RCOC:
 - a. derives independent economic value from the secrecy of the information, and the information not being generally known to the public or to other persons who can obtain economic value from its disclosure or use; and
 - b. has taken affirmative steps to keep the information confidential.

RCOC should keep all documents designated as containing trade secret information for at least the life of the trade secret.

9. Contracts. RCOC shall retain copies of all final executed contracts not included in other categories of records within this policy for at least five (5) years beyond the life of the agreement. All contracts involving construction or property improvements shall be retained permanently.
10. Electronic Mail. Email shall be maintained in RCOC's email archive system for a minimum of seven (7) years. Emails that need to be kept longer than 7 years (for example, emails containing information necessary for the performance of job duties) should be either:
 - a. printed in hard copy and kept in the appropriate file; or
 - b. downloaded to a computer file and kept electronically.

C. Storage of Records

Files for persons served, provider, and administrative records are maintained at RCOC in a secure environment. At the discretion of the Custodian of Records, files may be sent to an off-site storage location operated by a provider under contract with RCOC. Files are sent to or retrieved from offsite storage by RCOC using a process developed by the provider.

In compliance with RCOC's contract with DDS, RCOC shall comply with the most current version of DDS' 'Requirements for Electronic Storage of Records' as developed by DDS and the Association of Regional Center Agencies (ARCA).

D. Destruction

All physical documents referred to in this policy which are to be destroyed shall be shredded. All electronic documents referred to in this policy which are to be destroyed shall be permanently deleted using methods which regularly in the course of business verify complete destruction. Employees shall obtain permission prior to the destruction of any records. Authority to destroy documents is as follows: for persons served records, the General Counsel; for service provider and financial records, the Chief Financial Officer; for employment records, the Human Resources Director; and for all other records either the Executive Director or his/her designee.

E. Litigation or Claims

In the event any RCOC Board member or employee becomes aware of litigation or potential litigation (i.e., a dispute that could result in litigation) involving RCOC, the Board member or employee shall immediately inform the Executive Director or his/her designee, and any further disposal of records, including records in electronic form, shall be suspended until such time as the Executive Director, with the advice of legal counsel, determines otherwise. This shall include a suspension of the transferring of original records to electronic retention. In addition, no alterations or markings shall be made on an original document. Upon receiving notice of litigation or potential litigation, the Executive Director or his/her designee shall immediately take such steps as necessary to promptly inform all staff of the suspension of disposal of records.

F. Compliance

RCOC expects all Board members and employees to fully comply with this Document Retention and Destruction Policy.

Failure to comply with this Document Retention and Destruction Policy may result in disciplinary action against the employee, including suspension or termination. Questions about this policy should be referred to the General Counsel, who is responsible for administering, enforcing and recommending updates to this policy.

GUIDING PRINCIPLES

- The public funds that support the service system are expended in a fashion that is person served-directed, cost-effective, consistent with good business practices, and that reflect RCOC's Guiding Principles and diligent stewardship.
- The RCOC Board of Directors is representative of, and accountable to, its stakeholders and the community it serves.
- RCOC Board of Directors will be actively involved in the organization (e.g., attendance and participation). The Board will provide appropriate support to maximize effective participation by all its members.

REGIONAL CENTER OF ORANGE COUNTY

BOARD OF DIRECTORS

AGENDA ITEM DETAIL SHEET

DATE: March 6, 2025
TO: Board of Directors
FROM: Meena Chockalingam, Chair
Policies and Outcomes Committee

ACTION	
ACTION/CONSENT	
DISCUSSION	
INFO ONLY	X

SUBJECT: **Policy on Background Check Requirements for Providers**

BACKGROUND:

The Policies and Outcomes Committee is charged with reviewing and/or drafting policies that are necessary to meet the organization’s Mission. The Committee is also charged with annually or biennially reviewing policies for their continued usefulness and clarity. At its meeting on February 18, 2025, the Policies and Outcomes Committee reviewed the Policy on Background Check Requirements for Providers.

REASON FOR CURRENT ITEM:

The Policies and Outcomes Committee did not recommend any revisions to the Policy on Background Check Requirements for Providers.

FISCAL IMPACT:

None.

RECOMMENDATIONS:

This is an information item; no action is required.

XXIII. POLICY ON BACKGROUND CHECK REQUIREMENT FOR PROVIDERS

BACKGROUND

The Regional Center of Orange County (RCOC) is responsible for coordinating services for people with developmental disabilities who reside in Orange County. As established in the Lanterman Developmental Disabilities Services Act, RCOC is committed to assuring the health, well-being and safety of the individuals it serves.

POLICY

All RCOC vendors shall obtain criminal background checks prior to hire for all employees who provide direct services to RCOC persons served. The background checks shall include, at a minimum, a nationwide criminal history search covering felonies and misdemeanors, as well a sex offender registry search. Background checks mandated by California Code of Regulations Title 17 or Title 22 and conducted through the California Department of Justice shall satisfy this requirement. Vendors shall comply with all applicable federal, state, and local laws and regulations regarding background checks and the use of information obtained through background checks.

GUIDING PRINCIPALS

- Persons served are in safe and supportive settings that promote a life of independence, acknowledge diverse cultural perspectives and that respect the inherent risks and valuable learning experiences that come from living in the community.
- Persons served live in homes where they receive quality care and can form relationships.

REGIONAL CENTER OF ORANGE COUNTY

BOARD OF DIRECTORS

AGENDA ITEM DETAIL SHEET

DATE: March 6, 2025
TO: Board of Directors
FROM: Meena Chockalingam, Chair
Policies and Outcomes Committee

ACTION	X
ACTION/CONSENT	
DISCUSSION	
INFO ONLY	

SUBJECT: **Approval of Revisions to Conflict of Interest Policy**

BACKGROUND:

The Policies and Outcomes Committee is charged with reviewing and/or drafting policies that are necessary to meet the organization’s Mission. At its meeting on February 18, 2025, the Policies and Outcomes Committee reviewed the Conflict of Interest Policy.

RCOC’s Conflict of Interest Policy was adopted to comply with Welfare and Institutions Code Section 4626.5, which sets forth the requirements for regional center conflict of interest policies. Section 4646.5 was recently amended to add two new requirements for conflict of interest policies related to gifts and hiring of relatives.

REASON FOR CURRENT ITEM:

After review, the Policies and Outcomes Committee recommends revisions to the Conflict of Interest Policy, as indicated in the attachment. The proposed changes would bring the Conflict of Interest Policy into compliance with the changes to Section 4646.5. RCOC employees would be prohibited from accepting a gift or gifts from a service provider, person served, or a family member of a person served valued over fifteen dollars (\$15) per year. RCOC senior staff would also be prohibited from hiring relatives at RCOC or any ancillary foundation or organization.

FISCAL IMPACT:

None.

RECOMMENDATIONS:

That the Board approve the revisions to the Conflict of Interest Policy, as presented.

XIII. CONFLICT OF INTEREST POLICY

Background and Purpose

The purpose of this Conflict of Interest Policy is to protect the interests of Regional Center of Orange County, Inc. (RCOC) and ensure that RCOC complies with all applicable laws prohibiting conflicts of interest.

The Lanterman Act provisions regarding conflicts of interest (COI) in regional centers are set forth in Welfare & Institutions Code (WIC), Sections 4626, 4626.5, and 4627. In addition, COI regulations are found at Title 17 California Code of Regulations, Sections 54500 through 54535.

This policy is intended to supplement but not replace applicable laws and regulations governing COI.

General Scope of Conflict Laws

RCOC shall comply with the regulations (Title 17, Sections 54500-54535) that contain COI rules and obligations that apply to regional centers:

- (1) executive directors,
- (2) governing board members,
- (3) advisory committee board members,
- (4) employees,
- (5) contractors,
- (6) agents, and
- (7) consultants.

Executive Directors

The provisions for handling COI Statements and Conflicts by an Executive Director are also similar to those for Board Members. The precise provisions are contained in WIC, Sections 4626(a), 4626(g), and Title 17, Sections 54532, 54533, and 54534.

Governing Board Members

The provisions for handling COI Statements and conflicts by Board Members are contained in WIC, Sections 4626(a), 4626(g), and Title 17, Sections 54532, 54533, and 54534.

Advisory Committee Board Members

The regulations also identify possible conflicts for Advisory Committee Board Members. Title 17, Section 54521 states that there is a COI when a regional center advisory committee board member (appointed pursuant to WIC, Sections 4622(i)) is in any of the following positions for a business entity, entity, or provider from which the regional center purchases, obtains, or secures services for persons served:

(a) a member of the governing board, (b) a board committee member; (c) an owner; (d) a partner; (e) a shareholder; (f) an agent; (g) a manager; (h) an employee; (i) a contractor; or (j) a consultant, and that person does any of the following: (a) serves as an officer of the RC board; (b) votes on any fiscal manner affecting purchase of services from any RC provider; or (c) votes on any matters where the member has a financial interest.

An “advisory committee board member,” as a board member, is required to complete a COI Reporting Statement just like all other board members (Title 17, Sections 54532, 54533, and 54534).

Employees

The provisions prohibiting conflicts of interest for employees are contained at Title 17, Sections 54526, 54527, 54528, 54529, and 52530. The basic concept is that regional center employees cannot place themselves in a position where their private, personal interests may conflict with their official duties (Title 17, Section 54529). Employees must be guided solely by the interests of the regional center and those we serve. They may not place themselves in a situation that creates a dilemma about advancing their personal interests instead of acting in the best interests of the regional center.

The new regulations generally provide that the only employees who will have a conflict are employees with “decision or policy making authority” (Title 17, Section 54526). This is generally defined to include all employees except those with purely secretarial or clerical duties. Service Coordinators are deemed to be employees with “decision or policy making authority.”

Contractors, Agents and Consultants

The provisions for handling COI Statements and Conflicts for Contractors, Agents and Consultants are also similar to those for employees. Title 17, Sections 54526, 54527, 54528, 54529, and 54530 identify what will constitute a conflict.

Finally, Title 17, Section 54533 addresses resolution of such conflicts.

Definitions

Decision or Policy Making Authority

The definition of “Decision or Policy-Making Authority” in Title 17, Section 54505(d) states that employees have such authority if they exercise judgment in making decisions that are not solely secretarial or clerical, including employees who make final decisions, compel decisions, make substantive recommendations, vote on obligations, or vote to approve selection of any director, trustee, agent, employee, contractor, or consultant for his or her entity.

The law deems Service Coordinators to have “decision or policy-making authority.” This is because they generally participate on planning teams that make decisions, they make decisions about which programs and services to recommend to those we serve and finally, Service Coordinators are listed in WIC, Section 4626(e), as persons who must complete the COI Reporting Statements.

Family Members

The regulations prohibit both the employee and a family member from holding certain positions and having certain financial interests, so the definition of a “family member” is critical. Most conflicts under these rules occur with family members. Title 17, Section 54505(f) states that the term “Family Member” includes the individual’s:

“spouse, domestic partner, parents, stepparents, grandparents, siblings, step-siblings, children, stepchildren, grandchildren, and parents-in-law, brothers-in-law, sisters-in-law, sons-in-law, and daughters-in-law.” Cousins, aunts, uncles, nieces and nephews are not considered family members.

This definition includes associations by blood, marriage, and adoption.

RCOC’s employees should avoid conflicts before they occur. The best way to do so is to ensure that a family member does not accept a position that will create a conflict. If a family member does take such a position, it may threaten the position of the employee by creating a conflict.

Business Entity, Entity or Provider

Business Entity, Entity or Provider is defined as:

“...any individual, business venture, or state or local governmental entity from whom or from which the regional center purchases, obtains, or secures goods or services to conduct its operations. These entities or providers include, but are not limited to, residential facilities, intermediate care facilities, skilled nursing facilities, supported and independent living services, hospitals, medical groups, activity centers, housing providers, entities formed in support of the regional center, infant programs, clinics, laboratories, pharmacies, drug stores, ambulance services, furniture stores, equipment and supply stores, physicians, psychologists, nurses, therapists, teachers, social workers, and contract case managers. For purposes of these conflict-of-interest regulations “business entity, entity or provider” does not include a consumer or family member of a consumer who receives vouchers for consumer services.”

Prohibited Conflicts

Conflict by Holding Position with Provider

A conflict exists when:

a Board Member,
an Executive Director,
or a family member of such person (Title 17, Section 54520),
an employee with decision making authority,
a Contractor,
an Agent,
a Consultant,
or family member of such person (Title 17, Section 54526), is any of the following for a provider:

- (1) a governing board member,
- (2) a board committee member,
- (3) a director,
- (4) an officer,
- (5) an owner,
- (6) a partner,
- (7) a shareholder,
- (8) a trustee,
- (9) an employee,
- (10) an agent,
- (11) a contractor,
- (12) a consultant,
- (13) holds any position of management, or
- (14) has decision or policy making authority.

Conflicts by Financial Interest in Provider

A conflict exists if a Board Member, Executive Director, employee, contractor, agent or consultant attempts to make or influence a decision in which the person, or a family member, has an interest.

A financial interest is defined in Title 17, Sections 54522 and 54527 as follows:

Financial interest, as used in this section, includes any current or contingent ownership, equity, or security interest that could result, directly or indirectly, in receiving a pecuniary gain or sustaining a pecuniary loss as a result of the interest in any of the following:

- (1) business entity worth two thousand dollars (\$2,000) or more.
- (2) real or personal property worth two thousand dollars (\$2,000) or more in fair market value.
- (3) stocks or bonds worth two thousand dollars (\$2,000) or more.
- (4) intellectual property rights worth five hundred dollars (\$500) or more.

- (5) sources of gross income aggregating five hundred dollars (\$500) or more within the prior 12 months.
- (6) future interest for compensation of five hundred dollars (\$500) or more.
- (7) personal finances of two hundred fifty dollars (\$250) or more.

Conflict Making Contract in which Financially Interested

Under Title 17, Sections 54523 and 54528, Board Members, Executive Directors, and employees, contractors, agents, and consultants, shall not be guided by personal interests and shall not have a financial interest in any contract they make in their official capacity. Such persons shall not make any contract which financially benefits a family member, unless benefits associated with the contract are available to regional center individuals we serve or their families generally. In interpreting and applying this section, the common law doctrine against COI and the authorities interpreting the doctrine shall govern.

General Prohibitions Against Conflicts

Board Members, Executive Directors, employees, contractors, agents and consultants must also avoid the general prohibitions against conflicts in Title 17, Sections 54524 and 54529, which are:

General Integrity – Must discharge their responsibilities with integrity and fidelity, and must not place themselves in a position where their private, personal interests conflict with their official duties.

Perform with Diligence – Must exercise powers conferred on the employee with disinterested skill, zeal and diligence for the benefit of regional center individuals.

Conflicts Not Addressed by Regulations - When a person becomes aware of a present or personal COI regarding a particular transaction that the regulations do not address, action must be taken. In that situation, the person must not participate in any discussion and must refrain from making any recommendation or decision regarding the present or potential COI that has not been specifically addressed in the regulations.

Conflicts Working with Family Members

Under Title 17, Section 54530(a), a COI exists where a regional center employee participates in the evaluation of an application for employment or bid for position or contract at the regional center, submitted by a family member. Under Title 17, Section 54530(b) a potential COI also exists when a regional center employee supervises his or her family member.

Obligations for a Regional Center to Identify Conflicts

COI Reporting Statement (Form DS 6016)

As required by WIC, Section 4626(e), DDS also issued a DDS COI Reporting Statement that RCOC uses.

Persons Who Must Submit Conflict Statements

WIC, Section 4626(e), provides that this statement must be completed annually by;

“...each regional center governing board member and each regional center employee specified in the regulations, including, at a minimum, the executive director, every administrator, every program director, every service coordinator, and every employee who has decisionmaking or policymaking authority or authority to obligate the regional center’s resources.”

Further, Section 54531(b) of Title 17 provides:

“Each regional center employee, contractor, agent, and consultant, who has authority to act on behalf of the regional center, or who has decision or policy-making authority..., shall complete and file an annual COI Reporting Statement with his or her respective regional center, whether or not the individual has identified a present or potential COI, by August 1 of each year.”

Since some of the conflicts identified do not depend on having “decision making” authority, all employees of RCOC must complete the COI Reporting Statement.

Process for Submission of Statements

Annually: Regional Center Board Members, the Executive Director, and employees, contractors, agents and consultants shall complete and file an annual COI Statement by August 1 of each year (Title 17, Sections 54532(a) and 54531(b)). The statute mandates this for every employee referenced in WIC, Section 4626(e) (WIC, Section 4626(g)).

New Board Members, Executive Directors, Employees, Contractors, Agents and Consultants: Such new persons shall file a COI statement within 30 days of assuming the position (Title 17, Sections 54532(c) and 54531(c); WIC, Section 4626(f)).

Change in Status: Board Members, Executive Directors and regional center employees, contractors, agents and consultants shall complete and file a COI Reporting Statement with the regional center within 30 days of any change in status that creates a COI under Title 17, Sections 54532(d) and 54531(d). “Change in status includes, but is not limited to, a change in financial interests, legal commitment, regional center or board position or

duties, or both, or outside position or duties, or both, whether compensated or not” (WIC, Section 4626(h)).

Executive Director Review: The Executive Director shall review each COI Reporting Statement that is submitted and within ten (10) working days of receipt of the statement shall determine whether a COI exists (Title 17, Sections 54531(e); WIC, Section 4626 (k)). Under Title 17, Section 54532, statements submitted by Board Members and the Executive Director must be submitted to DDS within ten (10) working days of receipt.

Obligations When COI Discovered: When a present or potential COI is identified for a Board member, Executive Director, employee, agent or consultant, RCOC must either (1) require the employee or other person to eliminate the conflict, or (2) mitigate and manage the conflict through a Conflict Resolution Plan, or the individual shall resign (Title 17, Section 54533(a)).

RCOC Must Submit Conflict Resolution Plan to DDS: When a present or potential COI has been identified and RCOC decides to mitigate and manage the conflict through a Conflict Resolution Plan, it must submit a copy of the completed COI Reporting Statement and a proposed Conflict Resolution Plan to DDS within 30 calendar days of receipt of the statement (Title 17, Section 54533(a) and (b)).

Submission of COI Policy to DDS and Posting on Website

Each regional center was required to submit a COI policy to DDS by July 1, 2011, and post the policy on its website by August 1, 2011 (WIC, Section 4626.5).

Obligation to Resolve Identified Conflicts

When a conflict is identified either through a COI Reporting Statement or by notification of DDS, the Executive Director then has a specific obligation to resolve the matter, and to take certain action.

Executive Director Reviews Conflict Statements

Under Title 17, Section 54531(e), a regional center Executive Director is required to review the completed COI Reporting Statement of each employee within ten (10) working days of receipt and determine whether a present or potential conflict exists.

Regional Center Must Eliminate Conflict or File Conflict Resolution Plan

Under Title 17, Section 54533(a) a Board member, Executive Director, employee, contractor, agent or consultant with a present or potential COI must eliminate the conflict, or if the regional center permits, manage and mitigate the conflict through a Conflict Resolution Plan, or the individual shall resign. There is no legal obligation to submit a Conflict Resolution Plan, since it is merely an option the regional center

may choose to select. The regional center has every right to simply require that the conflict be eliminated.

Submission of Conflict Resolution Plan

When the Executive Director learns of a conflict either through review of submitted COI Reporting Statements, or from DDS, under Title 17, Section 54533(b) the Executive Director shall either require the conflict to be eliminated, or shall submit an employee's COI Reporting Statement and proposed Conflict Resolution Plan to DDS (1) within 30 days of receipt of the COI Reporting Statement or (2) within 30 days of receipt of DDS' notification of a conflict.

Content of Conflict Resolution Plan

Title 17, Section 54533(g) identifies the requirements of a Conflict Resolution Plan. It must describe the precise nature of the conflict, including the type of conflict, the relationship between the individuals involved (when a family member is involved), and the roles and identity of each person, i.e., the employee and the family member (Title 17, Section 54533(g)(1)).

Under Title 17, Section 54533(g)(2) the Conflict Resolution Plan must then identify the actions the regional center will take to eliminate or mitigate and manage the conflict. This can involve either resignation of the family member creating the conflict, refraining from participation in a certain category of matters that create the conflict, a change of position, duties, or assignment, or a divestiture of financial interests.

Further, the Conflict Resolution Plan must "provide a detailed explanation of how each of the proposed actions will eliminate, mitigate or manage the conflict (Title 17, Section 54533(g)(3)). The plan must provide the name, position, and duties of the individuals who will be responsible for monitoring the plan, (Title 17, Section 54533(g)(4)), and the plan must be signed by the individuals subject to the plan under Title 17, Section 54533(g)(4).

Posting of Conflict on Website

Under Title 17, Section 54533(f), the regional center shall post on its website each COI Reporting Statement that identifies a present/potential COI that cannot be resolved within 30 calendar days. This posting shall continue until the conflict has either been eliminated or the individual has resigned.

Prohibitions While Conflict Still Pending

Under Title 17, Section 54525, when a conflict has been identified for a governing board member or executive director, a regional center may not refer any individual to any business entity involved in the conflict, unless the individual has eliminated the conflict of interest or obtained an approved Conflict Resolution Plan.

Further, no regional center governing board member or executive director shall continue to serve in violation of these provisions, unless the individual has eliminated the conflict of interest or obtained an approved Conflict Resolution Plan.

Role of DDS in Monitoring Conflicts

Review of Conflict Resolution Plan by Department

Under Title 17, Section 54534(f), DDS shall issue a modification, approval or denial of the proposed Conflict Resolution Plan in writing to the regional center governing board or regional center designated party within 30 calendar days of receipt of a proposed Conflict Resolution Plan.

Title 17, Section 54534(g) provides that if DDS denies a Conflict Resolution Plan, the individual shall have 30 calendar days to eliminate the conflict or to resign.

If a Conflict Resolution Plan is approved, the employee shall fully comply with all the elements set forth in the approved Conflict Resolution Plan (Title 17, Section 54534(j)).

In addition, under Section 54534(k), a new Conflict Resolution Plan shall be submitted to DDS on an annual basis, and upon any change of status that creates a COI.

Submission of Conflict Resolution Plan Does Not Authorize Continued Activities that Create Conflict

The submission of a Conflict Resolution Plan does not authorize the employee to engage in the activities that created the conflict. In the words of the regulation, “Individuals shall not engage in activities in which there is a present or potential COI except in accordance with the terms of an approved Conflict Resolution Plan” (Title 17, Section 54534(d)).

Sanctions

RCOC will be vigilant to eliminate and resolve conflicts, since if DDS finds a violation of these provisions, it can provide 30 days to eliminate or resolve the violation. If the violation is not so resolved or eliminated, DDS may “take immediate action to commence procedures for termination or nonrenewal of the regional center contract pursuant to W&I Code, Section 4635.”

Gift Limit

Pursuant to WIC Section 4626.5(g), RCOC employees are prohibited from accepting any gift or gifts from a service provider, person served by RCOC, or a family member of a person served by RCOC valued over fifteen dollars (\$15) per year. “Family

member” shall be defined as set forth in the “Definitions” section above, consistent with Title 17, Section 54505.

Hiring

Pursuant to WIC Section 4626.5(h), RCOC Senior Staff are prohibited from hiring any family member as an employee at RCOC or any ancillary foundation or organization. RCOC Senior Staff includes the Executive Director, Associate Executive Director, and all members of the Executive Management Team. “Family member” shall be defined as set forth in the “Definitions” section above, consistent with Title 17, Section 54505.

**Regional Center of Orange County
Vendor Advisory Committee
January 14, 2025
Videoconference Minutes**

Members:

Adult Behavior Management Programs

Chair, Atrem Behmanesh, present
Co-Chair, Ryan Perez, absent

Adult Day Programs

Chair, Rick Perez, present
Co-Chair, *Member Pending*

Adult Family Home/Foster Family Agency

Chair, Shari Panganiban, present
Co-Chair, *Member Pending*

Behavior Services

Chair, Cindy Hebert, present
Co-Chair, Junie Lazo-Pearson, present

Community Care Facilities

Chair, *Member Pending*
Co-Chair, *Member Pending*

Early Intervention

Chair, Junie Lazo-Pearson, present
Co-Chair, Pam Alexander, present

Habilitation

Chair, Marina Margaryan, present (*joined at 2:04 p.m.*)
Co-Chair, Jodean Hudson, present

Independent/Supported Living

Chair, Christine Molina, present (*joined at 2:02 p.m.*)
Co-Chair, Ana Sandoval, present (*joined at 2:04 p.m.*)

Intermediate Care Facilities

Chair, Rich Mraule, absent
Co-Chair, *Member Pending*

Support Services/Allied Health

Chair, Kelly Araujo, absent
Co-Chair, Michael Toliver, present

Liaisons:

CalOptima

Liaison Pending

Orange County Transit Authority

Melissa Mungia, absent

Board Member Present:

Chinh Nguyen

RCOC Staff Present: Arturo Cazares, Director of Community Service
Jerrod Bonner, Director of Information Technology
Wayed Kabir, Peer Advocate
Bonnie Ivers, Clinical Director
Jennifer Montanez, Director of Case Management
Jack Stanton, Associate Director of Housing
Marta Vasquez, Chief Financial Officer
Laurel Warren, Quality Assurance Coordinator
Sean Watson, Associate Director of Risk Management

Call to Order

Mr. Rick Perez welcomed all attendees and called the videoconference meeting to order at 2:00 p.m.

I. RCOC Update

A. Vendorization Update

Ms. Marta Vasquez reported that as of January 28, 2025, the Department of Developmental Services (DDS) has provided RCOC with some of the updated rate reform model workbooks. The workbooks received by RCOC are for service codes: 062 Personal Assistance, 505 Activity Center, 515 Behavior Management Programs, 510 Adult Development Centers, 520 Independent Living Skills (ILS) and 525 Social Recreation. RCOC is currently verifying and updating the rates from the workbooks received in order to begin processing incoming authorizations under the new rate.

B. DDS' Provider Directory Update

Mr. Arturo Cazares reported that DDS' Provider Directory was established as an online portal that will be used to input, store and view information about all service providers within the state. Vendors who completed and verified their information in the portal by November 29, 2024, would be eligible to receive the Quality Incentive Payment (QIP) rate component. Based on the information collected and verified, DDS has developed five provider groups:

(1) Completed All Steps, (2) Missing/Incorrect Data in the Directory, (3) Submitted Survey and Not in the Directory, (4) Did Not Complete Any Steps, (5) New Vendor Numbers: No Available Vendor List.

For more information or to confirm your provider group information, visit:
<https://www.dds.ca.gov/initiatives/provider-directory/>. For questions, contact DDS at providerdirectory@dds.ca.gov.

C. Rate Reform Service Acknowledgement

Mr. Cazares reported that over the past few months, DDS has released multiple directives that outline how service provider programs will be impacted based on current service codes due to

the rate reform. Those changes can include additional implementation requirements to service provisions, qualifications for staff, training requirements, billing, and staffing ratios.

Mr. Cazares reported that the Rate Reform is changing the way the state pays for services under the developmental services system. DDS will now make payments for services providers through regional centers. The next steps for this process will be for RCOC and service providers to affirm which updated service description and staff requirements most closely match the services actually being delivered. RCOC will verify the rate for each service and sub-code combination in the rate workbook, provided by DDS. As of January 1, 2025, reimbursements for services will be claimed using the provider's current service code with an updated rate that was provided by DDS. By March 31, 2025, the Rate Reform Service Acknowledgment Form shall be completed by RCOC and the service provider to acknowledge the service description, requirements that will need to be met, sub-code combination(s), and rate(s) established by the rate model.

For more information about the rate reform, visit <https://www.dds.ca.gov/rc/vendor-provider/rate-reform/>.

II. Board Report

Mr. Perez reported that the Board of Directors held a Board meeting on January 9, 2025.

III. Peer Advisory Committee (PAC) Report

Mr. Wayed Kabir, RCOC's Peer Advocate, reported that PAC will host a presentation on Person-Centered Thinking (PCT) One Page Description with Ms. Leah Saitz, RCOC's PCT Coordinator, at its next meeting on January 15, 2025.

IV. Liaison Reports

A. CalOptima – Liaison pending

No representative from CalOptima was present and no report was provided.

B. Orange County Transportation Authority (OCTA) – Melissa Mungia (absent)

In Ms. Melissa Mungia's absence, Mr. Jack Stanton, RCOC's Associate Director of Housing, reported that starting Sunday, February 9, 2025, OCTA will implement changes to the OC Bus routes that will improve service quality, enhance reliability, and account for shifts in traffic patterns. As part of these adjustments, there will be an impact on OC ACCESS service for individuals traveling to or from portions of Foothill Ranch, Mission Viejo, and Rancho Santa Margarita. These areas will no longer fall within the OC ACCESS service area due to the elimination of segments of OC Bus Routes 82 and 87.

In compliance with the Americans with Disabilities Act (ADA), OCTA provides complementary paratransit service, known as OC ACCESS, during the same hours and days as

our fixed-route OC Bus service. However, when changes are made to fixed-route service, the OC ACCESS service area must also be adjusted accordingly. A map illustrating the affected areas has been provided for reference. OCTA will be reaching out to affected riders directly this week.

V. Member Reports

A. Adult Behavior Management – Atrem Behmanesh (present)

B. Adult Day Programs – Rick Perez (present)

Ms. Atrem Behmanesh reported that the two subcommittees met today and discussed the updated service codes and workbooks for the vendor rate increase. The subcommittees also discussed having OCTA provide more information on their Cooperative Transit Agreement, as some vendors have expressed interest.

C. Adult Family Home Agency/Foster Family Agency (AFHA/FFA) – Shari Panganiban (present)

Ms. Shari Panganiban reported that the subcommittee is scheduled to meet later this month.

D. Behavior Services – Cindy Hebert (present)

Ms. Cindy Hebert reported that the subcommittee met and discussed concerns about the stringent requirements for the vendor rate reform and its impact on services.

E. Community Care Facilities (CCF) – Member Pending

Mr. Stanton reported that the subcommittee did not meet.

F. Early Intervention – Junie Lazo-Pearson (present)

Ms. Junie Lazo-Pearson reported that the subcommittee held its meeting with the Behavior Services subcommittee to address concerns about the stringent requirements for the vendor rate reform and its impact on services.

G. Habilitation – Marina Margaryan (present)

Ms. Marina Margaryan reported that the subcommittee met and discussed the rate reform adjustments and DDS' Quality Incentive Program for those vendors who completed the data survey for the Provider Directory.

H. Independent Living/Supported Living (IL/SL) – Christine Molina (present)

Ms. Christine Molina reported that its subcommittee met today and Ms. Melissa Mungia from OCTA was scheduled to provide a presentation, but it was canceled last minute. The subcommittee will meet next month to host OCTA's informational presentation.

I. Intermediate Care Facilities (ICF) – Rich Mraule (absent)

In Mr. Rich Mraule’s absence, Mr. Stanton reported that the subcommittee did not meet.

J. Support Services/Allied Health – Kelly Araujo (absent)

In Ms. Kelly Araujo’s absence, Mr. Michael Toliver reported that the subcommittee met earlier today and discussed the rate increases and DDS’ Service Provider Directory. The subcommittee also discussed concerns with regard to last-minute cancelations of respite service authorizations and the impact on vendors at the employee level. Vendors have requested that RCOC provide them with some notice if persons served are transitioning into the Self-Determination Program (SDP) or if there are any other issues that could interrupt services.

VI. Community Forum

Ms. Claudia Rivera questioned why Spanish interpretation was not provided for this meeting, as there were numerous Spanish-speaking families in attendance.

VII. Adjournment

Mr. Perez adjourned the meeting at 2:42 p.m.

The next VAC meeting is scheduled for February 11, 2025.

Recorder: Sandra Lomelí

**Regional Center of Orange County
Vendor Advisory Committee
February 11, 2025
Videoconference Minutes**

Members:

Adult Behavior Management Programs

Chair, Atrem Behmanesh, present
Co-Chair, Ryan Perez, absent

Adult Day Programs

Chair, Rick Perez, present
Co-Chair, *Member Pending*

Adult Family Home/Foster Family Agency

Chair, Shari Panganiban, present
Co-Chair, *Member Pending*

Behavior Services

Chair, Cindy Hebert, present
Co-Chair, Junie Lazo-Pearson, present

Community Care Facilities

Chair, *Member Pending*
Co-Chair, *Member Pending*

Early Intervention

Chair, Junie Lazo-Pearson, present
Co-Chair, Pam Alexander, present

Habilitation

Chair, Marina Margaryan, present
Co-Chair, Jodean Hudson, present

Independent/Supported Living

Chair, Christine Molina, present
Co-Chair, Ana Sandoval, present

Intermediate Care Facilities

Chair, Rich Mraule, absent
Co-Chair, *Member Pending*

Support Services/Allied Health

Chair, Kelly Araujo, absent
Co-Chair, Michael Toliver, present

Liaisons:

CalOptima

Hannah Kim, present

Orange County Transit Authority

Melissa Mungia, present
Christina Blanco, absent

RCOC Staff Present:

Larry Landauer, Executive Director
Arturo Cazares, Director of Community Service
Nancy Franco, Operations and Accounting Manager

Vendor Advisory Committee Minutes
February 11, 2025

Wayed Kabir, Peer Advocate
Bonnie Ivers, Clinical Director
Jack Stanton, Associate Housing Director
Marta Vasquez, Chief Financial Officer
Sean Watson, Associate Director of Risk Management

Call to Order

Mr. Rick Perez welcomed all attendees and called the videoconference meeting to order at 2:02 p.m.

I. RCOC Update

A. Vendorization Update

Ms. Marta Vasquez reported that RCOC is updating the vendor rates as the Rate Model workbooks are being received from the Department of Developmental Services (DDS). RCOC will email all available individual Rate Reform workbooks to vendors by February 28, 2025. The workbooks will be emailed to the individual identified on the DS 1891 Applicant/Vendor Disclosure Statement. Ms. Vasquez stated that errors have been encountered within the Rate Model workbooks and is working with DDS to correct them.

Ms. Vasquez also reported that pertinent information regarding the Rate Reform is available via the eBilling section of RCOC's website.

B. Rate Reform Update

Mr. Arturo Cazares reported that RCOC will schedule appointments with vendors to complete the Rate Reform Service Acknowledgement form. Vendors are encouraged to review the Rate Reform directives posted on DDS' website and the Quality Incentive Payment portion associated with DDS' Provider Directory.

II. Board Report

Mr. Cazares reported that the Board of Directors held a Board training on February 6, 2025.

III. Peer Advisory Committee (PAC) Report

Mr. Wayed Kabir, RCOC's Peer Advocate, reported that the PAC met on January 15, 2025, and had a presentation from Ms. Leah Saitz, RCOC's PCT Coordinator, on PCT's One Page Description.

The next PAC meeting is scheduled for February 19, 2025.

IV. Liaison Reports

A. CalOptima – Hannah Kim (present)

Mr. Hannah Kim, Director of Case Management, introduced herself as the new CalOptima Liaison.

B. Orange County Transportation Authority (OCTA) – Melissa Mungia (present)

Ms. Melissa Mungia reported that changes were made to the OC Bus services in South Orange County effective February 9, 2025, and those affected have been notified.

V. Member Reports

A. Adult Behavior Management – Atrem Behmanesh (present)

B. Adult Day Programs – Rick Perez (present)

Ms. Atrem Behmanesh reported that the two subcommittees met today and Mr. Cazares reviewed the new service requirements under the Rate Reform. Ms. Behmanesh also reported that an OCTA representative will be invited to the next subcommittee meeting to discuss OCTA ACCESS issues.

C. Adult Family Home Agency/Foster Family Agency (AFHA/FFA) – Shari Panganiban (present)

Ms. Shari Panganiban's reported that the subcommittee met on January 23, 2025 and discussed the FHA rate structure, DDS' directives regarding the Rate Reform, and QIP for the DDS Workforce Survey completed in March 2024. Lastly, providers were reminded to welcome RCOC's Quality Assurance staff during unannounced visits.

D. Behavior Services – Cindy Hebert (present)

Ms. Cindy Hebert reported that the subcommittee did not meet and is scheduled to meet on March 6, 2025.

E. Community Care Facilities (CCF) – Member Pending

Mr. Stanton reported that the subcommittee met informally on January 21, 2025 to discuss the Rate Reform.

F. Early Intervention – Junie Lazo-Pearson (present)

Ms. Junie Lazo-Pearson reported that the subcommittee met on December 5, 2024 and discussed the Rate Reform.

G. Habilitation – Marina Margaryan (present)

Ms. Marina Margaryan reported that the subcommittee did not meet.

H. Independent Living/Supported Living (IL/SL) – Christine Molina (present)

Ms. Christine Molina reported that their subcommittee met today and discussed the Rate Reform.

I. Intermediate Care Facilities (ICF) – Rich Mraule (absent)

In Mr. Rich Mraule’s absence, Mr. Stanton reported that the subcommittee did not meet.

J. Support Services/Allied Health – Kelly Araujo (absent)

In Ms. Kelly Araujo’s absence, Mr. Michael Toliver reported that the subcommittee met earlier today and discussed the changes to the mileage rate because of the Rate Reform. The subcommittee also discussed resuming their Respite Vendor Fair.

VI. Community Forum

There were no speakers for community forum.

VII. Adjournment

Mr. Perez adjourned the meeting at 2:25 p.m.

The next VAC meeting is scheduled for March 11, 2025.

Recorder: Marta Vasquez

**Regional Center of Orange County
Peer Advisory Committee
January 15, 2025
Videoconference Minutes**

Committee Members Present: Amy Jessee, Co-Chair
Sylvia Delgado
Wayed Kabir
Peter Kuo
Fernando Peña

Committee Members Absent: Yvonne Kluttz, Chairperson
Kerri Adamic
Marcell Bassett
Cheryl Day
Stephen Gersten

Board Member Present: Chinh Nguyen

RCOC Staff Members Present: Arturo Cazares, Director of Community Services
Jennifer Montanez, Director of Case Management
Leah Saitz, PCT Coordinator

Guest(s): Mark Henry

Ms. Amy Jessee called the meeting to order at 3:02 p.m.

I. Welcome and Introductions

Ms. Jessee welcomed everyone to the Peer Advisory Committee (PAC) meeting.

II. RCOC's Peer Advocate Report

Mr. Wayed Kabir, RCOC's Peer Advocate, reported that there were no updates.

III. One Page Description

Ms. Leah Saitz, RCOC's PCT Coordinator, led a discussion on *Person-Centered Thinking (PCT) One Page Descriptions* and the importance of developing one.

IV. Community Forum

There were no speakers for community forum.

V. Next Scheduled Meeting

The next PAC meeting is scheduled for February 19, 2025.

Ms. Jessee adjourned the meeting at 3:52 p.m.

Recorder: Sandra Lomelí

**Regional Center of Orange County
Peer Advisory Committee
February 19, 2025
Videoconference Minutes**

Committee Members Present: Amy Jessee, Co-Chair
Stephen Gersten
Wayed Kabir
Peter Kuo
Fernando Peña

Committee Members Absent: Yvonne Kluttz, Chairperson
Kerri Adamic
Marcell Bassett
Cheryl Day
Sylvia Delgado

RCOC Staff Members Present: Larry Landauer, Executive Director
Arturo Cazares, Director of Community Services
Jennifer Montanez, Director of Case Management

Ms. Amy Jessee called the meeting to order at 3:04 p.m.

I. Welcome and Introductions

Ms. Jessee welcomed everyone to the Peer Advisory Committee (PAC) meeting.

II. RCOC's Peer Advocate Report

Mr. Wayed Kabir, RCOC's Peer Advocate, reported that the next Supported Life Institute (SLI) Self-Advocacy Conference will be held May 9-10, 2025 in Sacramento. For more information, visit: www.supportedlife.org.

III. One Page Description

Mr. Arturo Cazares, RCOC's Director of Community Services, led a discussion on *Employment and the Paid Internship Program*.

IV. Community Forum

There were no speakers for community forum.

V. Next Scheduled Meeting

The next PAC meeting is scheduled for March 19, 2025.

Ms. Jessee adjourned the meeting at 3:56 p.m.

Recorder: Sandra Lomelí

**Regional Center of Orange County
Legislative and Community Awareness Committee
January 14, 2025
Videoconference Minutes**

Committee Members Present: Bruce Hall, Chairperson
Meena Chockalingam
Sandy Martin
Chinh Nguyen

Committee Member Absent: Hilda Mendez

RCOC Staff Members Present: Jerrod Bonner, Director of Information Technology
Arturo Cazares, Director of Community Services
Bonnie Ivers, Director of Clinical Services
Jennifer Montanez, Director of Case Management
Kaitlynn Truong, Family Support and Community Outreach Manager
Marta Vasquez, Chief Financial Officer
Stacy Wong, Director of Human Resources

Guests: Linda Blankenship, Consultant
Anh Nguyen, Consultant

Mr. Bruce Hall called the meeting to order at 5:03 p.m.

I. Public Relations

A. News Media Outreach

Ms. Linda Blankenship reported that the January 2025 issue of Exceptional Parent Magazine included RCOC's bylined article on self-advocacy authored by Mr. Larry Landauer, RCOC's Executive Director and Mr. Wayed Kabir, RCOC's Peer Advocate. In addition, the February 2025 issue will include a bylined article authored by Dr. Bonnie Ivers with focus on methods to help prepare children for medical visits.

B. Dialogue

Ms. Blankenship reported that the winter issue of the *Dialogue* features an article on the Adult Family Home model, highlighting a family with two siblings served by RCOC. This issue of the *Dialogue* also includes the Executive Director's report on the new Individual Program Plan (IPP) template, new educational resources from the Department of Developmental Services (DDS), and updates on the state budget.

C. Website Update

Ms. Anh Nguyen reported that RCOC frequently updates its website to ensure accessibility to pertinent agency information and services. Recent updates include incorporating a health and wellness section and information about the upcoming 2025 Spotlight Awards.

D. Social Media

Ms. Nguyen shared that RCOC's social media platforms continuously feature information about events, public meetings and trainings.

II. Legislative Outreach

A. ARCA Update

Ms. Sandy Martin reported that the next Association of Regional Center Agencies (ARCA) Board of Directors' meeting is scheduled for January 17, 2025 in Sacramento.

B. Budget Update and Delegation Relationships

Ms. Nguyen reported that that Governor Gavin Newsom released his budget on January 10, 2025. The state budget does not include cuts for the Department of Developmental Services; however, the Legislative Analyst's Office (LAO) is projecting significant deficits in the state budget in the coming years.

Ms. Nguyen also reported that RCOC plans to participate in ARCA's Grassroots Day on March 4, 2025 in Sacramento.

III. Community Outreach

A. Holiday Events

Ms. Kaitlynn Truong reported that the Irvine Barclay Theatre presented a Sensory Friendly performance of *The Nutcracker* again this year on December 6, 2024, with almost 700 people in the audience. Ms. Truong stated that Dr. Bonnie Ivers and her team provided awareness training before the event began for theatre staff on assisting families and persons served with challenging behaviors. This year's event also included American Sign Language (ASL) interpreters and carolers who performed at the entrance of the venue.

Ms. Truong also reported that the 2024 Wish Tree Program was very successful in fulfilling the wishes of 641 persons served with gracious donations from sponsors, service providers, community partners, RCOC staff and Board Members.

B. Disparity-Focused Activities

Mr. Arturo Cazares reported that RCOC developed a partnership with the Vietnamese Parents with Disabled Children Association (VPDCA) to participate in the Vietnamese Lunar New Year celebration on February 9, 2025 at the Diamond Seafood Palace in Stanton. Mr. Cazares stated that the event provides an outreach opportunity where families can gather information and learn more about RCOC services.

Dr. Bonnie Ivers reported that RCOC's internal Diversity and Inclusion Task Force continues to collect information on the IPP questionnaire completed by families in effort to collect feedback regarding what is or is not working with services provided by RCOC.

Dr. Ivers also reported that she and Ms. Truong are part of the California Autism Professionals Training and Information Network (CAPTAIN), a statewide organization that collaborates with Family Resource Centers, regional centers and school districts throughout California. The focus for this group is to gather and discuss how to share and teach our communities about implementation of evidence-based practices for individuals with autism. The Orange County Chapter will host a family conference in May 2025 to provide information about the evidence-based practices that can be used at different ages.

C. Developmental Services Careers/Vendor Support

Mr. Cazares reported that he and Dr. Ivers continue meeting with local community colleges in effort to create partnerships and speak with students about the services RCOC provides for eligible Orange County residents with developmental disabilities, and the many potential career opportunities in the field.

IV. Community Forum

No community members were present at the meeting.

Mr. Hall adjourned the meeting at 5:50 p.m.

Recorder: Sandra Lomeli